

# SMALL CATEGORIES WITHOUT LOOPS AND COMPLEXES OF GROUPS

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ABSTRACT. This is the final project for Higher Algebra 1, submitted to Prof. Mik  el Pichot in the fall of 2013. We present an introduction to small categories without loops (or scwols) and complexes of groups following the treatment of [1], which generalizes Bass-Serre theory to higher dimensions.

## 1. SMALL CATEGORIES WITHOUT LOOPS

### 1.1. Definitions and Examples.

**Definition 1.** A *small category without loops* (scwol)  $\mathcal{X}$  consists of two sets, the vertices  $V(\mathcal{X})$  and the edges  $E(\mathcal{X})$ , along with the initial and terminal vertex maps  $i, t: E(\mathcal{X}) \rightarrow V(\mathcal{X})$ . Further, let  $E^{(2)}(\mathcal{X})$  be the composable edges i.e. pairs  $(a, b) \in E(\mathcal{X}) \times E(\mathcal{X})$  such that  $i(a) = t(b)$ ; then, we also have the composition map  $E^{(2)}(\mathcal{X}) \rightarrow E(\mathcal{X})$  given by  $(a, b) \mapsto ab$ . We require that it satisfies the following conditions:

- (1) For  $(a, b) \in E^{(2)}(\mathcal{X})$ ,  $i(ab) = i(b)$  and  $t(ab) = t(a)$ .
- (2) For  $a, b, c \in E(\mathcal{X})$  such that  $i(a) = t(b)$  and  $i(b) = t(c)$ ,  $(ab)c = a(bc)$ .
- (3) There does not exist  $a \in E(\mathcal{X})$  such that  $i(a) = t(a)$ .

**Definition 2.** Given a scwol  $\mathcal{X}$ , a *subscwol*  $\mathcal{X}'$  consists of subsets  $V(\mathcal{X}') \subseteq V(\mathcal{X})$  and  $E(\mathcal{X}') \subseteq E(\mathcal{X})$ , along with the following closure conditions on the maps:

- (1) If  $a \in E(\mathcal{X}')$ , then  $i(a), t(a) \in V(\mathcal{X}')$ .
- (2) If  $a, b \in E(\mathcal{X}')$  are such that  $i(a) = t(b)$ , then  $ab \in E(\mathcal{X}')$ .

Given  $x, y \in V(\mathcal{X})$ , we declare  $x \sim y$  if there exists an edge  $e \in E(\mathcal{X})$  such that  $i(e) = x$  and  $t(e) = y$ . The equivalence classes of  $V(\mathcal{X})$  are called the *connected components* of  $\mathcal{X}$ , and  $\mathcal{X}$  is said to be *connected* if there is only one equivalence class. It follows that any scwol can be written as a disjoint union of connected subscwols.

**Example 3.** A poset  $(X, <)$  can be realized as a scwol  $\mathcal{X}$  as follows: let  $V(\mathcal{X}) = X$  and  $E(\mathcal{X})$  consist of pairs  $(x, y) \in X^2$  such that  $x < y$ . In this case, the initial and terminal vertex maps are given by  $i(x, y) = y$  and  $t(x, y) = x$ ; the composition is defined by  $(x, y)(y, z) := (x, z)$ .

One can realize a scwol  $\mathcal{X}$  as the set of arrows of a small category:  $V(\mathcal{X})$  consists of the identity arrows and  $E(\mathcal{X})$  consists of the non-identity arrows. In this manner, subscwols correspond to subcategories.

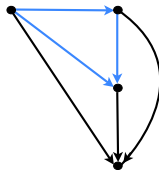


FIGURE 1. A scwol represented pictorially as a directed graph; the blue edges determine a subscwol.

## 1.2. Morphisms and Group Actions.

**Definition 4.** Given scwols  $\mathcal{X}$  and  $\mathcal{Y}$ , a *morphism*  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is a map such that  $f(V(\mathcal{X})) \subseteq V(\mathcal{Y})$  and  $f(E(\mathcal{X})) \subseteq E(\mathcal{Y})$  that satisfies the following conditions:

- (1) For  $a \in E(\mathcal{X})$ ,  $i(f(a)) = f(i(a))$  and  $t(f(a)) = f(t(a))$ .
- (2) For  $(a, b) \in E^{(2)}(\mathcal{X})$ ,  $f(ab) = f(a)f(b)$ .
- (3) For  $x \in V(\mathcal{X})$ , the restriction of  $f$  is a bijection

$$\{a \in E(\mathcal{X}) : i(a) = x\} \longrightarrow \{b \in E(\mathcal{Y}) : i(b) = f(x)\}. \quad (1.1)$$

Remark that a morphism  $f: \mathcal{X} \rightarrow \mathcal{Y}$  of scwols corresponds to a functor  $\mathcal{X} \rightarrow \mathcal{Y}$  of categories, so we will say that the morphism  $f$  is an *isomorphism* if the corresponding functor is an isomorphism.

**Definition 5.** Given a group  $G$  and a scwol  $\mathcal{X}$ , group action  $G \curvearrowright \mathcal{X}$  is a morphism  $G \rightarrow \text{Aut}(\mathcal{X})$  such that for  $a \in E(\mathcal{X})$  and  $g \in G$ ,

- (1)  $g \cdot i(a) \neq t(a)$ ,
- (2) if  $g \cdot i(a) = i(a)$ , then  $g \cdot a = a$ .

The first condition stipulates that the action of the group cannot construct loops, and the second implies that if  $g \in G$  stabilizes the initial vertex  $i(a)$  of the edge  $a \in E(\mathcal{X})$ , then it must stabilize the edge  $a$  as well. Consequently, the quotient by the group action is a scwol in the obvious way: let  $V(\mathcal{X}/G) = V(\mathcal{X})/G$  and  $E(\mathcal{X}/G) = E(\mathcal{X})/G$ . The initial vertex of  $\bar{a} \in V(\mathcal{X}/G)$  is  $\bar{i(a)}$ , and similarly the terminal vertex is  $\bar{t(a)}$ ; remark that  $\bar{i(a)} \neq \bar{t(a)}$  by the first condition. Furthermore, composition is defined by  $(\bar{a}, \bar{b}) \mapsto \overline{ab}$  for  $(a, b) \in E^{(2)}(\mathcal{X})$ .

**Proposition 6.** *Given a group action  $G \curvearrowright \mathcal{X}$ , the natural projection  $p: \mathcal{X} \rightarrow \mathcal{X}/G$  is a morphism of scwols.*

*Proof.* The  $p$ -equivariance of the initial and terminal vertex maps follows from the definition of  $\mathcal{X}/G$  as a scwol; similarly, the second condition follows from the composition rule in  $\mathcal{X}/G$ . Finally, for any  $x \in V(\mathcal{X})$ , the restriction of  $p$  to  $\{a \in E(\mathcal{X}) : i(a) = x\}$  surjects onto  $\{b \in E(\mathcal{X}/G) : i(b) = p(x)\}$ , by construction of the set  $E(\mathcal{X}/G)$ . It remains to show injectivity of the restriction: if  $p(a) = p(b)$ , then there exists  $g \in G$  such that  $g \cdot a = b$ . In particular,  $g \cdot i(a) = i(b) = i(a) = x \Rightarrow g \cdot a = a$ , i.e.  $a = b$ .  $\square$

**1.3. The Geometric Realization.** Let  $E^{(k)}(\mathcal{X})$  denote the set of composable sequences of length  $k$ , i.e.  $(a_1, \dots, a_k) \in E(\mathcal{X})^k$  such that  $(a_i, a_{i+1}) \in E^{(2)}(\mathcal{X})$  for all  $i = 1, \dots, k-1$ . Also, let  $\Delta^k$  denote the standard  $k$ -simplex in  $\mathbb{R}^{k+1}$ .

To realize  $\mathcal{X}$  as a geometric object, we will glue together simplices in a manner prescribed by the scwol; to identify simplices, we define the following ‘boundary’ maps. If  $k > 1$ , let  $\partial_i: E^{(k)}(\mathcal{X}) \rightarrow E^{(k-1)}(\mathcal{X})$  be given by

$$\partial_i(a_1, \dots, a_k) := \begin{cases} (a_2, \dots, a_k), & \text{if } i = 0, \\ (a_1, \dots, a_i a_{i+1}, \dots, a_k), & \text{if } i \in [1, k], \\ (a_1, \dots, a_{k-1}), & \text{if } i = k. \end{cases} \quad (1.2)$$

If  $k = 1$ ,  $\partial_0 = i$  and  $\partial_1 = t$ . A simple computation yields the relation  $\partial_i \partial_j = \partial_{j-1} \partial_i$  when  $i < j$ . Further, let  $d_i: \Delta^{k-1} \rightarrow \Delta^k$  be given by

$$d_i(t_0, \dots, t_{k-1}) := (t_0, \dots, t_{i-1}, 0, t_i, \dots, t_{k-1}). \quad (1.3)$$

Similarly, we have the relation  $d_i d_j = d_{j+1} d_i$  if  $i \leq j$ . This map  $d_i$  can be thought of as the inclusion of  $\Delta^{k-1}$  into  $\Delta^k$  along the hyperplane  $t_i = 0$ .

Now, consider the space

$$X = \bigsqcup_{\substack{k \in \mathbb{Z}_{\geq 0} \\ A \in E^{(k)}(\mathcal{X})}} \Delta^k \times \{A\}. \quad (1.4)$$

Given  $x \in \Delta^{k-1}$  and  $A \in E^{(k)}(\mathcal{X})$ , we declare  $(d_i(x), A) \sim (x, \partial_i(A))$  as points in  $X$ . The quotient of  $X$  by this equivalence relation is called the geometric realization of the scwol  $\mathcal{X}$ , and is denoted  $|\mathcal{X}|$ . The geometric realization can be metrized in a natural way, by considering it as a piecewise Euclidean complex. By applying induction on  $k$  and using the relations on  $d_i$  and  $\partial_j$ , one can show that

**Lemma 7.** *For  $A \in E^{(k)}(\mathcal{X})$ , the quotient map  $q: X \rightarrow |\mathcal{X}|$  restricted to  $\Delta^k \times \{A\} \subset X$  is injective.*

**Proposition 8.** *A morphism  $f: \mathcal{X} \rightarrow \mathcal{Y}$  induces a map  $|f|: |\mathcal{X}| \rightarrow |\mathcal{Y}|$ .*

*Proof.* Identifying 0-cells of  $|\mathcal{X}|$  and  $|\mathcal{Y}|$  with vertices of  $\mathcal{X}$  and  $\mathcal{Y}$  respectively, let  $|f|$  send  $x \in V(\mathcal{X})$  to  $f(x) \in V(\mathcal{Y})$ . As the geometric realizations are built out of simplices, for  $|f|$  to be well-defined, we require that it be an affine transformation (i.e. it sends  $n$ -cells to  $n$ -cells).  $\square$

The injectivity of the quotient map restricted to each simplex implies that if  $f: \mathcal{X} \rightarrow \mathcal{Y}$  is an isomorphism, then  $|f|$  is an isometry. As a consequence, a group action  $G \curvearrowright \mathcal{X}$  induces an action  $G \curvearrowright |\mathcal{X}|$  by isometries, because the automorphism corresponding to  $g \in G$  induces an isometry  $|g|$  on  $|\mathcal{X}|$  by the above Proposition.

**Example 9.** Given a connected scwol  $\mathcal{X}$  such that  $E^{(2)}(\mathcal{X}) = \emptyset$ , the geometric realization  $|\mathcal{X}|$  is a connected bipartite graph. The bipartition is given by vertices that are *sources* and *sinks*: the sources are only ever the initial vertex of an edge and the sinks are only ever the terminal vertex of an edge.

**Example 10.** The geometric realization of the scwol given in Figure 1 is a standard 3-simplex; the geometric realization of the subscwol (which was determined by the blue edges) is a standard 2-simplex.

#### 1.4. Covering Theory of Scwols.

**Definition 11.** Given scwols  $\mathcal{X}, \mathcal{Y}$  with  $\mathcal{X}$  connected<sup>1</sup> a morphism  $\rho: \mathcal{Y} \rightarrow \mathcal{X}$  is a *covering* if for any  $y \in V(\mathcal{Y})$ ,

- (1)  $\rho: \{b \in E(\mathcal{Y}): t(b) = y\} \rightarrow \{a \in E(\mathcal{X}): t(a) = \rho(y)\}$  is a bijection,
- (2)  $\rho: \{b \in E(\mathcal{Y}): i(b) = y\} \rightarrow \{a \in E(\mathcal{X}): i(a) = \rho(y)\}$  is a bijection.

**Remark 12.** If  $\rho: \mathcal{Y} \rightarrow \mathcal{X}$  is a covering, it follows that the map  $|\rho|: |\mathcal{Y}| \rightarrow |\mathcal{X}|$  which is induced on the geometric realization is a covering map of topological spaces.

**Lemma 13.** *Given a free action  $G \curvearrowright \mathcal{X}$  such that  $\mathcal{X}/G$  is connected, then the natural projection  $p: \mathcal{X} \rightarrow \mathcal{X}/G$  is a covering.*

*Proof.* For any  $x \in V(\mathcal{X})$ , the restriction of  $p$  to  $\{a \in E(\mathcal{X}): t(a) = x\}$  surjects onto  $\{b \in E(\mathcal{X}/G): t(b) = p(x)\}$ , by construction of the set  $E(\mathcal{X}/G)$ . It remains to show injectivity of the restriction: if  $p(a) = p(b)$ , then there exists  $g \in G$  such that  $g \cdot a = b$ . In particular,  $g \cdot t(a) = t(b) = t(a) = x \iff g \cdot x = x \Rightarrow g = e$ , as the action is free; thus,  $a = b$  i.e. the restriction is injective. The second condition follows because  $p: \mathcal{X} \rightarrow \mathcal{X}/G$  is a morphism of scwols.  $\square$

Let  $E^\pm(\mathcal{X}) = E(\mathcal{X}) \sqcup E(\mathcal{X})^{op}$ , where  $E(\mathcal{X})^{op}$  consists of all the edges in  $E(\mathcal{X})$  with the initial and terminal vertices swapped. An *edge path* in  $\mathcal{X}$  joining  $x, y \in V(\mathcal{X})$  is a sequence  $c = (e_1, \dots, e_k)$  with  $e_i \in E^\pm(\mathcal{X})$  such that  $i(e_1) = x$ ,  $t(e_i) = e_{i+1}$ , and  $t(e_k) = y$ . If  $c' = (e'_1, \dots, e'_\ell)$  is an edge path joining  $y, z \in V(\mathcal{X})$ , then denote the concatenation of  $c$  and  $c'$  by

$$c * c' = (e_1, \dots, e_k, e'_1, \dots, e'_\ell). \quad (1.5)$$

Given  $a \in E(\mathcal{X})$ , denote the opposite edge by  $a^{op} \in E(\mathcal{X})^{op}$ . One can then reduce an edge path  $c$  by eliminating subsequences in the following two ways:

$$(\dots, e_{i-1}, a, a^{op}, e_{i+2}, \dots) \mapsto (\dots, e_{i-1}, e_{i+2}, \dots), \quad (1.6)$$

$$(\dots, e_{i-1}, a, b, e_{i+2}, \dots) \mapsto (\dots, e_{i-1}, ab, e_{i+2}, \dots), \text{ if } (a, b) \in E^{(2)}(\mathcal{X}) \text{ or } (a^{op}, b^{op}) \in E^{(2)}(\mathcal{X}). \quad (1.7)$$

<sup>1</sup>If  $\mathcal{X}$  is not connected, a covering of  $\mathcal{X}$  is an epimorphism  $\rho: \mathcal{Y} \rightarrow \mathcal{X}$  such that for any  $\mathcal{X}'$  is a connected component of  $\mathcal{X}$ , then the restriction  $\rho: \rho^{-1}(\mathcal{X}') \rightarrow \mathcal{X}'$  is a covering in the sense above. However, we will only consider connected scwols in this section.

If the edge path  $c'$  can be obtained from  $c$  by applying the above reductions or their inverses, then they are said to be *homotopic*. Assume in addition that the scwol  $\mathcal{X}$  is connected, then the homotopy classes of edge paths joining  $x \in V(\mathcal{X})$  (i.e. loops) form a group under the concatenation operation, where the inverse of  $c$  is given by  $c^{-1} = (e_k^{op}, \dots, e_1^{op})$ . This group is called the *fundamental group* of  $\mathcal{X}$  at  $x$ , denoted  $\pi_1(\mathcal{X}, x)$ .<sup>2</sup>

**Theorem 14.** *Given  $y \in V(\mathcal{Y})$ , a covering  $\rho: \mathcal{Y} \rightarrow \mathcal{X}$  induces a monomorphism  $\bar{\rho}: \pi_1(\mathcal{Y}, y) \rightarrow \pi_1(\mathcal{X}, \rho(y))$ .*

*Proof.* If  $c' = (e'_1, \dots, e'_k)$  is a loop in  $\mathcal{Y}$  based at  $y$ , then it is said to be a *lifting* of a loop  $c = (e_1, \dots, e_k)$  in  $\mathcal{X}$  based at  $\rho(y)$  if  $e_i = \rho(e'_i)$  for all  $i$ . As a covering is a ‘local bijection,’ given a loop  $c$  in  $\mathcal{X}$  there is a unique lifting in  $\mathcal{Y}$ . Furthermore, homotopic edge paths in  $\mathcal{Y}$  are mapped to homotopic edge paths in  $\mathcal{X}$ , as the covering is a morphism. Consequently, for each homotopy class of loops in  $\mathcal{Y}$  based at  $y$ , there is a unique homotopy class of loops in  $\mathcal{X}$  based at  $\rho(y)$  to which they are mapped by  $\rho$ ; this induces an injective morphism  $\pi_1(\mathcal{Y}, y) \hookrightarrow \pi_1(\mathcal{X}, \rho(y))$  of groups.  $\square$

**Definition 15.** Given a connected scwol  $\mathcal{X}$  and group  $G$ , a *Galois covering* of  $\mathcal{X}$  with *Galois group*  $G$  is a covering  $\rho: \mathcal{Y} \rightarrow \mathcal{X}$  and a free action  $G \curvearrowright \mathcal{Y}$  such that  $\mathcal{Y}/G \simeq \mathcal{X}$ .

**Example 16.** Given a connected scwol  $\mathcal{X}$ , one can construct a simply connected cover  $\tilde{\mathcal{X}}$  in a canonical way (see page 529 of [1]). Given a base vertex  $x \in V(\mathcal{X})$ , the associated covering  $\rho: \tilde{\mathcal{X}} \rightarrow \mathcal{X}$  is a Galois covering with Galois group  $\pi_1(\mathcal{X}, x)$ . In fact, any action  $G \curvearrowright \mathcal{X}$  can be lifted to an action on  $\tilde{\mathcal{X}}$ .

## 2. COMPLEXES OF GROUPS

### 2.1. Definitions and Examples.

**Definition 17.** Given a scwol  $\mathcal{X}$ , a *complex of groups*  $G(\mathcal{X}) = (G_x, \psi_a, g_{a,b})$  over  $\mathcal{X}$  is the triple determined by

- (1) For  $x \in V(\mathcal{X})$ , there is a group  $G_x$ , which is called the *local group* at  $x$ .
- (2) For  $a \in E(\mathcal{X})$ , there is a monomorphism  $\psi_a: G_{i(a)} \rightarrow G_{t(a)}$ .
- (3) For  $(a, b) \in E^{(2)}(\mathcal{X})$ , there is a *twisting element*  $g_{a,b} \in G_{t(a)}$  that satisfies the following two properties: if we denote conjugation by  $g_{a,b}$  as  $\text{Ad}(g_{a,b}) \in \text{Aut}(G_{t(a)})$ , then

$$\text{Ad}(g_{a,b})\psi_{ab} = \psi_a\psi_b, \tag{2.1}$$

and for any  $(a, b, c) \in E^{(3)}(\mathcal{X})$ , the twisting elements satisfy the *cocycle condition*

$$\psi_a(g_{b,c})g_{a,bc} = g_{a,b}g_{ab,c} \in G_{t(a)}. \tag{2.2}$$

If all of the twisting elements are trivial, we say that the complex of groups  $G(\mathcal{X})$  over  $\mathcal{X}$  is *simple*.

**Remark 18.** If  $\mathcal{X}$  is a 1-dimensional scwol<sup>3</sup>, then (2.1) is a vacuous condition, and a complex of groups  $G(\mathcal{X})$  over  $\mathcal{X}$  is the same as a graph of groups, as in [4]. Moreover, (2.2) is trivially satisfied when the dimension of  $\mathcal{X}$  is  $\leq 2$ , as in that case  $E^{(3)}(\mathcal{X}) = \emptyset$ .

**Definition 19.** Let  $f: \mathcal{X} \rightarrow \mathcal{Y}$  be a morphism of scwols, and let  $G(\mathcal{X}) = (G_x, \psi_a, g_{a,b}), G(\mathcal{Y}) = (G_y, \psi_{a'}, g_{a',b'})$  be complexes of groups over  $\mathcal{X}, \mathcal{Y}$  respectively. A *morphism over  $f$*  is a pair  $\phi = (\phi_x, \phi(a))$  such that

- (1) For any  $x \in V(\mathcal{X})$ , there is a group morphism  $\phi_x: G_x \rightarrow G_{f(x)}$ .
- (2) For any  $a \in E(\mathcal{X})$ , there is an element  $\phi(a) \in G_{t(f(a))}$  such that

$$\text{Ad}(\phi(a))\psi_{f(a)}\phi_{i(a)} = \phi_{t(a)}\psi_a, \tag{2.3}$$

and for any  $(a, b) \in E^{(2)}(\mathcal{X})$ ,

$$\phi_{t(a)}(g_{a,b}) \cdot \phi(ab) = \phi(a) \cdot \psi_{f(a)}(\phi(b)) \cdot g_{f(a),f(b)}. \tag{2.4}$$

<sup>2</sup>In fact, one can show that the fundamental group  $\pi_1(\mathcal{X}, x)$  of a connected scwol  $\mathcal{X}$  is isomorphic to the fundamental group  $\pi_1(|\mathcal{X}|, x)$  of its geometric realization, where the vertex  $x \in V(\mathcal{X})$  is identified with the corresponding 0-cell in  $|\mathcal{X}|$ .

<sup>3</sup>The dimension of a scwol  $\mathcal{X}$  is defined to be the maximal  $k \in \mathbb{Z}_{\geq 0}$  for which  $E^{(k)}(\mathcal{X})$  is non-empty. Equivalently, the dimension is the maximal dimension of a simplex in the geometric realization  $|\mathcal{X}|$ .

In the case where  $f$  is an isomorphism of scwols and each  $\phi_x$  is an isomorphism of groups, we say that  $\phi$  is an *isomorphism* of complexes of groups. If each morphism  $\phi_x$  is injective, we say that  $\phi$  is *injective on local groups*.

**Example 20.** A group can be viewed as a complex of groups over the trivial scwol (i.e. a single vertex).

The above definitions appear more natural if we consider the complex of groups instead as a category. Indeed, given a complex of groups  $G(\mathcal{X})$  over a scwol  $\mathcal{X}$ , let  $CG(\mathcal{X})$  be the small category whose objects are the vertices  $V(\mathcal{X})$  and whose arrows are the pairs  $(g, \alpha)$  for  $\alpha \in \mathcal{X}$  and  $g \in G_{t(\alpha)}$ . The source and range of an arrow  $(g, \alpha)$  are given by the initial and terminal vertex of  $\alpha$ , respectively. Further, given arrows  $(g, \alpha)$  and  $(h, \beta)$ , they are composable if  $\alpha$  and  $\beta$  are composable; in this case,

$$(g, \alpha) \circ (h, \beta) = (g \cdot \psi_\alpha(h) \cdot g_{\alpha, \beta}, \alpha\beta). \quad (2.5)$$

In this context, the conditions (2.1) and (2.2) are exactly equivalent to this composition rule being associative. Moreover, a morphism  $\phi: G(\mathcal{X}) \rightarrow G(\mathcal{Y})$  over  $f: \mathcal{X} \rightarrow \mathcal{Y}$  induces a functor  $CG(\mathcal{X}) \rightarrow CG(\mathcal{Y})$ : on objects, this functor is just the restriction of  $f$  to vertices and on arrows, it is given by

$$(g, \alpha) \mapsto (\phi_{t(\alpha)}(g) \cdot \phi(\alpha), f(\alpha)). \quad (2.6)$$

The conditions (2.3) and (2.4) are equivalent to this functor preserving composition of arrows.

**2.2. Developability.** Let  $G \curvearrowright \mathcal{X}$  with  $\mathcal{Y} = \mathcal{X}/G$ , and let  $p: \mathcal{X} \rightarrow \mathcal{Y}$  be the natural projection. Given  $y \in V(\mathcal{Y})$ , let  $\bar{y} \in V(\mathcal{X})$  be such that  $p(\bar{y}) = y$ . As  $p$  is a ‘local bijection,’ given  $a \in E(\mathcal{Y})$  with  $i(a) = y$ , there exists a unique lift  $\bar{a} \in E(\mathcal{X})$  with  $i(\bar{a}) = \bar{y}$ . It is not true in general that  $t(\bar{a})$  is  $\bar{t(a)}$ ; however, they are in the same  $G$ -orbit, so there exists  $h_a \in G$  such that  $h_a \cdot t(\bar{a}) = \bar{t(a)}$ .

For  $y \in V(\mathcal{Y})$ , let  $G_y := \text{Stab}_G(\bar{y})$  and for any  $a \in E(\mathcal{Y})$ , consider the morphism  $\psi_a: G_{i(a)} \rightarrow G$  given by

$$\psi_a(g) = h_a \cdot g \cdot h_a^{-1}. \quad (2.7)$$

In fact,  $\text{im}(\psi_a) \subseteq G_{t(a)}$ , so it is considered as a morphism  $\psi_a: G_{i(a)} \rightarrow G_{t(a)}$ . Finally, for each  $(a, b) \in E^{(2)}(\mathcal{Y})$ , define the twisting element  $g_{a,b} = h_a h_b h_{ab}^{-1} \in G_{t(a)}$ .

**Proposition 21.** *The triple  $G(\mathcal{Y}) = (G_y, \psi_a, g_{a,b})$  defines a complex of groups over  $\mathcal{Y}$ .*

*Proof.* Each  $\psi_a$  is an inner automorphism, and thus a monomorphism. Next if  $(a, b) \in E^{(2)}(\mathcal{X})$ , then for  $g \in G_{t(a)}$

$$\text{Ad}_{(g_{a,b})} \circ \psi_{ab}(g) = h_a h_b h_{ab}^{-1} (h_{ab} g h_{ab}^{-1}) h_{ab} h_b^{-1} h_a^{-1} = h_a h_b g h_b^{-1} h_a^{-1} = h_a \psi_b(g) h_a^{-1} = \psi_a \circ \psi_b(g).$$

If  $(a, b, c) \in E^{(3)}(\mathcal{X})$ , then

$$\psi_a(g_{b,c}) g_{a,bc} = h_a h_b h_c h_{bc}^{-1} h_a^{-1} h_a h_{bc} h_{abc}^{-1} = h_a h_b h_c h_{abc}^{-1} = h_a h_b h_{ab}^{-1} h_{ab} h_c h_{abc}^{-1} = g_{a,b} g_{ab,c}.$$

□

**Example 22.** If  $0$  denotes the trivial scwol, then there exists a degenerate morphism  $f: \mathcal{Y} \rightarrow 0$  for any scwol  $\mathcal{Y}$ . Now if  $G(\mathcal{Y})$  is as above, then there exists a canonical morphism  $\phi = (\phi_y, \phi(a)): G(\mathcal{Y}) \rightarrow G$  over  $f$ , where  $\phi_y: G_y \hookrightarrow G$  is the natural inclusion map and  $\phi(a) := h_a \in G_{t(a)}$ . It is clear that  $\phi$  is injective on local groups.

**Definition 23.** A complex of groups  $G(\mathcal{Y})$  is said to be *developable* if it is isomorphic to some complex of groups which arises from a group action  $G \curvearrowright \mathcal{X}$  with  $\mathcal{Y} \simeq \mathcal{X}/G$ .

**Proposition 24.** *Let  $\mathcal{Y}$  be a connected scwol. If  $G(\mathcal{Y})$  is a developable complex of groups over  $\mathcal{Y}$ , then it is isomorphic to some complex of groups which arises from a group action  $G \curvearrowright \mathcal{X}$ , where  $\mathcal{X}$  is simply connected.*

*Proof.* There exists an action  $G \curvearrowright \mathcal{X}$  such that  $\mathcal{X}/G \simeq \mathcal{Y}$  and such that the complex of groups arising from the action is isomorphic to  $G(\mathcal{Y})$ . Take a connected component  $\mathcal{X}_0 \subset \mathcal{X}$ , and let  $G_0 = \{g \in G: g|_{\mathcal{X}_0} \in \text{Aut}(\mathcal{X}_0)\}$ , then since  $\mathcal{X}/G$  is connected,  $\mathcal{X}_0/G_0 \simeq \mathcal{X}/G \simeq \mathcal{Y}$ . The construction on page 541 of [1] then allows us to construct a universal covering space  $\tilde{\mathcal{X}}_0$  of  $\mathcal{X}_0$  and a group action  $\tilde{G} \curvearrowright \tilde{\mathcal{X}}_0$  such that  $\tilde{\mathcal{X}}_0/\tilde{G} \simeq \mathcal{X}_0/G_0 \simeq \mathcal{Y}$ , as required. □

The following result is known as the ‘basic construction’ in the literature.

**Theorem 25.** *Let  $G(\mathcal{Y}) = (G_y, \psi_a, g_{a,b})$  be a complex of groups over a scwol  $\mathcal{Y}$  and let  $G$  be a group. Given a morphism  $\phi: G(\mathcal{Y}) \rightarrow G$ , there exists a scwol  $D(\mathcal{Y}, \phi)$  and an action  $G \curvearrowright D(\mathcal{Y}, \phi)$  such that  $D(\mathcal{Y}, \phi)/G \simeq \mathcal{Y}$ . If in addition  $\phi$  is injective on local groups, then the action  $G \curvearrowright D(\mathcal{Y}, \phi)$  gives rise to  $G(\mathcal{Y})$  and  $\phi$  is the canonical morphism.*

*Furthermore, if  $G(\mathcal{Y})$  arises from the action  $G \curvearrowright \mathcal{X}$  and  $\phi: G(\mathcal{Y}) \rightarrow G$  is the canonical morphism, then there exist a  $G$ -equivariant isomorphism  $\mathcal{X} \xrightarrow{\sim} D(\mathcal{Y}, \phi)$  such that the induced quotient map on  $\mathcal{Y}$  is the identity.*

We say that the scwol  $D(\mathcal{Y}, \phi)$  is the *development* of  $\mathcal{Y}$  with respect to  $\phi$ .

*Proof.* The development  $D(\mathcal{Y}, \phi)$  is defined in terms of pairs depending on the cokernels of the local groups under  $\phi$ ; indeed, let

$$V(D(\mathcal{Y}, \phi)) := \{(g \cdot \phi_y(G_y), y) : y \in V(\mathcal{Y}), g \cdot \phi_y(G_y) \in G/\phi_y(G_y)\}, \quad (2.8)$$

$$E(D(\mathcal{Y}, \phi)) := \{(g \cdot \phi_{i(a)}(G_{i(a)}), a) : a \in E(\mathcal{Y}), g \cdot \phi_{i(a)}(G_{i(a)}) \in G/\phi_{i(a)}(G_{i(a)})\}. \quad (2.9)$$

It is then natural to define the initial and terminal vertex maps as follows: if  $(g \cdot \phi_{i(a)}(G_{i(a)}), a) \in E(D(\mathcal{Y}, \phi))$ ,

$$i(g \cdot \phi_{i(a)}(G_{i(a)}), a) = (g \cdot \phi_{i(a)}(G_{i(a)}), i(a)), \quad (2.10)$$

$$t(g \cdot \phi_{i(a)}(G_{i(a)}), a) = (g\phi(a)^{-1} \cdot \phi_{t(a)}(G_{t(a)}), t(a)). \quad (2.11)$$

Now, we declare two edges  $(g \cdot \phi_{i(a)}(G_{i(a)}), a), (h \cdot \phi_{i(b)}(G_{i(b)}), b) \in E(D(\mathcal{Y}, \phi))$  to be composable if  $(a, b) \in E^{(2)}(\mathcal{Y})$  and in addition  $g \cdot \phi_{i(a)}(G_{i(a)}) = h\phi(b)^{-1} \cdot \phi_{i(a)}(G_{i(a)})$ . In this case,

$$(g \cdot \phi_{i(a)}(G_{i(a)}), a) \circ (h \cdot \phi_{i(b)}(G_{i(b)}), b) := (h \cdot \phi_{i(b)}(G_{i(b)}), ab). \quad (2.12)$$

The latter condition is needed to ensure that  $D(\mathcal{Y}, \phi)$  satisfies the axioms of a scwol. There is an obvious action  $G \curvearrowright D(\mathcal{Y}, \phi)$ , which is left multiplication i.e. for  $g, h \in G$  and  $\alpha \in \mathcal{Y}$ ,

$$h \cdot (g \cdot \phi_{i(\alpha)}(G_{i(\alpha)}), \alpha) := (hg \cdot \phi_{i(\alpha)}(G_{i(\alpha)}), \alpha). \quad (2.13)$$

Given an element of  $D(\mathcal{Y}, \phi)$ , its  $G$ -orbit is uniquely determined by the element of  $\mathcal{Y}$  in the second coordinate; it follows that  $D(\mathcal{Y}, \phi)/G \simeq \mathcal{Y}$ . The action  $G \curvearrowright D(\mathcal{Y}, \phi)$  induces a complex of groups  $G'(\mathcal{Y}) = (G'_y, \psi'_a, g'_{a,b})$  over  $D(\mathcal{Y}, \phi)/G \simeq \mathcal{Y}$ . In the notation of Proposition 21,  $\bar{y} = (y, G_y)$  and  $h_a = \phi(a)$ , which forces the data of  $G'(\mathcal{Y})$  to be given by  $G'_y = \phi_y(G_y)$ , the restriction  $\psi'_a = \text{Ad}(\phi(a)) : \phi_{i(a)}(G_{i(a)}) \rightarrow \phi_{t(a)}(G_{t(a)})$ , and the twisting elements  $g'_{a,b} = \phi(a)\phi(b)\phi(ab)^{-1} \in \phi_{t(a)}(G_{t(a)})$ . If we assume in addition that the  $\phi$  is injective on local groups, then it is obvious that the local groups of  $G(\mathcal{Y})$  and  $G'(\mathcal{Y})$  are all isomorphic. As a consequence, the monomorphisms and twisting elements of  $G(\mathcal{Y})$  and of  $G'(\mathcal{Y})$  are the same, up to this isomorphism; we conclude that  $G(\mathcal{Y}) \simeq G'(\mathcal{Y})$  i.e.  $G(\mathcal{Y})$  is developable.

Now, assume  $G(\mathcal{Y})$  arises from the action  $G \curvearrowright \mathcal{X}$  for some scwol  $\mathcal{X}$ , and let  $p: \mathcal{X} \rightarrow \mathcal{X}/G \simeq \mathcal{Y}$  be the associated projection. As  $\phi$  is injective on local groups, any element of  $D(\mathcal{Y}, \phi)$  can be written as  $(g \cdot G_{i(\alpha)}, \alpha)$  for some  $\alpha \in \mathcal{Y}$ . Recall from the covering theory of scwols that for each  $\alpha \in \mathcal{Y}$ , there must exist a unique  $\bar{\alpha} \in \mathcal{X}$  such that  $p(\bar{\alpha}) = \alpha$  and  $i(\bar{\alpha}) = \overline{i(\alpha)}$ . Consider the map  $\theta: D(\mathcal{Y}, \phi) \rightarrow \mathcal{X}$  given by

$$\theta: (g \cdot G_{i(\alpha)}, \alpha) \mapsto g \cdot \bar{\alpha}. \quad (2.14)$$

By construction, this map is  $G$ -equivariant and is such that the induced quotient map on  $\mathcal{Y}$  is the identity. One can show that  $\theta$  in fact defines an isomorphism of scwols.  $\square$

It follows immediately from the basic construction that

**Corollary 26.** *The complex of groups  $G(\mathcal{Y})$  is developable iff there exists a group  $G$  and a morphism  $\phi: G(\mathcal{Y}) \rightarrow G$  that is injective on local groups.*

**Lemma 27.** *Let  $G$  be a group that admits two free actions on a set  $X$  such that the two quotients have the same cardinality, then there exists a permutation of  $X$  that conjugates the first action to the second.*

*Proof.* Let  $H$  be the permutation group of  $X$ , then consider the two free actions as two group morphisms, denoted  $\varphi, \varphi' : G \rightarrow H$ . Let  $X_0, X'_0 \subset X$  consists of representations from the  $G$ -orbits of  $X$  for the two actions. As the quotients have equal cardinality, there exists a bijection  $f : X_0 \rightarrow X'_0$ ; since the action is free, for each  $x \in X$ , there exists a unique  $x_0 \in X_0$  and  $g_0 \in G$  such that  $z = \varphi(g_0)(x_0)$ . The analogous statement also holds for  $X'_0$ . We can define a bijection  $g : X \rightarrow X$  given by

$$x \mapsto \varphi'(g_0)(f(x_0)). \quad (2.15)$$

However, we can write  $x = \varphi(g_0)(x_0)$ ; rearranging,  $\varphi(g_0)(x_0) = (f^{-1} \circ \varphi'(g_0) \circ f)(x_0)$ , for  $f \in H$ .  $\square$

This study culminates in the following theorem of Bass & Serre on the developability of graphs of groups.

**Corollary 28.** *A complex of groups  $G(\mathcal{Y})$  over a scwol  $\mathcal{Y}$  of dimension 1 is developable.*

*Proof.* Write  $G(\mathcal{Y}) = (G_y, \psi_a, g_{a,b})$ , and define the set

$$X = \prod_{y \in V(\mathcal{Y})} G_y. \quad (2.16)$$

Let  $G$  denote the permutation group of  $X$ . Each local group  $G_y$  acts freely on  $X$  by left multiplication on the appropriate factor, and trivially otherwise. This defines monomorphisms  $\phi_y : G_y \rightarrow G$  for each  $y \in V(\mathcal{Y})$ . Now, for any  $a \in E(\mathcal{Y})$ , the Lemma gives us that there exists  $\phi(a) \in G_{t(a)}$  such that  $\phi(a)$  conjugates  $\phi_{t(a)}\psi_a$  with  $\phi_{i(a)}$ ; that is,

$$\phi_{t(a)}\psi_a = \text{Ad}(\phi(a))\phi_{i(a)}.$$

Finally, as  $E^{(2)}(\mathcal{Y}) = \emptyset$ , there are no twisting elements by our previous remarks. Therefore,  $\phi = (\phi_y, \phi(a)) : G(\mathcal{Y}) \rightarrow G$  defines a morphism of complexes of groups and  $\phi$  is injective on local groups by construction. In particular,  $G(\mathcal{Y})$  is developable.  $\square$

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