

A Zariski-Nagata Theorem in Mixed Characteristic 1

I. Introduction

ZN Thm: $R = \mathbb{C}[x]$ $P \in R$ prime

$$P^{(n)} = \bigcap_{\substack{m \supseteq P \\ \text{maximal}}} m^n$$

$$\stackrel{\textcircled{2}}{=} \{ f \in R \mid S(f) \in P \vee S \in D_R^{n-1} \}$$

where $D_R^{n-1} \subseteq R \langle \frac{\partial}{\partial x_1}, \dots, \frac{\partial}{\partial x_d} \rangle$

(diff operators of order $\leq n-1$)

Goal: Give generalization of $\textcircled{2}$ to primes in $\mathbb{Z}_p[x]_G$

Along the way, gives different proof of ZN and mutual some ingredients

II. Differential Operators

Def. (Grothendieck) $A \subseteq R$ subring

$$D_{R/A}^0 = \text{Hom}_R(R, R)$$

$$D_{R/A}^i = \{ S \in \text{Hom}_A(R, R) \mid [S, f] \in D_{R/A}^{i-1} \}$$

$\forall f \in D_{R/A}^0$

$$D_{R/A} = \bigcup_{i \in \mathbb{N}} D_{R/A}^i \subseteq \text{Hom}_A(R, R)$$

Ex 1) $R = \mathbb{C}[x] \rightsquigarrow D_{R/\mathbb{C}}^i = \bigoplus_{|\alpha| \leq i} R \frac{\partial^{\alpha_1}}{\partial x_1^{\alpha_1}} \cdots \frac{\partial^{\alpha_d}}{\partial x_d^{\alpha_d}}$

2) $R = \mathbb{F}_p[x] \rightsquigarrow D_{R/\mathbb{F}_p}^i = \bigoplus_{|\alpha| \leq i} R \frac{1}{\alpha_1!} \frac{\partial^{\alpha_1}}{\partial x_1^{\alpha_1}} \cdots \frac{1}{\alpha_d!} \frac{\partial^{\alpha_d}}{\partial x_d^{\alpha_d}}$

$R = A[x] \rightsquigarrow D_{R/A}^i = \bigoplus_{|\alpha| \leq i} R \frac{1}{\alpha_1!} \frac{\partial^{\alpha_1}}{\partial x_1^{\alpha_1}} \cdots$

the same

3) If R is finitely generated over A

$W \subseteq R$ multiplicatively closed

$$D_{W^{-1}R/A}^i \cong W^{-1}D_{R/A}^i$$

Extend diff operators on R to $W^{-1}R$ by

quotient rule. $S(f/g) = \frac{S(f) - [S, g](f/g)}{g}$

III. Differential Powers

Def. (DDSGHNB): $I \subseteq R$ an ideal, $A \subseteq R$ a subring

$$I^{<n>_A} := \{ f \in R \mid S(f) \in I \ \forall S \in D_{R/A}^{n-1} \}$$

diff powers

Restatement of ZN:

If $R = K[x]$, K perfect field

$P \subseteq R$ prime, then $P^{(n)} = P^{<n>_K}$

Remarks: 1) ZN fails for $\mathbb{F}_p(t)[x]$ and $I = (t - x^p)$

2) ZN fails for nonsmooth \mathbb{C} -algebras
all

In fact, if R is f.g./ K perfect,

$$P^{(n)} = P^{<n>K} \text{ for some } P \text{ and some } n > 1$$

then R_P is smooth.

3) If $R = \mathbb{Z}[x]$, $P = \mathbb{Z}(2)$

any \mathbb{Z} -linear map $R \rightarrow R$ takes P to P

Hence any $S \in \text{Der}_{\mathbb{Z}}^{n-1}$

$$\text{so } P \subseteq P^{<n>} \subseteq P \rightsquigarrow P^{<n>\mathbb{Z}} = P \quad \forall n$$

but $P \neq P^{(n)}$

Need maps that decrease 2-adic order.

IV. p-derivations

Def (Joyal, Buium): A p-derivation on a ring R is a map $R \xrightarrow{\delta} R$ such that

$$\bullet \delta(1) = 0$$

$$\bullet \delta(x+y) = \delta(x) + \delta(y) + \frac{C_p(x,y)}{p} \quad \forall x, y \in R$$

$$\bullet \delta(xy) = x^p \delta(y) + y^p \delta(x) + p \delta(x) \delta(y)$$

$$\text{where } C_p(x,y) = \frac{x^p + y^p - (x+y)^p}{p} \in \mathbb{Z}[x,y]$$

These are not linear!

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If R is p -torsion-free, δ is a p -derivation

$$\text{iff } \delta(x) = \frac{\phi(x) - x^p}{p} \text{ for a ring homo } R \xrightarrow{\phi} R$$

$$\text{s.t. } R \xrightarrow{\phi} R$$

$$\begin{array}{ccc} & & \text{commutes} \\ & & \downarrow \\ R/p & \xrightarrow{\text{Prob}} & R/p \\ & & \downarrow \end{array}$$

Ex: • $R = \mathbb{Z}_p \rightsquigarrow \delta(n) = \frac{n - n^p}{p}$ is a p -derivation

$$\delta(p) = 1 - p^{p-1} \notin (p)$$

$$\delta(p^a) = p^{a-1} (1 - p^{a(p-1)}) \in (p^{a-1}) \setminus (p^a)$$

~~Same for \mathbb{Z}_p .~~

• $R = \mathbb{Z}_p[x] \rightsquigarrow \delta(f)(x) = \frac{f(x^p, \dots, x_d^p) - f(x, \dots, x_d)^p}{p}$
is a p -derivation

Can localize a p -derivation to a prime containing p

⚠ Not every regular local ring has a p -derivation

e.g. $\mathbb{Z}_p[x, y] / (p - xy)$

V. Main Result

Def.: Let δ be a p -derivation on a ring R

The mixed differential powers of an ideal I

$$\text{are } I^{<n>_{\text{mix}}} := \{f \in R \mid \delta^a \partial(f) \in I \ \forall a+b \leq n-1, \partial \in D_{R/A}^b\}$$

Thm. (DDS+...)

Let R be essentially smooth over \mathbb{Z}_p (ie. $\mathbb{Z}_p[x]_Q$)

and suppose R has a p -derivation

let $p \in R$ be prime!

1) If $p \cap \mathbb{Z}_p = (0)$, then $p^{(n)} = p^{<n>_{\mathbb{Z}_p}}$

2) If $p \cap \mathbb{Z}_p = (p)$, then $p^{(n)} = p^{<n>_{\text{mix}}}$