# **Counting nilpotent extensions**

### Peter Koymans University of Michigan



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#### Conjecture (Malle's conjecture)

Let G be a finite, non-trivial group. Then there exist numbers  $a(G) \in \mathbb{Q}_{>0}$ ,  $b(G) \in \mathbb{Z}_{\geq 0}$  and c(G) > 0 such that

$$\#\{K/\mathbb{Q}: D_K \leq X, \mathsf{Gal}(K/\mathbb{Q}) \cong G\} \sim c(G)X^{a(G)}(\log X)^{b(G)}.$$

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Sometimes c(G) is an Euler product. This is expected to be true for  $S_n$  (Malle–Bhargava principle).

We have

$$\sum_{ab^2 \le X} 1 = \sum_{b \le \sqrt{X}} \sum_{a \le X/b^2} 1 = \sum_{b \le \sqrt{X}} \left( \frac{X}{b^2} + O(1) \right)$$
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Both observations fail now.

Let  $K/\mathbb{Q}$  be a Galois extension and suppose that p does not divide  $[K:\mathbb{Q}].$  Then

$$v_{p}(D_{K}) = [K : \mathbb{Q}] \cdot \left(1 - \frac{1}{|\mathcal{I}_{p}|}\right),$$

where  $\mathcal{I}_p$  is an inertia subgroup.

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Moral: inertia subgroups tend to "typically" be as small as possible when counting by discriminant.

### Example (Non-Galois quartic $D_4$ )

If  $L/\mathbb{Q}$  is quartic  $D_4$  with quadratic subfield K, then for all  $p \neq 2$ 

$$v_p(D_L) = \begin{cases} 3 & \text{if } p \text{ is totally ramified} \\ 2 & \text{if } p \text{ is in all other cases} \\ 1 & \text{if } p \text{ is unramified in } K/\mathbb{Q} \text{ but ramifies in the biquadratic} \\ 0 & \text{if } p \text{ is unramified.} \end{cases}$$

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- ▶ main contribution comes from quadratic fields K with D<sub>K</sub> < log log log log X;</p>
- ▶ a positive proportion of the quartic *D*<sub>4</sub>-extensions have a given quadratic field *K* as their subfield.

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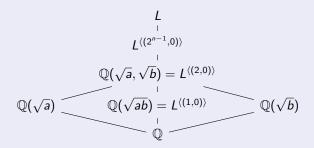
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Note that  $D_{2^n} = \mathbb{Z}/2^n\mathbb{Z} \rtimes \mathbb{Z}/2\mathbb{Z}$ . The elements of minimal order are (k,1) (reflections) and  $(2^{n-1}k,0)$  (rotations with order dividing 2).

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$$\begin{array}{c}
L \\
L^{\langle (2^{n-1},0)\rangle} \\
\mathbb{Q}(\sqrt{a},\sqrt{b}) = L^{\langle (2,0)\rangle} \\
\mathbb{Q}(\sqrt{ab}) = L^{\langle (1,0)\rangle} \\
\mathbb{Q}(\sqrt{b})
\end{array}$$

Positive proportion of extensions have  $L^{\langle (2^{n-1},0)\rangle}/\mathbb{Q}(\sqrt{ab})$  unramified. So at least as hard as getting distribution of  $Cl(\mathbb{Q}(\sqrt{d}))[2^{\infty}]$ .

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Altug–Shankar–Varma–Wilson (2017): Malle's conjecture for  $D_4$  by Artin conductor.

### Main result

A group G is called *nilpotent* if it is a direct product of p-groups.

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#### Theorem (K.-Pagano)

Assume GRH. Let G be a nilpotent group with #G odd. Then

$$\liminf_{X \to \infty} \frac{\#\left\{K/\mathbb{Q}: \prod_{p: l_p \neq \{\mathsf{id}\}} p \leq X, \mathsf{Gal}(K/\mathbb{Q}) \cong G\right\}}{c'(G)X(\log X)^{b'(G)}} \geq 1,$$

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where c'(G) is the expected Euler product and where b'(G) is the naïve analogue of Malle's b(G) in this situation.

Surprisingly, the corresponding asymptotic

$$\lim_{X \to \infty} \frac{\# \left\{ K/\mathbb{Q} : \prod_{p: I_p \neq \{\mathsf{id}\}} p \leq X, \mathsf{Gal}(K/\mathbb{Q}) \cong G \right\}}{c'(G)X(\log X)^{b'(G)}} = 1$$

is not true in general. Counterexamples exist for nilpotency class 2.

### **Inverse Galois problem**

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For 2-groups, the situation is much more involved. The only known proof is a famous result of Shafarevich (inverse Galois for solvable groups).

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Suppose that we have a H-extension  $\pi: G_{\mathbb{Q}} \to H$ , and consider

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It is well-known that we have a local-to-global for the above diagram, which roughly means that we have to control  $\pi(\operatorname{Frob}_{\nu})$  for all  $\nu$ .

Note that H also fits in an exact sequence

$$1 \to \mathbb{F}_p \to H \to H' \to 1.$$

Therefore we may twist our H-extension  $\pi: G_{\mathbb{Q}} \to H$  by  $\chi: G_{\mathbb{Q}} \to \mathbb{F}_p$  to get  $\pi + \chi: G_{\mathbb{Q}} \to H$ .

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Here we use that p is odd in an essential way:  $\chi_{\ell}(\operatorname{Frob}_q)$  and  $\chi_q(\operatorname{Frob}_{\ell})$  are independent.

To give an idea how the techniques work, we will (unconditionally!) give an overview for the proof of the asymptotic for the number of Galois  $D_4$ -extensions by product of ramified primes.

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and a bijection

$$\mathsf{Epi}(\mathit{G}_{\mathbb{Q}}, \mathbb{F}_{2}^{2}) \leftrightarrow \{(a,b) \in (\mathbb{Q}^{*}/\mathbb{Q}^{*2})^{2} : a,b \; \mathsf{lin. \; ind.}\}.$$

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It is well-known that a  $\mathbb{F}_2^2$ -extension  $\mathbb{Q}(\sqrt{a}, \sqrt{b})$  of  $\mathbb{Q}$  is contained in a  $D_4$ -extension if and only if  $x^2 = ay^2 + bz^2$  has a non-trivial point.

If 
$$\rho \in \mathsf{Epi}(G_{\mathbb{Q}}, D_4)$$
 is a lift of  $\pi \in \mathsf{Epi}(G_{\mathbb{Q}}, \mathbb{F}_2)$  and  $q : D_4 \twoheadrightarrow \mathbb{F}_2^2$ , then 
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Therefore we have a bijection

$$\mathsf{Epi}(\mathit{G}_{\mathbb{Q}},\mathit{D}_{4}) \leftrightarrow \{(a,b,c) \in (\mathbb{Q}^{*}/\mathbb{Q}^{*2})^{3} : \mathit{a},\mathit{b} \; \mathsf{ind.}, \mathit{x}^{2} = \mathit{ay}^{2} + \mathit{bz}^{2} \; \mathsf{sol.}\}.$$

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It turns out to be more convenient to work with seven variables  $\alpha_S$  for  $\emptyset \subset S \subseteq \{a,b,c\}$ , where  $\alpha_S$  is the product over all primes p dividing the variables in S and not dividing the variables in  $\{a,b,c\}-S$ .

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$$\rho \in \operatorname{Epi}(G_{\mathbb{Q}}, D_4)$$
 is a lift of  $\pi \in \operatorname{Epi}(G_{\mathbb{Q}}, \mathbb{F}_2)$  and  $q : D_4 \twoheadrightarrow \mathbb{F}_2^2$ , then 
$$\{f \in \operatorname{Epi}(G_{\mathbb{Q}}, D_4) : f \circ q = \pi\} = \{\rho \cdot \chi : \chi \in \operatorname{Hom}(G_{\mathbb{Q}}, \mathbb{F}_2)\}.$$

Therefore we have a bijection

$$\mathsf{Epi}(\mathit{G}_{\mathbb{Q}},\mathit{D}_{4}) \leftrightarrow \{(a,b,c) \in (\mathbb{Q}^{*}/\mathbb{Q}^{*2})^{3} : a,b \; \mathsf{ind.}, x^{2} = ay^{2} + bz^{2} \; \mathsf{sol.}\}.$$

Under this parametrization, the product of ramified primes maps to rad(|abc|) (ignoring minor issues with ramification at 2).

It turns out to be more convenient to work with seven variables  $\alpha_S$  for  $\emptyset \subset S \subseteq \{a,b,c\}$ , where  $\alpha_S$  is the product over all primes p dividing the variables in S and not dividing the variables in  $\{a,b,c\}-S$ .

The variables  $\alpha_S$  are squarefree and pairwise coprime, and we have  $\operatorname{rad}(|abc|) = \prod_{\emptyset \subset S \subseteq \{a,b,c\}} |\alpha_S|$ .

Define T(a) to be the subsets of  $\{a,b,c\}$  containing a. Then we have

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$$\sum_{\substack{\prod_{\emptyset \subset S \subseteq \{s,b,c\}} |\alpha_S| \leq X\\ s.b \text{ lin. ind.}}} \mu^2 \left(\prod_S |\alpha_S|\right) \cdot \mathbf{1}_{\mathbf{x}^2 = \alpha_{\mathsf{a}}\alpha_{\mathsf{a},b}\alpha_{\mathsf{a},c}\alpha_{\mathsf{a},b,c}y^2 + \alpha_b\alpha_{\mathsf{a},b}\alpha_{b,c}\alpha_{\mathsf{a},b,c}\mathbf{z}^2 \text{ sol.}}.$$

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Hasse-Minkowski: detect solubility of conic locally at primes dividing  $\alpha_{\mathcal{S}}$ .

Now rewrite the above sum as a sum over Legendre symbols involving the variables  $\alpha_s$ .

Evaluate the resulting character sum using Chebotarev and the large sieve.

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How does this process generalize?

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- Proof can most likely be made unconditional with a suitably strong large sieve for nilpotent extensions.

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# Happy birthday Peter!