Sivers Function: Status + Plans



- Introduction
- SIDIS measurements
- Sign Change
- Star and COMPASS measurements
- DY at Fermilab



Sivers and Transverse Single Spin Asymmetries (SSA)

- Sivers suggested in 1990 "that the k_T distribution of a quark in a hadron could have an azimuthal asymmetry when the initial hadron has transverse polarization"
 - explanation for (huge) SSA for forward meson production in hadron-hadron interactions observed over a wide range of c.m. energies



2 issues:

at hard (enough) scales, SSA's expected to go to zero (pQCD)

Collins claims: $f_1 = 0$ because QCD is time-reversal invariant NPB396,161(1993)

Sivers and Transverse Single Spin Asymmetries (SSA)

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SSA cannot come from perturbative subprocess xsec at high energies

- \rightarrow *q* helicity flip suppressed by $m_q \wedge s$
 - ➡ at hard (enough) scales, SSA's must arise from soft physics

Collins was wrong: Sivers effect is T-odd \rightarrow allowed at leading order PLB536,43(2002)

Sivers Function

T-odd observables

- → SSA observable $\vec{J} \cdot (\vec{p}_1 \times \vec{p}_2)$ odd under naïve Time-Reversal
- since QCD amplitudes are T-even, must arise from interference (between spin-flip and non-flip amplitudes with different phases)
- should all be completely suppressed in perturb hard scattering subprocess xsec
- A T-odd function like f_{1T}^{\perp} must arise from interference (How?)



The Sign Change

 $f_{1T}^{\perp}(x,k_T)\Big|_{SIDIS} = -f_{1T}^{\perp}(x,k_T)\Big|_{DY,W}$

fundamental prediction of QCD (in non-perturbative regime)
 goes to heart of gauge formulation of field theory

Importance of factorization in QCD:



*I added this sentence after this morning comments, so it might be too strong

Monday, 26 April 2010

Factorization and Universality (SIDIS - DY)



DY PDF⊗PDF



Probe Universality

are TMD PDFs in SIDIS identical to TMD PDFs in DY?

Test using unpolarized experiments, transverse SSA and DSA

Transverse Momentum Distributions (Introduction)



TMDs: Sivers Function

cannot exist w/o quark OAM

- describes transverse-momentum distribution of unpolarized quarks inside transversely polarized proton
- captures non-perturbative spin-orbit coupling effects inside a polarized proton
- Sivers function is odd under "naïve timereversal"
 - operation that reverses all vectors and pseudo-vectors but does not exchange initial and final states
 - leads to
 - → $sin(\phi_h \phi_S)$ asymmetry in SIDIS
 - → sinφ_b asymmetry in Drell-Yan

measured in SIDIS (HERMES, COMPASS, Jlab)



First moment of Sivers functions:

- u- and d-Sivers have opposite signs, of roughly equal magnitude
- u-Sivers slightly smaller than d-Sivers



Х

Sivers Asymmetry in SIDIS: HERMES & COMPASS



Global fit to sin $(\phi_h - \phi_S)$ asymmetry in SIDIS (HERMES (p), COMPASS (p), COMPASS (d))



Comparable measurements needed in Drell-Yan process

Sivers Asymmetry in SIDIS: JLab JLab (³He)





blue band: model (fitting) uncertainties **red band**: other systematic uncertainties X. Qian etal, PRL107 072003(2011)

- u and d Sivers functions have opposite sign
 - for proton: $\pi^+ > 0$, then for ³He: $\pi^+ < 0$
 - → at the same time: π^- for ³He should be smaller than π^+

 $3He \approx 90\% \xrightarrow{S'}_{-90\%} \xrightarrow{D'}_{-1.5\%} \xrightarrow{D'}_{-8\%} \xrightarrow{D'}_{-8\%}$

Neutron Sivers SSA:

- \rightarrow nuclear effects in ³He small for n TMD study
- $\Rightarrow \pi^+ < 0$ neutron
- agrees with Torino fit

QCD Evolution of Sivers Function



- Initial global fits by Anselmino group included DGLAP evolution only in collinear part of TMDs (not entirely correct for TMD-factorization)
- Using TMD Q^2 evolution: \rightarrow agreement with data improves



TMD Evolution of Sivers Asymmetry



TMD Evolution of Sivers Asymmetry (JLAB 12 GeV)

At low Q², (<20 GeV²), Q² evolution dominated by so-called non-perturbative Sudakov factor S_{NP} (Anselmino, PRDD86, 014028(2012))



precise low Q^2 data can help determine form and size of S_{NP}

TMD Evolution of Sivers Asymmetry (W⁻)



 much stronger than any other known evolution effects

- but needs input from data to constrain nonpertubative part in evolution
- can be done at RHIC?
- STAR predicted 2% measurement!

Comparison of extracted TMD (Sivers) can provide strong constraint on TMD evolution



- W⁺ and W⁻ could probe different flavor of u and d Sivers function
- W^{-/+} Sivers asymmetry large (much larger than for DY production)
 - → u and d Sivers functions have opposite sign
 - partially cancel in DY, but contribute to W⁺ and W⁻ separately
 - ✓ large W⁻ caused by large d Sivers
- Problem: (TSA of inclusive lepton from W decay)
 - unobserved neutrino blurs the final-state azimuthal distributions
 - → need to integrate over momentum of (anti) neutrino

can we cleanly make direct measurement of Sivers function?



Inclusive lepton TSSA from decayed W: similar feature, but diluted





Sivers Program at STAR

RHIC p+p (500 GeV): W^{+/-} TSSA

$$A_{N}(W^{+}) \sim \left(\Delta^{N} f_{u/p^{\uparrow}} \otimes f_{\bar{d}/p} + \Delta^{N} f_{\bar{d}/p^{\uparrow}} \otimes f_{u/p}\right)$$

$$A_{N}(W^{-}) \sim \left(\Delta^{N} f_{\bar{u}/p^{\uparrow}} \otimes f_{d/p} + \Delta^{N} f_{d/p^{\uparrow}} \otimes f_{\bar{u}/p}\right)$$

Sivers asymmetry:

- quark flavor identified
- ➡ high Q² (6,400)
- statistically limited: O(10%)
- data favor sign-change if TMD evolution effects small
- more data from 2017 (400 pb⁻¹) soon



PRL 116 (2016) 132301



COMPASS Predictions



M. Chiosso, Santa-Fe DY workshop Nov 2010

 $\delta A_{\text{N}} \approx 0.02$ for one data point

COMPASS 2015 Results

COMPA





Kinematic Coverage

Drell-Yan analysis: mass range 4.3 - 8.5 GeV/c² ("high mass range")

→ only 4% background in this mass range

→ DY events $[M(\mu^+\mu^-) > 4 \text{ GeV/c}^2)$: ~35,000

- Phase space for Drell-Yan and SIDIS partially overlap in the x-Q² plane
 - average Q² in Drell-Yan is about 2x that in SIDIS
 - allows to minimize the impact of uncertainties from TMD scale evolution
 - overlap in kinematic regions of COMPASS Drell-Yan and SIDIS data allows for direct comparisons of TMD amplitudes
- COMPASS probes proton's valence quarks in Drell-Yan and SIDIS



Updated COMPASS Result

COMPASS 2015 (PRL 119 (2017) + 2018 (~50%)

(2015 = 4 months; 2018 = 5 months of data taking)



$\sin(\psi_{\rm S}) \, \frac{q_{\rm T}}{M_N}$ COMPASS 2015+2018, preliminary q_{T}/M weighted asymmetries bins in x0.4bins combined access to direct product of TMD PDFs A_{T} projection from SIDIS \rightarrow no assumption on k_T dependence of TMDs 0.2 $\begin{array}{c} A_T^{\sin \varphi_S} \propto f_{1,\pi}^q \otimes f_{1T,p}^{\perp q} \\ A_T^{\sin \varphi_S \frac{q_T}{M_N}} \propto f_{1,\pi}^q \times f_{1T,p}^{\perp q(1)} \end{array}$ 0 -0.2 10^{-1} x_{Λ}^{-1} 22

Ref: M. Meyer-Conde (UIUC)

(Un)Polarized Drell Yan Experiments

Experiment	Particles	Energy (GeV)	$\mathbf{x}_{\mathbf{b}}$ or $\mathbf{x}_{\mathbf{t}}$	Luminosity (cm ⁻² s ⁻¹)	P_{b} or P_{t} (f)	rFOM#	Timeline
COMPASS (CERN)	π^{-} + \mathbf{p}^{\uparrow}	160 GeV √s = 17	$x_t = 0.1 - 0.3$	2 x 10 ³³	P _t = 90% f = 0.22	1.1 x 10 -3	2015-2016, 2018
J-PARC (high-p beam line)	π ⁻ + p	10- 20 GeV √s = 4.4-6.2	$x_b = 0.2 - 0.97$ $x_t = 0.06 - 0.6$	2 x 10 ³¹			>2020? under discussion
fsPHENIX (RHIC)	$\mathbf{p}^{\uparrow} + \mathbf{p}^{\uparrow}$	√s = 200 √s = 510	$x_b = 0.1 - 0.5$ $x_b = 0.05 - 0.6$	8 x 10 ³¹ 6 x 10 ³²	P _b = 60% P _b = 50%	4.0 x 10 ⁻⁴ 2.1 x 10 ⁻³	>2021?
SeaQuest (FNAL: E-906)	p + p	120 GeV √s = 15	$x_b = 0.35 - 0.9$ $x_t = 0.1 - 0.45$	3.4 x 10 ³⁵			2012 – 2017
SpinQuest [‡] (FNAL: E-1039)	p + p [↑] p + d [↑]	120 GeV √s = 15	$x_t = 0.1 - 0.45$	3.0 x 10³⁵ 3.5 x 10³⁵	P _t = 85% f = 0.176	0.15	2019-2021+
Pol beam DY [§] (FNAL: E-1027)	p [↑] + p	120 GeV √s = 15	x _b = 0.35 – 0.9	2 x 10 ³⁵	P _b = 60%	1	>2021?

⁺8 cm NH₃ target / [§]L= 1 x 10³⁶ cm⁻² s⁻¹ (LH₂ tgt limited) / L= 2 x 10³⁵ cm⁻² s⁻¹ (10% of MI beam limited) *not constrained by SIDIS data / [#]rFOM = relative lumi * P² * f² wrt E-1027 (f=1 for pol p beams, f=0.22 for π^- beam on NH₃)

Fermilab Recent, Current and Future DY Program at FNAL

Unpolarized Beam and Target w/ SeaQuest detector

- **E-906/SeaQuest**: 120 GeV p from Main Injector on LH_2 , LD_2 , C, Fe, W targets \rightarrow high-x Drell Yan
- Science run: March 2014 July 2017
 - → dbar/ubar asymmetry, nuclear dependence, quark energy loss, Tam-Tung relation,...

Unpolarized Beam and polarized Target (w/ upgraded SeaQuest detector)

- **E-1039/SpinQuest:** SeaQuest w/ pol NH₃/ND₃ targets: 2019-2021
 - ➡ probe sea quark distributions

Polarized Beam and polarized Target

- \rightarrow development of **high-luminosity** facility for **polarized Drell Yan**
- E-1027: pol p beam on (un)pol tgt (2021+?)
 - → Sivers sign change (valence quark)
 - → TMD physics program complementary to future EIC program

Other opportunities

- E-1067/DarkQuest
 - → parasitic dark photon search (2016-2021+)
 - → dedicated run? (2021+?)

The SpinQuest Experiment Polarized Target **B-Field** E906 Spectrometer Proton Beam 120 GeVIC

- replace unpolarized E906 target w/ polarized target \rightarrow LANL and UVA effort
- move polarized target ~3m upstream
 - \rightarrow improves target-dump separation
 - \rightarrow moves acceptance to lower x_2

L_{int} = 1.82 *10⁴²/cm² NH₃ / 2.11 *10⁴²/cm² ND₃ for 2 years

Sivers Function and Spin Crisis

cannot exist w/o quark OAM

describes transverse-momentum distribution of unpolarized quarks inside transversely polarized proton

connection b/w Sivers function and OAM is yet model-dependent

 $\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L \qquad \frac{1}{2}\Delta\Sigma \approx 25\%; \quad \Delta G \approx 20\%$ $\Delta\Sigma = \Delta u + \Delta d + \Delta s \qquad L \approx \text{ unmeasured}$

How measure quark OAM ?

 $f_{1T}^{\perp} = \bigcirc$

- **GPD: Generalized Parton Distribution**
- TMD: Transverse Momentum Distribution

 $A_N^{DY} \propto \frac{u(x_b) \cdot f_{1T}^{\perp,\bar{u}}(x_t)}{u(x_b) \cdot \bar{u}(x_t)}$



Projected DY Transverse Single Spin Asymmetry





- existing SIDIS data poorly constrain sea-quark Sivers function (Anselmino)
- significant Sivers asymmetry expected from meson-cloud model (Sun & Yuan)
- determine sign and value of sea quark Sivers asymmetry
- measure sea quark Sivers flavor dependence (H & D targets)

If $A_N \neq 0$, **major discovery**: "Smoking Gun" evidence for $L_{\overline{u},\overline{d}} \neq 0$

Projected DY Transverse Single Spin Asymmetry

E1039 proposal



- existing SIDIS data poorly constrain sea-quark Sivers function (Anselmino)
- significant Sivers asymmetry expected from meson-cloud model (Sun & Yuan)
- determine sign and value of sea quark Sivers asymmetry
- measure sea quark Sivers flavor dependence (H & D targets)

DGLAP: M. Anselmino et al arXiv:1612.06413 TMD-1: M. G. Echevarria et al arXiv:1401.5078 TMD-2: P. Sun and F. Yuan arXiv:1308.5003

If $A_N \neq 0$, **major discovery**: "Smoking Gun" evidence for $L_{\overline{u},\overline{d}} \neq 0$

Projected DY Transverse Single Spin Asymmetry

More recent calculations



Let's Polarize the Beam at Fermilab (E-1027)

The Plan:

- Use SpinQuest Spectrometer
- Add polarized beam



Fermilab (best place for polarized DY):

→ very high luminosity, large x-coverage (primary beam, fixed target)

Measure sign-change in Sivers Function:

- → sign, size and shape of Sivers function
- \rightarrow and TMD evolution
- Access to valence quarks

$$\left.f_{1T}^{\perp}\right|_{SIDIS} = - \left.f_{1T}^{\perp}\right|_{DY}$$

Expected Precision from E-1027 at Fermilab

Probe Valence Quark Sivers Asymmetry with a polarized proton beam at SeaQuest



- Experimental Conditions
 - same as SeaQuest
 - luminosity: $L_{av} = 2 \times 10^{35}$ (10% of available beam time: $I_{av} = 15$ nA)
 - 3.2 X 10¹⁸ total protons for 5 x 10⁵ min: (= 2 yrs at 50% efficiency) with $P_b = 60\%$

Can measure not only sign, but also the size & probably shape of the Sivers function! as well as TMD evolution!

Fermilab - Summary and Outlook

Experiments	Timeline	Interactions	Physics			
E906 (SeaQuest)	2014 - 2017	$p + LH_2 / LD_2$ p + C, Fe, W	dbar/ubar, nucl dep quark dE/dx			
E1039 (SpinQuest)	2019 – 2021+	p + pol NH ₃ p + pol ND ₃	sea-quark Sivers, TMD			
E1027	2021+ (?)	pol p + LH_2 or pol p + pol NH ₃	valence quark Sivers, sign change, TMDs			
E1067 (DarkQuest)	2016 - 2021+ (para.) 2021+ (dedicated?)	p + any target	dark photon, dark Higgs, dark Z,			
	Ref: M Liu (LANL)					

Conclusions

- Sivers function has received a lot of attention since it was first announced in 1990
- Collins tried to kill it, but it survived
- It has been measured with good precision with SIDIS at HERMES, COMPASS and Jlab, and more recently even at STAR
- It has a prominent role in verifying the sign-change
 - \rightarrow so far, only for valence quarks
 - → we have seen first results from COMPASS and STAR on the sign-change
 - → but statistics still poor
 - → will need polarized beam at Fermilab to make a definitive measurement
 - \rightarrow or wait for the EIC
- Now entering an era where we will have first measurement of a sea quark Sivers function (answer some of the questions):
 - \rightarrow is there significant orbital angular momentum?
 - \rightarrow what is the role of the sea quarks?
 - \rightarrow how much do they contribute to the nucleon spin?

Thank You

SpinQuest/E1039 Collaboration

- Relatively small collaboration
 - \rightarrow 36 full members, 76 affiliate members
 - \rightarrow 14 institutions and Fermilab

Abilene Christian University Argonne National Laboratory KEK Los Alamos National Laboratory Mississippi State University New Mexico State University RIKEN

Tokyo Institute of Technology University of Colorado, Boulder University of Illinois, Urbana-Champaign University of Michigan University of New Hampshire University of Virginia Yamagata University

- \rightarrow and growing
- US collaborators supported by NSF and DoE Medium Energy

Leading order DY Cross Section



→ with the asymmetry amplitude:

$$A_{ ext{TU}}^{\sin \phi_b} = rac{F_{ ext{TU}}^1}{F_{ ext{UU}}^1}$$

SIDIS vs Drell Yan

SIDIS and Drell-Yan have similar physics reach:

- ➡ tools to probe quark and antiquark structure of nucleon
- ➡ electromagnetic probes



Quintessential probe of hadron structure:

- relatively simple to measure and calculate
- → QCD final state effects
- ➡ fragmentation process
- no quark-antiquark selectivity

Drell-Yan (timelike) virtual photon



Cleanest probe to study hadron structure:

- ➡ no QCD final state effects
- ➡ no fragmentation process
- production of two TMD parton distribution functions
- ability to select sea quark distribution
- → hadron beam: $\sigma(DY) / \sigma(nuclear) \approx 10^{-7}$

Complementarity between SIDIS and Drell Yan

 Complementarity is emphasized by (LO): (Arnold,Metz,Schlegel:PRD79,034005(2009))
 → in SIDIS: there is 1 F_{U(L),T} per TMD
 → in DY: at least 2 F_{(U)T} per TMD
 → same TMDs can be measured in different F_{(U)T}
 → allowing cross checks of TMD extraction & even of underlying formalism TMD

Systematic study of quark TMDs in Drell Yan

- requires double-polarization
- only then can all 8 leading twist TMD be measured

Double-Spin Drell Yan

- Measure DY with both Beam and Target polarized
 - → broad spin physics program possible
 - → truly complementary to spin physics programs at Jlab and RHIC and EIC

LO SIDIS and single polarized DY cross sections SIDIS DY

$$\frac{d\sigma_{SIDIS}^{LO}}{dxdydzdp_T^2 d\varphi_h d\psi} = \left[\frac{\alpha}{xyQ^2} \frac{y^2}{2(1-\varepsilon)} \left(1 + \frac{\gamma^2}{2x}\right) \times \left(F_{UU,T} + \varepsilon F_{UU,L}\right) \left\{ 1 + \cos 2\phi_h \left(\varepsilon A_{UU}^{\cos 2\phi_h}\right) + \sin \left(\phi_h - \phi_S\right) \left(A_{UT}^{\sin(\phi_h - \phi_S)}\right) + \sin \left(\phi_h + \phi_S\right) \left(\varepsilon A_{UT}^{\sin(\phi_h + \phi_S)}\right) + \sin \left(3\phi_h - \phi_S\right) \left(\varepsilon A_{UT}^{\sin(3\phi_h - \phi_S)}\right) \right]$$

$$\frac{d\sigma^{LO}}{d\Omega} = \frac{\alpha_{em}^2}{Fq^2} F_U^1 \left\{ 1 + \cos^2 \theta + \sin^2 \theta \cos 2\varphi_{CS} A_U^{\cos 2\varphi_{CS}} \right. \\ \left. + S_T \left[\frac{\left(1 + \cos^2 \theta\right) \sin \varphi_S A_T^{\sin \varphi_S}}{+ \sin^2 \theta \left(\frac{\sin \left(2\varphi_{CS} + \varphi_S\right) A_T^{\sin \left(2\varphi_{CS} + \varphi_S\right)}}{+ \sin \left(2\varphi_{CS} - \varphi_S\right) A_T^{\sin \left(2\varphi_{CS} - \varphi_S\right)}} \right) \right] \right\}$$

Measure magnitude of azimuthal modulations in cross section: "Single Spin Asymmetries"





target rest frame

target rest frame

LO SIDIS and single polarized DY cross sections SIDIS $\frac{d\sigma_{SIDIS}^{LO}}{\frac{d\sigma_{SIDIS}^{LO}}{dxdydzdp_{T}^{2}d\varphi_{b}d\psi} = \left[\frac{\alpha}{xyQ^{2}}\frac{y^{2}}{2(1-\varepsilon)}\left(1+\frac{\gamma^{2}}{2x}\right)\right]$ $\frac{d\sigma_{SIDIS}^{LO}}{\frac{d\sigma_{SIDIS}^{LO}}{d\Omega} = \frac{\alpha_{em}^{2}}{Fq^{2}}F_{U}^{1}\left\{1+\cos^{2}\theta+\sin^{2}\theta\cos2\varphi_{CS}A_{U}^{\cos2\varphi_{CS}}A_{U}^{\cos$



within QCD TMD framework:

$$\begin{aligned} h_1^{\perp q} \Big|_{SIDIS} &= -h_1^{\perp q} \Big|_{DY} \\ f_{1T}^{\perp q} \Big|_{SIDIS} &= -f_{1T}^{\perp q} \Big|_{DY} \end{aligned}$$

$$\begin{array}{l} h_1^q \Big|_{SIDIS} &= h_1^q \Big|_{DY} \\ h_{1T}^{\perp q} \Big|_{SIDIS} &= h_{1T}^{\perp q} \Big|_{DY} \end{array}$$

Drell Yan Advantage

Complementarity is emphasized by (LO): (Arnold,Metz,Schlegel:PRD79,034005(2009)) → in SIDIS: there is 1 $F_{U(L),T}$ per TMD → in DY: (at least 2) $F_{(U)T}$ per TMD → same TMDs can be measured in different $F_{(U)T}$ → allowing cross checks of TMD extraction & even of underlying formalism



$$A_T^{\sin\varphi_s} = \frac{F_T^1}{F_{\rm T}^1}$$

Differences compared to RHIC

Most significant difference: Ramp time of Main Injector < 0.7 s, at RHIC 1-2 min

warm magnets at MI vs. superconducting at RHIC

- → pass through all depolarizing resonances much more quickly
- Beam remains in MI ~5 s, in RHIC ~8 hours
 - extracted beam vs. storage ring
 - much less time for cumulative depolarization
- Disadvantage compared to RHIC no institutional history of accelerating polarized proton beams

Fermilab E704 had polarized beams through hyperon decays



