

# TIGHT CLOSURE

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## CONTENTS

1. Preliminary	1
1.1. Base change	1
1.2. Characteristic of a ring	2
1.3. Frobenius functor	2
2. Tight Closure	2
2.1. Definition	2
2.2. Basic properties	3
2.3. Behaviour under $R$ -linear map	3
2.4. Behaviour under base change	4
2.5. The main theorem	4
2.6. Iteration of tight closure	5
2.7. One more proposition	5
3. Briançon-Skoda Theorem	5
3.1. Preliminary	5
3.2. The theorem	6
3.3. Application	6
4. Colon-capturing	7
4.1. Height of ideals	7
4.2. The theorem	7
5. Relations to Other Closures	9
5.1. Plus Closure	9

## 1. PRELIMINARY

Throughout this note, all rings are assumed commutative, associative with identity.

1.1. **Base change.** Given a ring homomorphism  $f : R \rightarrow S$ , we have a base change functor  $\mathcal{B} = S \otimes_R -$  from  $R$ -modules to  $S$ -modules. It has following properties:

- Properties of the Functor
  - The functor  $\mathcal{B}$  is right exact

- The functor  $\mathcal{B}$  commutes with arbitrary direct sums and with arbitrary direct limits
- Given two homomorphisms  $R \rightarrow S$  and  $S \rightarrow T$ , the base change functor  $\mathcal{B}_{R \rightarrow T}$  is the composition of  $\mathcal{B}_{R \rightarrow S}$  and  $\mathcal{B}_{S \rightarrow T}$ .
- Properties of Modules
  - The functor  $\mathcal{B}$  takes  $R^n$  to  $S^n$  and free modules to free modules
  - The functor  $\mathcal{B}$  takes projective  $R$ -modules to projective  $S$ -modules
  - The functor  $\mathcal{B}$  takes flat  $R$ -modules to flat  $S$ -modules
  - The functor  $\mathcal{B}$  takes faithfully flat  $R$ -modules to faithfully flat  $S$ -modules.
  - The functor  $\mathcal{B}$  takes finitely generated  $R$ -modules to finitely generated  $S$ -modules.
- Properties of Elements
  - The functor  $\mathcal{B}$  takes the cokernel of the matrix  $(r_{ij})$  to the cokernel of the matrix  $(f(r_{ij}))$
  - The functor  $\mathcal{B}$  takes  $R/I$  to  $S/IS$ .
- If we denote the restriction-of-scalar functor as  $\mathcal{R}$ , then there is a natural transformation from the identity functor to  $\mathcal{R} \circ \mathcal{B}$ , i.e. for any  $R$ -module  $M$ , there is a natural  $R$ -linear map:

$$\begin{aligned} M &\rightarrow S \otimes_R M \\ ru &\mapsto f(r) \otimes u \end{aligned}$$

**1.2. Characteristic of a ring.** The characteristic of a ring is the smallest integer such that 1 times it gets 0. If we have a homomorphism  $R \rightarrow S$ , then the characteristic of  $S$  must divide the characteristic of  $R$ . A quick example is that  $\mathbb{Z}/n\mathbb{Z}$  has characteristic  $n$ . So the characteristic of a ring doesn't need to be prime.

If the ring is assumed to be without zerodivisors, then its characteristic must be either 0 or a prime number. This situation applies to fields, integral domains and division rings.

**1.3. Frobenius functor.** Suppose  $R$  has characteristic  $p$ , the Frobenius map

$$\begin{aligned} F : R &\rightarrow R \\ r &\mapsto r^p \end{aligned}$$

induces a base change functor  $\mathcal{F}$  from  $R$ -modules to  $R$ -modules. It certainly has all the properties listed above. We may also consider the  $e$ -fold iterated composition of this functor with itself, which we denote  $\mathcal{F}^e$ . This is the same functor induced by the  $e$ -fold iterated composition of the Frobenius map  $F^e$ .

Let  $q = p^e$ , then  $\mathcal{F}^e$  takes cokernel of  $(r_{ij})$  to the cokernel of  $(r_{ij}^q)$ . And  $\mathcal{F}^e$  takes  $R/I$  to  $R/I^{[q]}$  where

$$I^{[q]} = \{a^q \mid a \in I\}R$$

(It's different from  $I^q$ , where we take all products of  $q$  elements, here we only allow the  $q$ th power).

For every module  $M$ , the natural map is

$$\begin{aligned} M &\rightarrow \mathcal{F}^e(M) \\ ru &\mapsto 1 \otimes (ru) = r^q \otimes u \end{aligned}$$

If we write  $1 \otimes u$  as  $u^q$ , then the map takes  $ru$  to  $r^q u^q$ , which matches the form of a Frobenius map in the ring case.

Given  $N \subseteq M$ , the map  $\mathcal{F}^e(N) \rightarrow \mathcal{F}^e(M)$  is not necessarily injective, we denote the image of this map by  $N^{[q]}$  or  $N_M^{[q]}$ . It's quite easy to see that  $N^{[q]}$  is spanned by  $u^q$  where  $u \in N$  in  $\mathcal{F}^e(M)$ . When  $N = I$  an ideal of  $M = R$ , the map takes  $a \in I$  to  $1 \otimes a = a^q \otimes 1$  in  $R$ . So it takes  $I$  to  $I^{[q]}$ .

*Remark 1.1.* The module  $N^{[q]}$  is a submodule of  $\mathcal{F}^e(M)$ , not of  $M$  itself.

## 2. TIGHT CLOSURE

**2.1. Definition.** Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ .

**Definition 2.1** (Tight Closure for Modules). Given two  $R$ -modules  $N \subseteq M$ , the **tight closure**  $N_M^*$  of  $N$  in  $M$  is the set of elements  $u \in M$  such that there is some  $c \in R^\circ$  (depending on  $u$ )

$$cu^q \in N_M^{[q]}$$

for all large enough  $q$ .

*Remark 2.2.* Here  $R^\circ$  is the set of elements not contained in any minimal prime of  $R$ , i.e.  $R^\circ = R - \cup_P \text{minimal } P$

An ideal  $I$  of  $R$  is also a submodule of  $R$ , therefore take  $N$  to be  $I$  and  $M$  to be  $R$ , we obtain the notion of tight closure  $I^*$  of an ideal  $I$ .

**2.2. Basic properties.** Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$  and let  $M, N, Q$  be  $R$ -modules.

**Proposition 2.3.**  $N_M^*$  is an  $R$ -module.

*Proof.* For any  $u \in N_M^*$  and  $r \in R$ , we need to show that  $ru \in N_M^*$ . We know that there is some  $c \in R^\circ$  such that  $cu^q \in N_M^{[q]}$  for  $q \gg 0$ . But then  $c(ru)^q \in N_M^{[q]}$  for  $q \gg 0$ . Therefore  $ru \in N_M^*$ .  $\square$

**Proposition 2.4.** If  $N \subseteq M \subseteq Q$ , then

- (1)  $N_Q^* \subseteq M_Q^*$
- (2)  $N_M^* \subseteq N_Q^*$

*Proof.* The first statement is true because  $N_Q^{[q]} \subseteq M_Q^{[q]}$  for all  $q$ . The second statement is true because the map  $\mathcal{F}^e(M) \rightarrow \mathcal{F}^e(Q)$  takes  $N_M^{[q]}$  into  $N_Q^{[q]}$ .  $\square$

**Proposition 2.5.** If  $I$  is an ideal of  $R$ , then  $I^*N_M^* \subseteq (IN_M^*)^*$

*Proof.* Only need to show that every product  $au$  where  $a \in I^*$  and  $u \in N_M^*$  is in the right hand side. There is some  $c_a \in R^\circ$  such that  $c_a a^q \in I^{[q]}$  for all  $q \gg 0$  and some  $c_u \in R^\circ$  such that  $c_u u^q \in N_M^{[q]}$  for all  $q \gg 0$ . Notice that  $I^{[q]}N^{[q]} = (IN)^{[q]}$  for any  $q$  (both sides are generated by  $q$ th power of products). so we have  $c_a c_u (au)^q \in (IN_M^*)^{[q]}$  for all  $q \gg 0$ . Therefore  $au \in (IN_M^*)^*$ .  $\square$

Tight closure behaves well under arbitrary direct sum and finite product.

**Proposition 2.6.** If  $N_\lambda \subseteq M_\lambda$  is any family of inclusions, let  $N = \oplus_\lambda N_\lambda$  and  $M = \oplus_\lambda M_\lambda$ , then  $N_M^* = \oplus_\lambda (N_\lambda)_{M_\lambda}^*$ .

*Proof.* Any element in  $N_M^*$  is a sum of finitely many elements in those  $M_\lambda$ , therefore when considering a particular element, we could pass to the finite case. Since  $N_M^{[q]} = \oplus_\lambda (N_\lambda)_{M_\lambda}^{[q]}$  as direct sum commutes with tensor product, the result is now clear.  $\square$

**Proposition 2.7.** If  $R = R_1 \times \cdots \times R_n$  and  $N_i \subseteq M_i$  are  $R_i$ -modules ( $1 \leq i \leq n$ ). Let  $M = M_1 \times \cdots \times M_n$  and  $N = N_1 \times \cdots \times N_n$ , then  $N_M^* = (N_1)_{M_1}^* \times \cdots \times (N_n)_{M_n}^*$ .

*Proof.* Notice that  $R^\circ = R_1^\circ \times \cdots \times R_n^\circ$ . The result is clear.  $\square$

**2.3. Behaviour under  $R$ -linear map.** Next we want to discuss the behaviour of tight closure under  $R$ -linear maps.

**Proposition 2.8.** If  $N \subseteq M$  and  $U \subseteq V$  are  $R$ -modules and  $f : M \rightarrow V$  is an  $R$ -linear map such that  $f(N) \subseteq U$ , then  $f(N_M^*) \subseteq U_V^*$ .

*Proof.* Let  $u \in N_M^*$ , then there is an element  $c \in R^\circ$  such that  $cu^q \in N_M^{[q]}$  for all  $q \gg 0$ . But  $f(N_M^{[q]}) \subseteq U_V^{[q]}$ , so  $c(f(u)^q) \in U_V^{[q]}$  for all  $q \gg 0$ , therefore  $f(u) \in U_V^*$ .  $\square$

**Proposition 2.9.** *An element  $u \in N_M^*$  if and only if the image  $\bar{u} \in 0_{M/N}^*$ . Hence if  $G$  maps into  $M$  and let  $H$  be the preimage of  $N$ . Let  $v$  be a preimage of  $u$ , then  $u \in N_M^*$  if and only if  $v \in H_G^*$ .*

*Proof.* By the right exactness of tensor products,  $\mathcal{F}^e(M/N) \cong \mathcal{F}^e(M)/N^{[q]}$ . Therefore an element  $cu^q \in N^{[q]}$  iff  $c\bar{u}^q = 0$  in  $\mathcal{F}^e(M/N)$ .  $\square$

*Remark 2.10.* By the proposition above (PROP 2.9), we could always map a free module  $G$  onto  $M$  and then take the preimage  $H$  of  $N$ , therefore the problem of  $N_M^*$  becomes a problem of  $H_M^*$ . If  $G$  is of rank  $n$ , we could choose a free basis and identify  $G$  with  $R^n$ . If we write  $u = r_1 \oplus \cdots \oplus r_n \in H$ , then  $H_G^{[q]}$  is generated by  $u^q = r_1^q \oplus \cdots \oplus r_n^q$ . So we could explicitly define the tight closure like the ideal case. However we have to prove that this definition is independent of those choices we made.

**2.4. Behaviour under base change.** Suppose  $R$  and  $S$  are two Noetherian rings with prime characteristic  $p > 0$ . Let  $f : R \rightarrow S$  be a ring homomorphism. We want to know what happens under the base change functor  $S \otimes_R -$ . We have following proposition:

**Proposition 2.11.** *Suppose  $f : R \rightarrow S$  maps  $R^\circ$  into  $S^\circ$ , then the image of  $S \otimes_R N_M^*$  is contained in the tight closure of  $S \otimes_R N$  in  $S \otimes_R M$ .*

Before giving the proof, notice that the Frobenius map commutes with any ring homomorphism, i.e.  $f \circ F_R^e = F_S^e \circ f$ , which is saying  $f(r^q) = (f(r))^q$ . Therefore we have following observation:

*Observation 2.12.* For every  $R$ -module  $M$ , we have a natural isomorphism  $S \otimes_R \mathcal{F}^e(M) \cong \mathcal{F}^e(S \otimes_R M)$ .

*Proof.* We have to show that for any  $u \in N_M^*$  we have  $1 \otimes u \in (S \otimes_R N)^*$ . First of all we know that there is an element  $c \in R^\circ$  such that  $cu^q \in N_M^{[q]}$  for all  $q \gg 0$ . So  $f(c) \otimes u^q \in S \otimes_R N_M^{[q]} \subseteq S \otimes_R \mathcal{F}^e(M) \cong \mathcal{F}^e(S \otimes_R M)$  and clearly  $f(c) \otimes u^q = f(c)(1 \otimes u)^q$ . So  $1 \otimes u \in (S \otimes_R N)^*$ .  $\square$

*Remark 2.13.* The condition “ $R^\circ$  maps into  $S^\circ$ ” holds when

- (1)  $R \subseteq S$  are domains
- (2)  $R \rightarrow S$  is flat
- (3)  $S = R/P$  where  $P$  is a minimal ideal of  $R$

Here both (1) and (3) are quite clear. For (2), note that for any minimal prime  $Q$  of  $S$ , the contraction  $Q^c = P$  is a prime ideal of  $R$  and we have a map  $R_P \rightarrow S_Q$ . This is a local flat map of local rings, therefore faithfully flat. Then it's injection. (See [faithfully flat notes]) Since  $Q$  is minimal,  $QS_Q$  is nilpotent, which implies that  $PR_P$  is nilpotent. So  $P$  is minimal in  $R$ . Therefore  $R^\circ$  maps into  $S^\circ$ .

**2.5. The main theorem.** Tight closure can be checked modulo every minimal prime of  $R$ .

**Theorem 2.14.** *Consider following settings:*

- Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$  and  $N \subseteq M$  are  $R$ -modules.
- Let  $P_1, \dots, P_n$  be minimal primes of  $R$  and let  $D_i = R/P_i$ .
- Let  $M_i = M \otimes D_i = M/P_i M$  and let  $N_i$  be the image of  $D_i \otimes N$  in  $M_i$ .
- Suppose  $u \in M$  and let  $u_i$  be the image of  $u$  in  $M_i$

Then  $u \in N_M^*$  over  $R$  if and only if for all  $1 \leq i \leq n$ ,  $u_i \in (N_i)_{M_i}^*$  over  $D_i$ .

*Proof.* The “only if” part follows from proposition 2.11 applying to the case  $S = R/P_i$ . It remains to prove the “if” part:

For every  $i$  there is some  $c_i \in R - P_i$  such that for all  $q \gg 0$ , we have  $\bar{c}_i u_i^q \in N_i^{[q]}$ . Here  $N_i^{[q]}$  is the image of  $\mathcal{F}^e(N_i)$  in  $\mathcal{F}^e(M_i) = \mathcal{F}^e(M \otimes R/P_i)$ . Since Frobenius functor commutes with tensor, we have

$$\mathcal{F}^e(M \otimes R/P_i) = R/P_i \otimes \mathcal{F}^e(M) = \mathcal{F}^e(M)/P_i \mathcal{F}^e(M)$$

So the image could be identified as the image of  $N_M^{[q]}$ . Thus

$$c_i u^q \in N^{[q]} + P_i \mathcal{F}^e(M)$$

Choose  $d_i$  in all  $P_j$  but not in  $P_i$ , and let  $J$  be the intersection of all  $P_i$ . Then  $J$  is nilpotent and

$$d_i c_i u^q \in N^{[q]} + J \mathcal{F}^e(M)$$

since every  $d_i P_i \subseteq J$ .

Let  $c = \sum_i c_i d_i$ , then  $c$  is not contained in any  $P_i$ . And we have

$$c u^q \in N^{[q]} + J \mathcal{F}^e(M)$$

for all  $q \gg 0$ , say  $q \geq q_0$ . Choose  $q_1$  such that  $J^{[q_1]} = 0$ . Then  $c^{q_1} u^{qq_1} \in N^{[qq_1]}$  for all  $q \geq q_0$ . But then  $c^{q_1} u^q \in N^{[q]}$  for all  $q \geq q_0 q_1$ .  $\square$

*Remark 2.15.* If  $M = R$  and  $N = I$  in the theorem above (THM 2.14), then an element  $r \in I^*$  iff  $\bar{u} \in (IR/P_i)^*$  for every  $i$ . So we could pass to the reduced ring  $R_{\text{red}} = R/J$

Let  $J$  be the intersection of minimal primes  $P_1, \dots, P_n$  of  $R$ , then  $(R/J)/(P_i/J) \cong R/P_i$  so we have the following corollary:

**Corollary 2.16.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$  and  $J$  be the nilpotent ideal of  $R$ . Let  $N \subseteq M$  be  $R$ -modules and let  $u \in M$ . Then  $u \in N_M^*$  if and only if the image of  $u$  in  $M/JM$  is in the tight closure of the image of  $N/JN$  over  $R/J$ .*

**2.6. Iteration of tight closure.** We have following theorem

**Theorem 2.17.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$  and let  $N \subseteq M$  be  $R$ -modules. Consider the following condition:*

*There exists an element  $c \in R^\circ$  and  $q_0 = p^{e_0}$  such that for all  $u \in N^*$ ,  $c u^q \in N^{[q]}$  for all  $q \geq q_0$ .*

*If the above condition holds, then  $(N_M^*)^*_M = N_M^*$ .*

*Proof.* If the condition holds, then for any  $u \in (N^*)^*$ , there is some  $c \in R^\circ$  such that  $c u^q \in (N^*)^{[q]}$  for all  $q \geq q_0$ . Therefore  $c u^q$  is in the  $R$ -span of  $w^q$  for  $w \in N^*$ . Since there is another  $c'$  such that  $c' w^q \in N^{[q]}$  for all  $w \in N^*$  and all  $q \geq q_1$ , multiply  $c u^q$  by  $c'$  we get  $c' c u^q \in N^{[q]}$  for all  $q \geq \max\{q_0, q_1\}$ . Thus  $u \in N^*$ .  $\square$

It's quite easy to see that when  $N^*/N$  is finitely generated, the boxed condition is automatic. Furthermore, if  $M$  is Noetherian, then both  $N^*$  and  $N$  are finitely generated, therefore boxed condition holds. So we have following corollary

**Corollary 2.18.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ , and let  $N \subseteq M$  be finitely generated modules. Then  $(N_M^*)^*_M = N_M^*$ .*

**2.7. One more proposition.**

**Proposition 2.19.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ . Let  $N \subseteq M$  be  $R$ -modules. If  $u \in N_M^*$ , then for any  $q_0 = p^{e_0}$ , we have  $u^{q_0} \in (N^{[q_0]})^*_{\mathcal{F}^{e_0}(M)}$ .*

*Proof.* This is immediate from the fact that  $(N^{[q_0]})^{[q]} \subseteq \mathcal{F}^e(\mathcal{F}^{e_0}(M))$ .  $\square$

### 3. BRIANÇON-SKODA THEOREM

**3.1. Preliminary.**

**Proposition 3.1.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ . The tight closure of  $0$  is the ideal  $J$  of all nilpotent elements of  $R$*

*Proof.* If  $u \in 0^*$ , then there is some  $c \in R^\circ$  such that  $cu^q = 0$  for all  $q \gg 0$ . Since  $c$  is not in any minimal prime, we have that  $u^q$  is in  $J$  therefore  $u$  is in  $J$ . So  $0^* \subseteq J$ .

On the other hand, any element  $u$  in  $J$  satisfies  $u^{q_0} = 0$  for some  $q_0$ , therefore for any  $q \geq q_0$ , we have  $1 \times u^q = 0$ . So  $J \subseteq 0^*$ .  $\square$

**Proposition 3.2.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ . For every ideal  $I$  of  $R$ , we have  $I^* \subseteq \bar{I} \subseteq \sqrt{I}$ .*

*Remark 3.3.* Here  $\bar{I}$  is the integral closure of  $I$  [See Integral-closure-of-an-ideal]

*Proof.* Suppose  $u \in I^*$ , to show  $u \in \bar{I}$ , it suffices to verify this modulo every minimal prime: So we pass to  $R/P$  hence we may assume that  $R$  is a domain, then we have some  $c \neq 0$  such that  $cu^q \in I^{[q]}$  for large enough  $q$ . This suffices to say that  $u \in \bar{I}$ .

If  $u \in \bar{I}$ , then  $u$  satisfies a monic polynomial

$$u^n + f_1 u^{n-1} + \cdots + f_n = 0$$

where  $f_j \in I^j$ . Thus  $u^n \in I \Rightarrow u \in \sqrt{I}$ .  $\square$

Then we have an obvious corollary:

**Corollary 3.4.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ . Then any radical ideal, prime ideal or integrally closed ideal is tightly closed.*

**3.2. The theorem.** Now we are ready to prove this theorem

**Theorem 3.5 (Brianchon-Skoda).** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ . Let  $I$  be an ideal generated by  $n$  elements, then  $\bar{I}^n \subseteq I^*$*

*Proof.* We can work modulo each minimal prime in turn so we assume that  $R$  is a domain. If  $u \in \bar{I}^n$  then there exists  $c \neq 0$  such that for all  $k \geq 0$ ,  $cu^k \in (I^n)^k = I^{nk}$ . If we choose  $k$  to be  $q = p^e$ , then

$$u \in I^{nq} \subseteq I^{[q]}$$

and we're done.  $\square$

**Corollary 3.6.** *Let  $R$  be a Noetherian ring of prime characteristic  $p > 0$ . Let  $I$  be a principal ideal, then  $\bar{I} = I^*$ .*

**3.3. Application.**

**Proposition 3.7.** *Let  $R$  be a Noetherian domain of prime characteristic  $p > 0$ . If the ideal  $(0)$  and those principal ideals generated by NZDs are tightly closed, then  $R$  is normal.*

*Proof.* Suppose  $\frac{f}{g} \in R$  is algebraic over  $R$ , then we have an equation

$$(f/g)^s + r_1(f/g)^{s-1} + \cdots + r_s = 0$$

with  $r_j \in R$ . Multiplying by  $g^s$  we obtain

$$f^s + r_1 f^{s-1} g + \cdots + r_s g^s = 0$$

So  $f$  is in the integral closure of  $gR$ , which is  $(gR)^* = gR$ . Then  $f = gr \Rightarrow \frac{f}{g} = r$ , so  $R$  is normal.  $\square$

**Theorem 3.8 (Symbolic Power Theorem).** *Let  $P$  be a prime ideal of height  $h$  in a regular ring  $R$  of prime characteristic  $p$ . Then for every integer  $n \geq 1$ , we have  $P^{(hn)} \subseteq P^n$*

To prove the theorem, we need two preliminary results:

**Lemma 3.9.** *Let  $P$  be a prime ideal of height  $h$  in a regular ring  $R$  of prime characteristic  $p$ . Then*

- (1)  $P^{[q]}$  is primary to  $P$

$$(2) P^{(qh)} \subseteq P^{[q]}$$

*Proof.* (1): Clearly  $\sqrt{P^{[q]}} = P$ , we only need to show that every  $f \in R - P$  is an NZD on  $R/P^{[q]}$ . Since

$$0 \rightarrow R/P \xrightarrow{f} R/P$$

is exact, it stays exact after applying the Forbenius functor  $\mathcal{F}$ , hence

$$0 \rightarrow \mathcal{F}^e(R/P) \xrightarrow{f^q} \mathcal{F}^e(R/P)$$

so  $f^q$  is a NZD on  $R/P^{[q]}$ , hence is  $f$ .

(2): Suppose  $u \in P^{(qh)}$ . Make a base change to  $R_P$ , then the image of  $u$  is in  $P^{qh}R_P$ . Now  $PR_P$  is generated by  $h$  elements, so  $P^{[q]}R_P \supseteq P^{(qh)}R_P$  hence  $u \in P^{[q]}R_P$ . Since  $R - P$  elements are NZD on  $R/P^{[q]}$ , we see that  $u \in P^{[q]}$ .  $\square$

#### 4. COLON-CAPTURING

**4.1. Height of ideals.** First we need some results for Cohen-Macaulay rings

**Proposition 4.1.** *Let  $R$  be a Noetherian ring and let  $x_1, \dots, x_d$  generate a proper ideal  $I$  of height  $d$ . Then there exists elements  $y_1, \dots, y_d \in R$  such that for every  $i$*

- $y_i \in x_i + (x_{i+1}, \dots, x_d)R$
- $y_1, \dots, y_i$  generates an ideal of height  $i$

and moreover,  $(y_1, \dots, y_d)R = I$  and  $y_d = x_d$ .

If  $R$  is CM, then  $y_1, \dots, y_d$  is a regular sequence.

*Proof.* First we see that  $x_1 + (x_2, \dots, x_d)R$  is not contained in the union of all minimal primes of  $R$  by the coset form of prime avoidance lemma: otherwise  $\text{ht}(I) = 0$ . So we can choose  $x_1 + \delta_1$  not in any minimal prime. Hence  $y_1 = x_1 + \delta_1$  is a NZD in  $R$ . So  $\text{ht}(y_1) = 1$  and  $(y_1, x_2, \dots, x_d)R = I$ . Now we apply induction to  $R/y_1R$ .  $\square$

**Proposition 4.2.** *Let  $R$  be a Noetherian ring and let  $P$  be a minimal prime of  $R$ . Let  $x_1, \dots, x_d$  be elements of  $R$  such that  $(x_1, \dots, x_i)R/P$  has height  $i$ . Then there exists  $\delta_i \in P$  such that let  $y_i = x_i + \delta_i$ , then  $(y_1, \dots, y_i)R$  has height  $i$ .*

*Proof.* We construct  $\delta_i$  recursively: Let  $\delta_1, \dots, \delta_t$  be chosen. If  $t < d$ , we cannot have  $x_{t+1} + P$  contained in the union of minimal primes of the ideal  $(y_1, \dots, y_t)R$ . Otherwise by prime avoidance we have  $x_{t+1} + P \subseteq Q$ . Then on one hand  $\text{ht}(Q) \leq t$ . On the other hand, after modulo  $P$ , we get  $(x_1, \dots, x_{t+1})R/P \subseteq QR/P \Rightarrow \text{ht}(QR/P) \geq t + 1$ , a contradiction! Then we can choose  $\delta_{t+1} \in x_{t+1} + P$  not in any minimal prime of  $(y_1, \dots, y_t)R$ .  $\square$

**4.2. The theorem.** First we have a lemma:

**Lemma 4.3.** *Let  $P$  be a minimal ideal of height  $n$  in a Cohen-Macaulay ring  $S$ . Let  $x_1, \dots, x_{k+1}$  be elements of  $R = S/P$  such that  $\text{ht}(x_1, \dots, x_k)R = k$  in  $R$  while  $\text{ht}(x_1, \dots, x_{k+1})R = k + 1$ . Then we can choose elements  $y_1, \dots, y_n \in P$  and  $z_1, \dots, z_{k+1} \in S$  such that:*

- (1)  $y_1, \dots, y_n, z_1, \dots, z_{k+1}$  is a regular sequence in  $S$
- (2) The image of  $z_1, \dots, z_k$  in  $R$  generates the ideal  $(x_1, \dots, x_k)R$ .
- (3) The image of  $z_{k+1}$  in  $R$  is  $x_{k+1}$ .

*Proof.* By PROP 4.1 we may assume WLOG that  $x_1, \dots, x_i$  generate an ideal of height  $i$  in  $R$ ,  $1 \leq i \leq k$ . We also know this for  $i = k + 1$ .

Choose  $z_i$  arbitrarily such that  $z_i$  maps to  $x_i$  for  $1 \leq i \leq k + 1$ . Choose a regular sequence  $y_1, \dots, y_h$  of length  $h$  in  $P$ . Then  $P$  is minimal over  $(y_1, \dots, y_h)S$ . By applying PROP 4.2 to the image of  $z_i$  in  $S/(y_1, \dots, y_h)S$  with minimal prime  $P/(y_1, \dots, y_h)$ , we may alter the  $z_i$  by adding elements of  $P$  so that the height of the image of the ideal generated by the images of  $z_1, \dots, z_i$  in  $S/(y_1, \dots, y_h)S$  is  $i$  for  $1 \leq i \leq k + 1$ .

Since  $S/(y_1, \dots, y_h)S$  is again Cohen-Macaulay, it follows from PROP 4.1 that the images of  $z_1, \dots, z_{k+1}$  modulo  $(y_1, \dots, y_h)S$  form a regular sequence, which shows that  $y_1, \dots, y_h, z_1, \dots, z_{k+1}$  form a regular sequence.  $\square$

We can now prove this colon-capturing property:

**Theorem 4.4.** *Let  $R$  be a reduced Noetherian ring of prime characteristic  $p$ . Assume that  $R$  is a homomorphic image of a C-M ring. Let  $x_1, \dots, x_{k+1}$  be elements of  $R$  and let  $I_i = (x_1, \dots, x_i)R$ . Suppose that the image of  $I_k$  has height  $k$  modulo every minimal prime of  $R$  and the image of  $I_{k+1}$  has height  $k + 1$  modulo every minimal prime of  $R$ . Then:*

- (1)  $I_k :_R x_{k+1} \subseteq I_k^*$
- (2) If  $R$  has a test element, then  $I_k^* :_R x_{k+1} \subseteq I_k^*$

*Proof.* To prove the first statement, it suffices to prove it modulo every minimal prime of  $R$ , hence we may assume that  $R$  is a domain and  $R = S/P$  where  $S$  is C-M. By LEM 4.3 above we can choose a regular sequence  $y_1, \dots, y_h, z_1, \dots, z_{k+1}$  such that  $y_1, \dots, y_h \in P$  where  $h = \text{ht}(P)$ . We may also replace these  $x_i$  by the image of  $z_i$ .

Let  $J = (y_1, \dots, y_h)S$ , then  $P$  is nilpotent over  $J \Rightarrow$  there is some  $c \in S - P$  such that  $cP^{[q_0]} \subseteq J$ .

Now suppose we have a relation

$$rx_{k+1} = r_1x_1 + \dots + r_kx_k$$

in  $R$ . Then we can lift  $r, r_1, \dots, r_k$  to elements  $s, s_1, \dots, s_k \in S$  such that

$$sz_{k+1} = s_1z_1 + \dots + s_kz_k + v$$

for some  $v \in P$ . Raise both side to  $q^{\text{th}}$  power and multiply by  $c$  to get

$$cs^qz_{k+1}^q = cs_1^qz_1^q + \dots + cs_k^qz_k^q + cv^q$$

Notice that we have  $cv^q \in (y_1, \dots, y_h)$ . Therefore

$$cs^qz_{k+1}^q \in (z_1^q, \dots, z_k^q, y_1, \dots, y_h)S$$

But  $y_1, \dots, y_h, z_1^q, \dots, z_{k+1}^q$  form a regular sequence in  $S$ , so

$$cs^q \in (z_1^q, \dots, z_k^q, y_1, \dots, y_h)S$$

Let  $\bar{c}$  be the image of  $c$  in  $R$ , then  $\bar{c} \in R^\circ$ . Modulo  $P$  we have

$$\bar{c}r^q \in (x_1, \dots, x_k)^{[q]}$$

for all  $q \geq q_0$ . Hence  $r \in (x_1, \dots, x_k)^*$  in  $R$ . This completes the proof of the first part.

For the second part: Suppose  $R$  has a test element  $d \in R^\circ$ , that  $r \in R$  and that  $rx_{k+1} \in I_k^*$ . Then there exists  $c \in R^\circ$  such that  $c(rx_{k+1})^q \in (I_k^*)^{[q]}$  for all  $q \gg 0$ . Note that  $(I_k^*)^{[q]} \subseteq (I_k^{[q]})^*$ . So we have

$$c(rx_{k+1})^q \in (I_k^{[q]})^* \Rightarrow dcr^q x_{k+1}^q \in I_k^{[q]}$$

Now apply part 1 we get

$$dcr^q \in (I_k^{[q]})^* \Rightarrow d^2cr^q \in I_k^{[q]} \Rightarrow r \in I_k^*$$

$\square$

**Corollary 4.5.** *Let  $R$  be a holomorphic image of a C-M ring and assume that  $R$  is weakly F-regular, then  $R$  is C-M.*

*Proof.* Consider  $R_m$  where  $m$  is a maximal ideal of  $R$ , it's still weakly F-regular, hence it's normal. So we may assume that  $(R, m)$  is local domain. Now choose a system of parameters and apply the colon capturing theorem.  $\square$



## 5. RELATIONS TO OTHER CLOSURES

5.1. **Plus Closure.** We note following lemma:

**Lemma 5.1.** *Let  $D$  be a domain and let  $M$  be a finitely generated torsion-free module over  $D$ . Then*

- *$M$  can be embedded in  $R^n$  where  $n$  is the torsion-free rank of  $M$*
- *For any nonzero element  $u \in M$ , there is an  $R$ -linear map  $\theta : M \rightarrow R$  such that  $\theta(u) \neq 0$ .*

*Proof.* We can choose  $n$  elements  $v_1, \dots, v_n$  of  $M$  that are linearly independent over  $\text{Frac}(R)$  and let  $u_1, \dots, u_n$  be a set of generators of  $M$ . Then each  $u_i$  is a  $\text{Frac}(D)$ -linear combination of  $v_i$ 's. So we can clear the denominator and assume that  $c_i u_i \in D^n$ . Let  $c = c_1 \cdots c_n$ , then  $c u_i \in D^n$ . So  $cM \subseteq D^n$ , but  $M \cong cM$ .

If  $u \neq 0$ , then the image of  $u$  in  $D^n$  is not zero, i.e. some coordinate is nonzero. Let  $\theta$  be the composition of  $M \rightarrow D^n$  with the projection. □

**Theorem 5.2.** *Let  $R$  be a Noetherian ring and  $R \subseteq S$  is an integral extension. Let  $I \subseteq R$  be an ideal of  $R$ , then  $IS \cap R \subseteq I^*$*

*Proof.* Let  $r \in IS \cap R$ , again we can work modulo every minimal prime  $P$  of  $R$  in turn. Let  $Q$  be the prime of  $S$  lying over  $P$ , then  $R/P \hookrightarrow S/Q$  and the image of  $r$  in  $R/P$  is in  $IS/Q$ . We hence assume that  $R$  and  $S$  are domains.

Let  $f_1, \dots, f_h$  generate  $I$  so we can write

$$r = s_1 f_1 + \cdots + s_h f_h$$

where  $s_i \in S$ . Now we can replace  $S$  by  $R[f_1, \dots, f_h]$  and assume that  $S$  is module-finite over  $R$ . By the lemma above (LEM 5.1) we know that  $S$  is a solid algebra, now the result is easy to prove. □