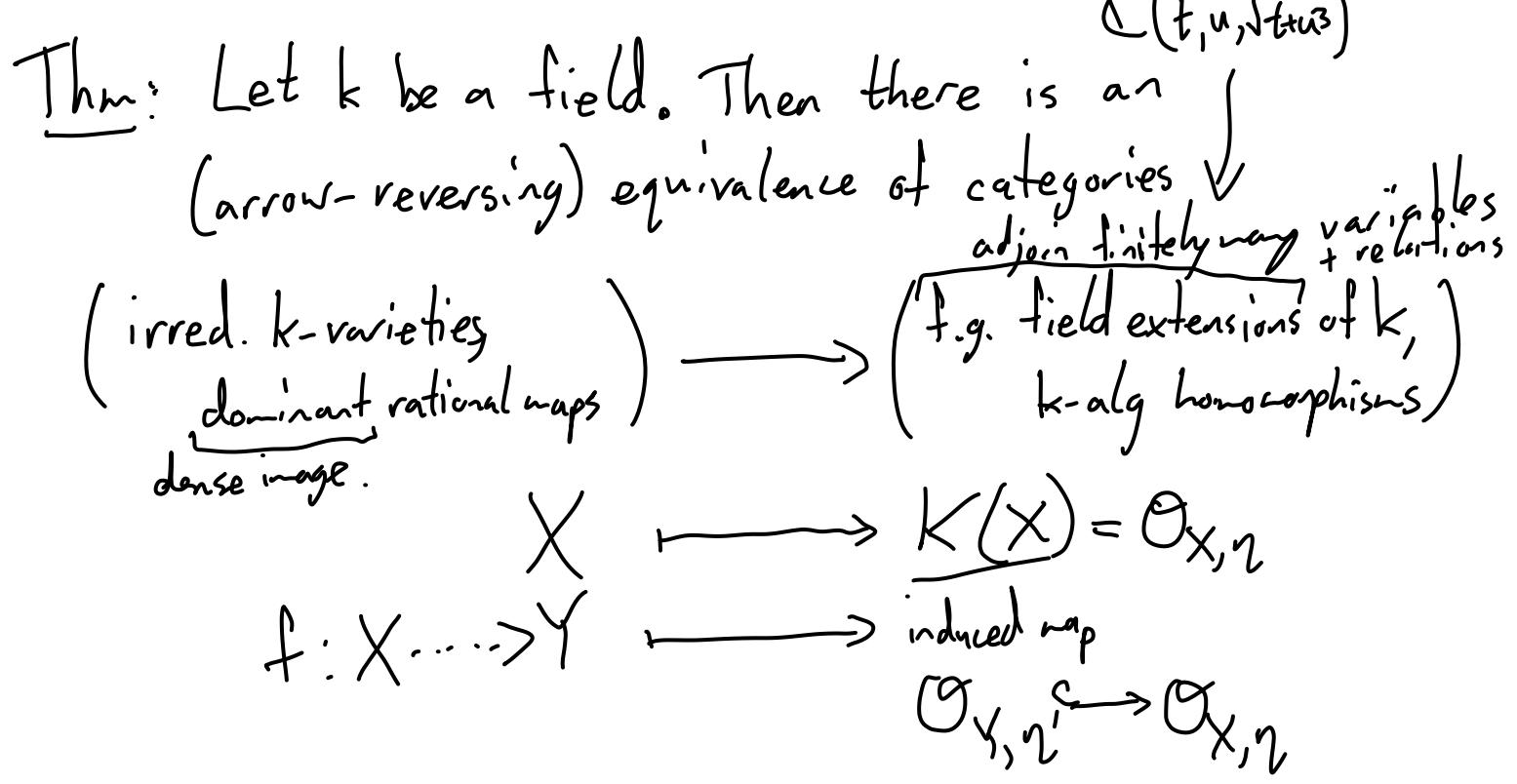


- Today:
- finish up with rational maps
  - proper morphisms briefly
  - start on dimension (last major topic this term)
  - notes on schedule for rest of term.
- 

Recall: a rat map  $X \dashrightarrow Y$   
was a morphism

Last time: if  $X$  is reduced and  $Y$  is separated, then rat. maps  
have a well-defined domain of definition.

Today: Vakil 6.5.6.



(Idea: open neighborhoods of  $\eta$  = dense opens (in irreduc. sense))

(Need to check: given f.g. field extension  $K/k$ , take f.g.  $k$ -algebra  $A$  with  $K(A) = A_{(\eta)} = K$ , and then  $\text{Spec } A$  works "on left", then also need to lift morphisms)

Cor: Two irreduc.  $k$ -varieties  $X, Y$  have isomorphic nonempty open subschemes  $\iff K(X) \cong K(Y)$ .

$$X = \mathbb{A}_k^1$$

$Y = \text{Spec } k(t)$  is not a counterexample because  $Y$  is not finite type over  $k$ .

Def: A morphism  $\pi: X \rightarrow Y$  is universally closed if every base change  $X \times_Y Z \rightarrow Z$  is closed, i.e. sends closed sets to closed sets.

Def: A morphism is proper if it is separated, finite type, and universally closed.

Intuition: "classical" top. analogue is a cont. map  $f: X \rightarrow Y$  s.t. if  $Z \subseteq Y$  is compact, then so is  $f^{-1}(Z)$ , ("compact fibers").

$X \rightarrow \text{Spec } \mathbb{C}$  is proper  $\iff$  "classical points of  $X$  are compact Hausdorff".

## Examples:

1) Finite morphisms are proper

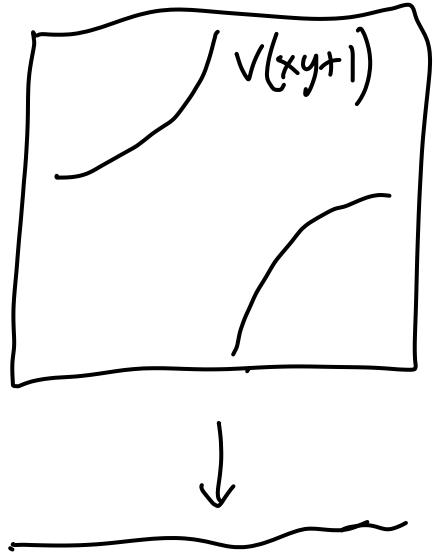
(finite  $\Rightarrow$  finite type, finite  $\Rightarrow$  affine  $\Rightarrow$  separated,  
recall finite morphisms are closed, hence univ. closed)

In particular, closed embeddings are proper.

2)  $A_k^1 \rightarrow \text{Spec } k$  is not proper, because

$p_2 : A_k^2 \rightarrow A_k^1$  is not closed,

since  $p_2(\sqrt{xy+1}) = D(t) = A_k^1 - \{0\}$ .



(intuition:  $\mathbb{C}$  is not compact.)

3)  $\mathbb{P}_A^n \rightarrow \text{Spec } A$  is proper. ( $\mathbb{C}P^1 = S^2$  is compact)

Enough to show that  $\mathbb{P}_A^n \rightarrow \text{Spec } A$  is closed.

This is a somewhat tricky alg. result (Thm 7.4.7 in Vakil).

4) Compositions of proper morphisms are proper, so

proj.  $A$ -schemes are proper over  $\text{Spec } A$ .

Remark: Not all proper  $k$ -schemes are proj., but it is messy to construct a counterexample.

Example of using properness:

Prop: Let  $k = \bar{k}$  and let  $X$  be a connected, proper  $\bar{k}$ -variety, reduced  $\bar{k}$ -scheme. Then  $\mathcal{O}_X(X) = k$ .

Pf:  $f \in \mathcal{O}_X(X) \rightsquigarrow \pi: X \rightarrow \mathbb{A}^1_k$ .

Let  $\pi'$  be the composition  $X \rightarrow \mathbb{A}^1_k \hookrightarrow \mathbb{P}^1_k$ .

Then  $\begin{array}{ccc} X & \xrightarrow{\pi'} & \mathbb{P}^1_k \\ \text{proper} \searrow & \circ & \downarrow \text{separated} \\ & \text{Spec } k & , \text{ so by factorization} \end{array}$

$\pi': X \rightarrow \mathbb{P}^1_k$  is proper, hence the set-theoretic image of  $\pi'$  is closed.

But  $\text{im}(\pi') \subseteq \mathbb{A}^1_k \subset \mathbb{P}^1_k$  and is connected (because  $X$  is conn.)  
hence is a single closed point  $p \in \mathbb{A}^1_k$ .

So the scheme-theoretic image is also just  $p$  as a set and is reduced since  $X$  is reduced, so is just

$\text{Spec } k = p \hookrightarrow \mathbb{P}^1_k$ . So  $\pi': X \rightarrow \mathbb{P}^1_k$  factors

through  $\text{Spec } k$ , so is just  $X \xrightarrow{\text{structure morphism}} \text{Spec } k \hookrightarrow \mathbb{P}^1_k$ .  $\square$

If  $k \neq k$ , just get  $X \rightarrow \mathbb{A}_k^1$

```

    \begin{CD}
        X @>>> \mathbb{A}_k^1 \\
        @V V V V @VV V V \\
        X @>>> \text{Spec } k_p
    \end{CD}
    \text{Curved arrow from } X \text{ to } \text{Spec } k_p
  
```

Q: What are the morphisms  $\text{Spec } k$   
 $X \rightarrow \text{Spec } k_p$ ?  
 Unclear if  $k_p \neq k$ .

Hard fact: finite  $\iff$  affine + proper.

## Dimension:

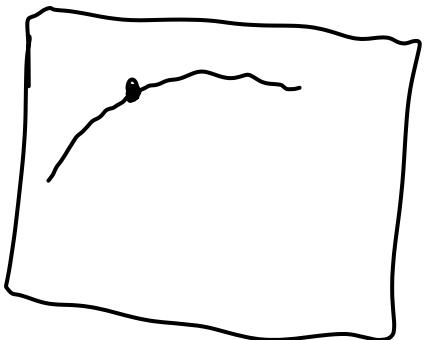
### Expectations:

- 0) Any nonempty scheme  $X$  has a well-defined "dimension"  $\dim X \in \{0, 1, \dots, +\infty\}$
- 1)  $A_k^n, P_k^n$  have dimension  $n$ .
- 2)  $V(f) \subseteq X$  "usually" has dimension  $\dim X - 1$ .
- 3) If  $X$  is a  $\mathbb{C}$ -variety,  $\dim X$  should be equal to the dimension of  $X(\mathbb{C})$  "as an analytic space/ $\mathbb{C}$ "  
(complex dimension, not real dimension)

Miracle!:  $\dim X$  only depends on the underlying top. space of  $X$ . (e.g.  $\dim X = \dim X^{\text{red}}$ )

Def: The dimension of a top. space  $X$ , denoted  $\dim X$ , is the supremum of all  $d \geq 0$  s.t. there exists a chain

$Z_0 \subset Z_1 \subset \dots \subset Z_d \subseteq X$  of distinct closed irreduc.  $Z_i \subseteq X$ .



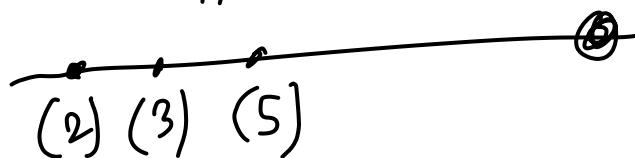
"point  $\subset$  curve  $\subset$  surface"  $\leadsto \dim 2$ .

Def: If  $A$  is a ring, let  $\dim A = \dim \text{Spec } A$   
= sup. of lengths of chains of prime ideals in  $A$ .  
This is also called the Krull dimension of  $A$ .

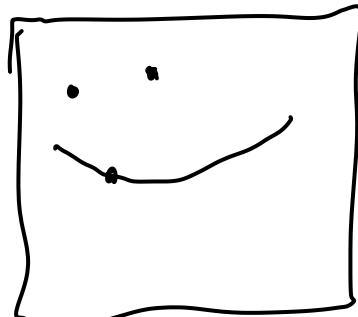
## Examples:

0)  $\text{Spec } k$  has  $\dim 0$ . More generally, if  $X$  is a finite  $k$ -scheme, then  $\dim X = 0$ .  
(since  $X$  is discrete).

1)  $\mathbb{A}_k^1$  has  $\dim 1$ , as does  $\text{Spec } \mathbb{Z}$ .



2)  $\mathbb{A}_k^2$  and  $\text{Spec } \mathbb{Z}[t]$  have  $\dim 2$ .



3) Not easy to describe all closed irreds in  $\mathbb{A}_k^d$  for  $d \geq 3$ , so no direct way to compute dimension.

4) Finite morphisms preserve dimension.

Thm: If  $f: X \rightarrow Y$  is finite,  $\dim X = \dim \pi(X)$ .

Pf: comm. alg.

Recall: Lying Over Thm: If  $\varphi: B \hookrightarrow A$  is an integral extension and  $q \subset B$  is prime, then there exists  $p \subset \overset{\text{prime}}{A}$  with  $\varphi^{-1}(p) = q$  (i.e.  $\varphi^*: \text{Spec } A \rightarrow \text{Spec } B$  is surjective).

A consequence:

Going Up Thm: If  $\varphi: B \rightarrow A$  is an integral homomorphism,  $q_0 \subset q_1 \subset \dots \subset q_d \subset B$  are prime, and  $p_0 \subset \overset{\text{prime}}{A}$  satisfies  $\varphi^{-1}(p_0) = q_0$ , then there exists a chain of prime ideals  $p_0 \subset p_1 \subset \dots \subset p_d \subset A$  with  $\varphi^{-1}(p_i) = q_i$  for all  $i$ .

## Schedule:

Nov 19: dimension (Ch. 11/12)

next week: break, no scheduled office hours but feel welcome to e-mail me

Dec 1: dimension (Ch. 11/12)

Dec 3: ] Sketch approaches/proofs

Dec 8: ] to a sequence of thms about curves and projective varieties (e.g. Thm 16.5.1)

Final problem set will be posted on Nov 19 and due Dec 8.

It will be a little longer/harder, and deal with various dimension-related topics so might want to wait until Dec 1 for some of it.