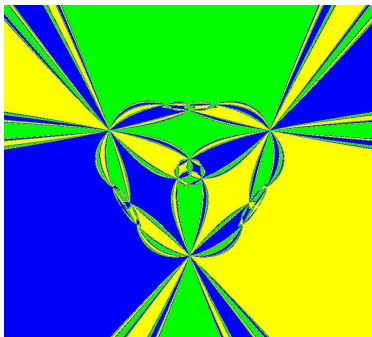


Rational maps of  $\mathbb{CP}^2$  with equal dynamical degrees,  
no invariant foliation, and  
two *different* measures of maximal entropy

Jeffrey Diller<sup>1</sup>, Scott Kaschner<sup>2</sup>, Rodrigo Pérez<sup>3</sup>, and Roland Roeder<sup>3</sup>

Notre Dame<sup>1</sup>, Butler<sup>2</sup> and IUPUI<sup>3</sup>

Bremen, August 2015



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Goal: convince you that it's interesting to study rational maps of  $\mathbb{C}P^2$  having equal dynamical degrees.

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$$f[X : Y : Z] = [f_1(X, Y, Z), f_2(X, Y, Z), f_3(X, Y, Z)],$$

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- ▶  $\lambda_2(f) = \deg_{\text{top}}(f)$  is the topological degree of  $f$ .

# Entropy Conjecture for Meromorphic Maps\*

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- ▶ Generic rational maps of  $\mathbb{CP}^k$ . (Vigny).

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Prototypical example: Endomorphisms  $f : \mathbb{CP}^2 \rightarrow \mathbb{CP}^2$ .

$$\lambda_1(f) = \deg_{\text{alg}}(f) < (\deg_{\text{alg}}(f))^2 = \lambda_2(f).$$

In general, there exists a “repelling” measure of maximal entropy.  
(Unique.)

Case 3 Equal degrees:  $\lambda_1(f) = \lambda_2(f)$ .

No prototypical example.

Little known.

Subject of today's talk.

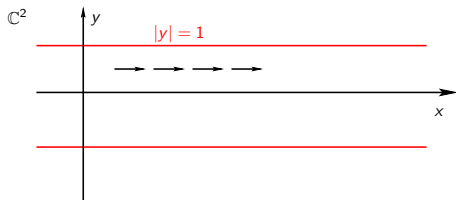


What can go wrong when map is not cohomologically hyperbolic?

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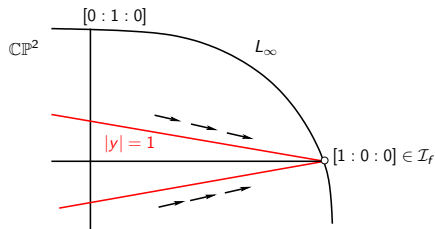
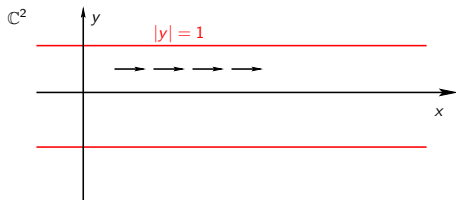
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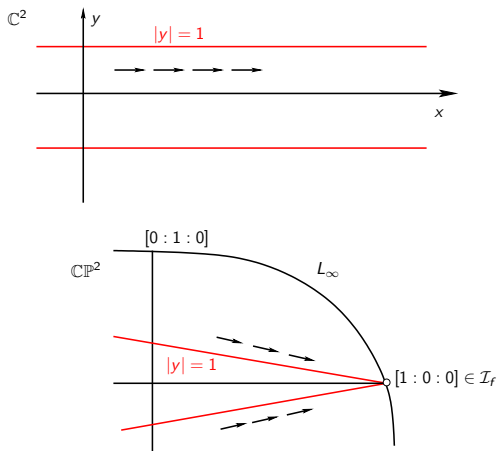
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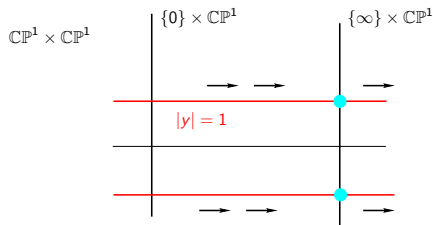
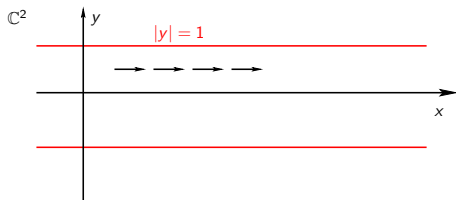
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Can't have a measure of entropy  $\log 2 = \log \lambda_1(f) = \log \lambda_2(f)$ .

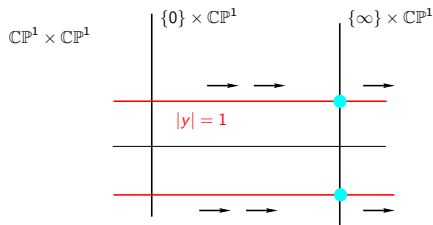
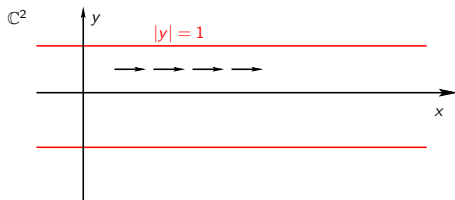
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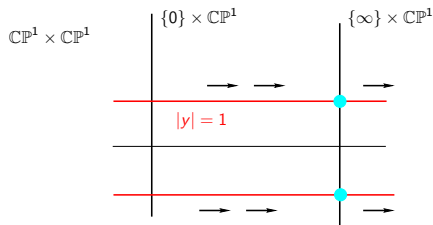
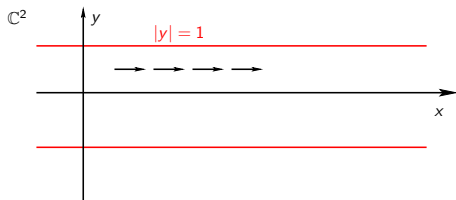
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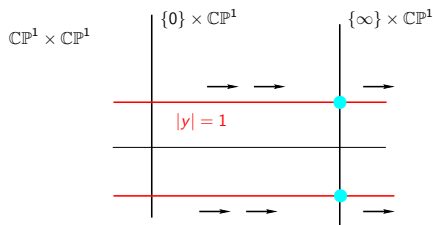
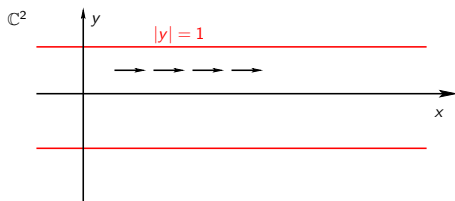
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Can disappear under birational conjugacy.



## Entropy Conjecture for Meromorphic Maps<sup>†</sup>

**Definition** The map  $f$  is **cohomologically hyperbolic** if one of its dynamical degrees is larger than all the others.

**Conjecture** Let  $f : X \dashrightarrow X$  be a cohomologically hyperbolic rational map with maximal dynamical degree  $\lambda_\ell$ .

Then there is a unique invariant probability measure  $\mu$  of **maximal entropy that does not charge hypersurfaces** with the following properties:

- (i)  $\mu$  is mixing and has entropy  $h_\mu(f) = \log \lambda_\ell(f)$ .
- (ii)  $\mu$  is hyperbolic and the Lyapunov exponents are bounded away from 0 by  $\frac{1}{2} \log \lambda_\ell(f)/\lambda_{\ell-1}(f)$  and  $-\frac{1}{2} \log \lambda_\ell(f)/\lambda_{\ell+1}(f)$ .
- (iii) Periodic points with  $\ell$  repelling directions and  $\dim(X) - \ell$  attracting directions are asymptotically equidistributed with respect to  $\mu$ .

---

<sup>†</sup>Vincent Guedj. *ETDS*, 25(6):1847–1855, 2005.

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- ▶ Meromorphic maps that are not cohomologically hyperbolic arise naturally when studying the spectral theory of operators on self-similar spaces. All the examples studied in that context preserve an invariant fibration (Sabot, Bartholdi, Grigorchuk, Zuk early 2000s).

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Preserving a fibration is stronger than preserving a foliation, so the answer to Guedj's question is “no”.

# Singular Holomorphic Foliations on Complex Surfaces I

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**Lemma 1** Let  $\mathcal{F}$  be a foliation on a surface  $X$  and suppose  $C \subset X$  is an irreducible algebraic curve. Then, either  $C$  is a leaf of  $\mathcal{F}$  or  $C$  is transverse to  $\mathcal{F}$  away from finitely many points.

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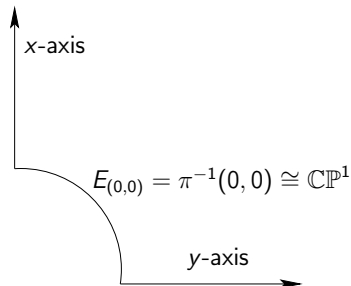
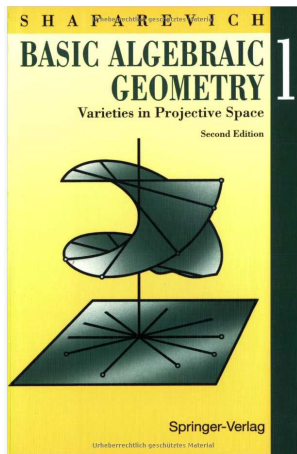
Thus, we can define  $\varphi^*\mathcal{F}$  to be the extension of  $(\varphi|_{X \setminus \mathcal{I}_\varphi})^* \mathcal{F}$  through  $\mathcal{I}_\varphi$ .

Blow up of  $\mathbb{C}^2$  at  $(0, 0)$

$$\widetilde{\mathbb{C}^2} := \{((x, y), l) \in \mathbb{C}^2 \times \mathbb{C}\mathbb{P}^1 \mid (x, y) \in l\}.$$

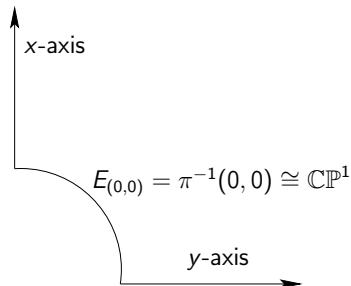
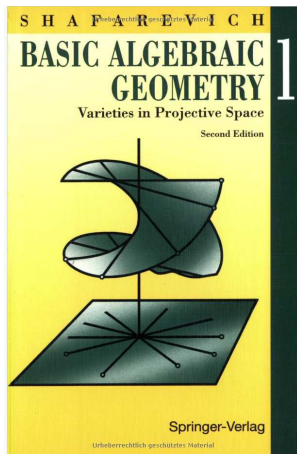
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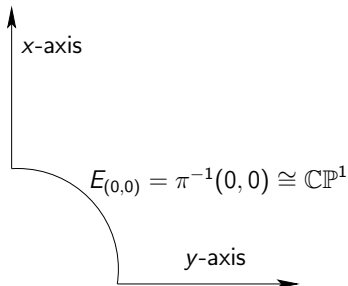
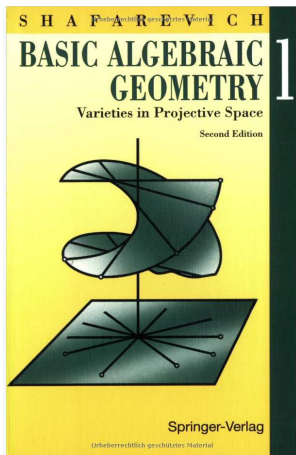


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See, for example, Shafarevich.

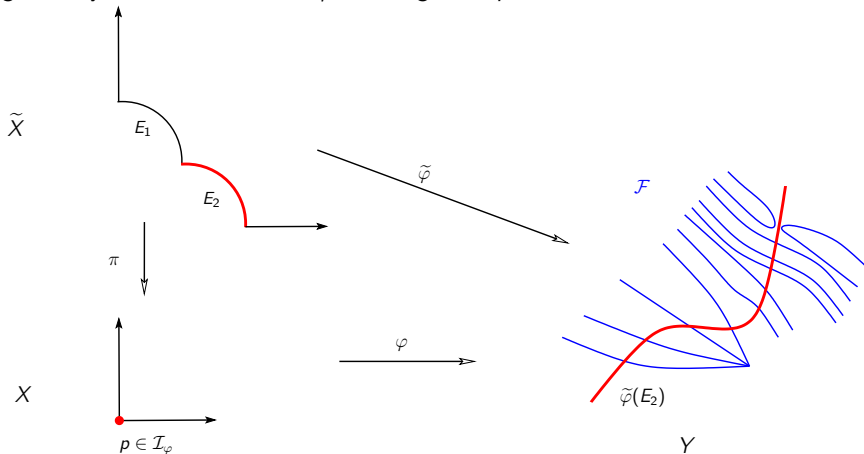
## Generation of singularities

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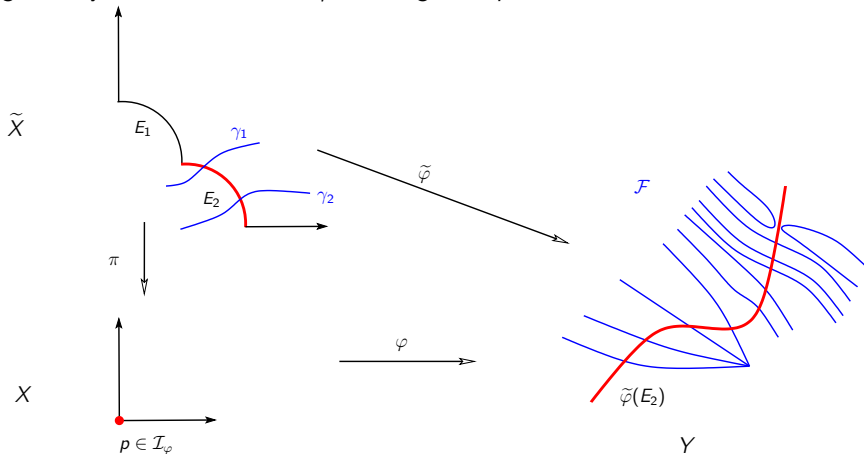
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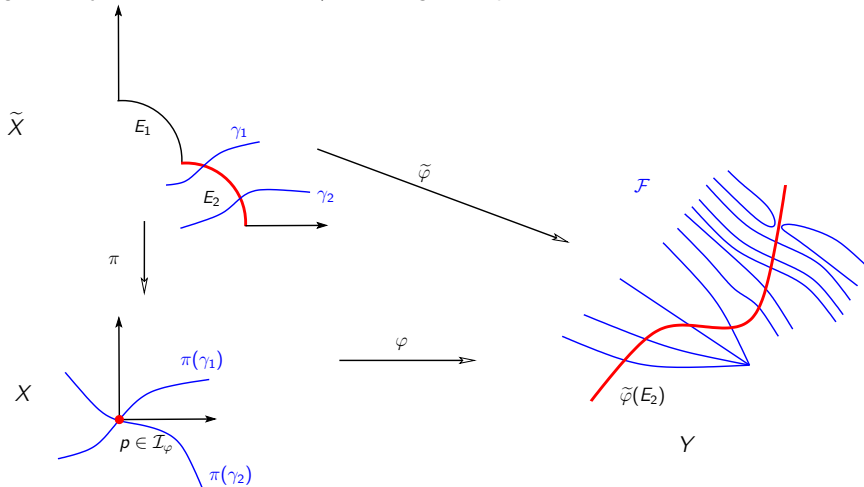




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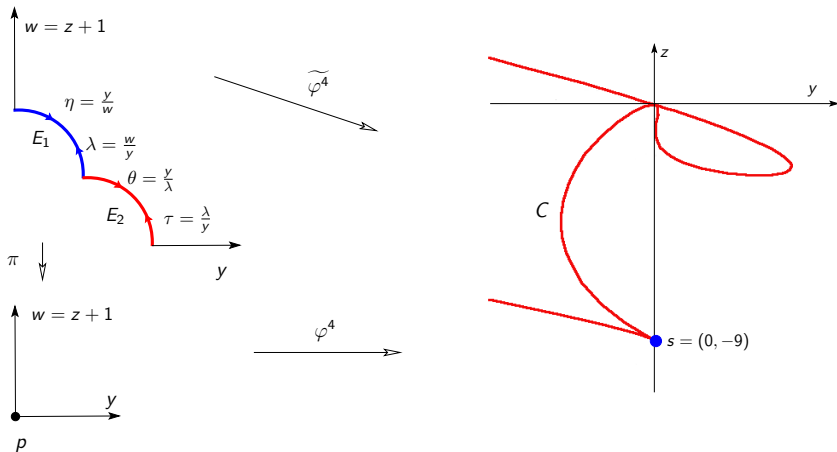
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# Two blow-ups resolve $p$ for $\varphi^4$

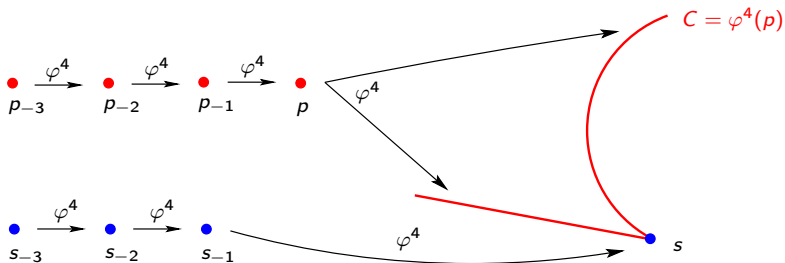
## Lemma

The map  $\varphi^4 : \mathbb{P}^2 \dashrightarrow \mathbb{P}^2$  lifts to a rational map  $\widetilde{\varphi}^4 : \widetilde{\mathbb{P}^2} \dashrightarrow \mathbb{P}^2$  that resolves the indeterminacy of  $\varphi^4$  at  $p$ . We have that  $\widetilde{\varphi}^4(E_1) = [1 : 0 : -9] =: s$  and that  $\widetilde{\varphi}^4(E_2)$  is an irreducible algebraic curve  $C$  of degree 8 that is singular at  $s$ .



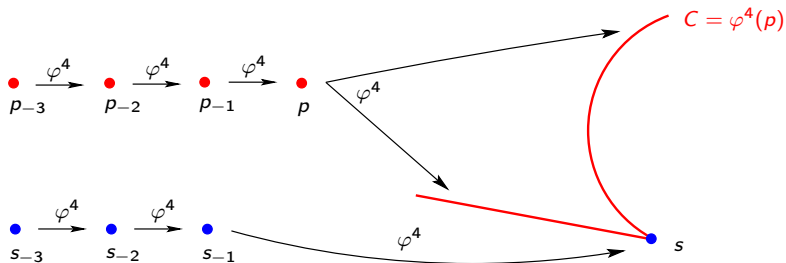
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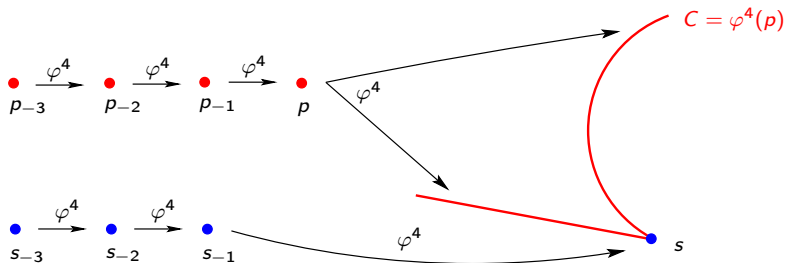
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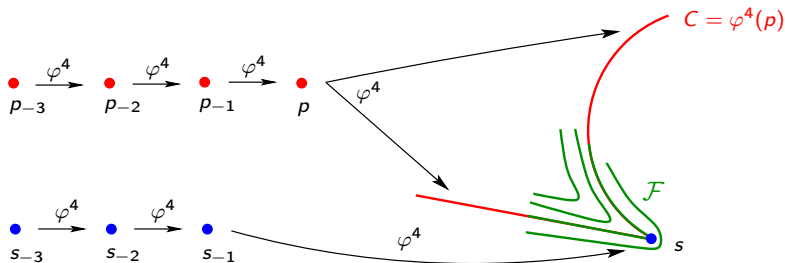


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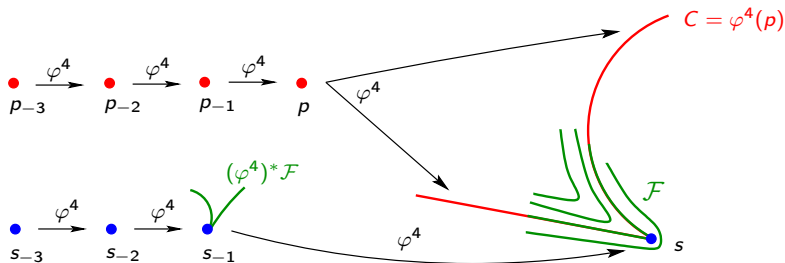


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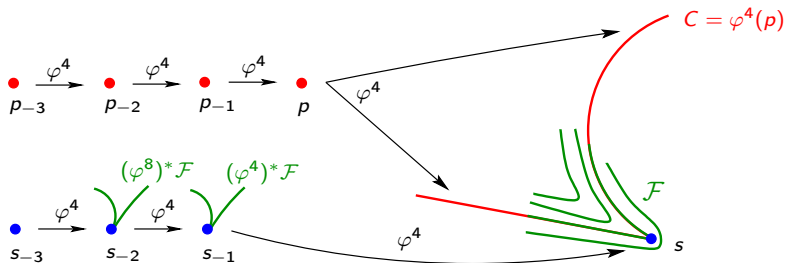


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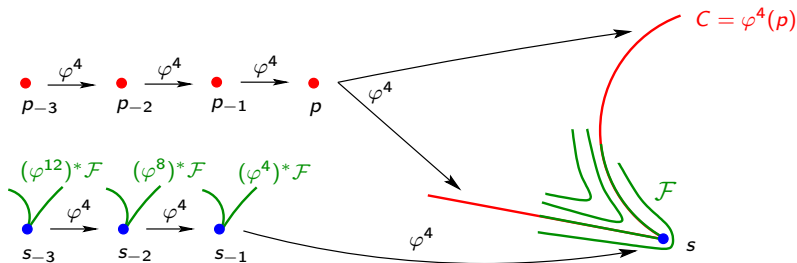
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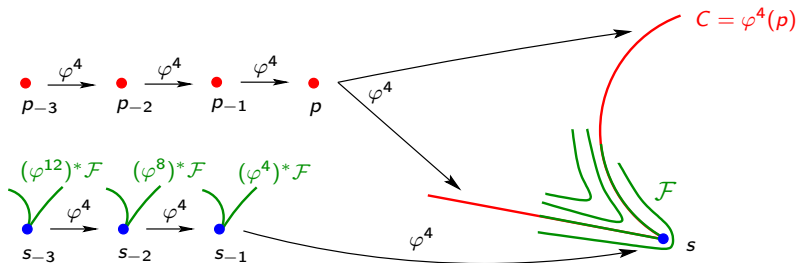


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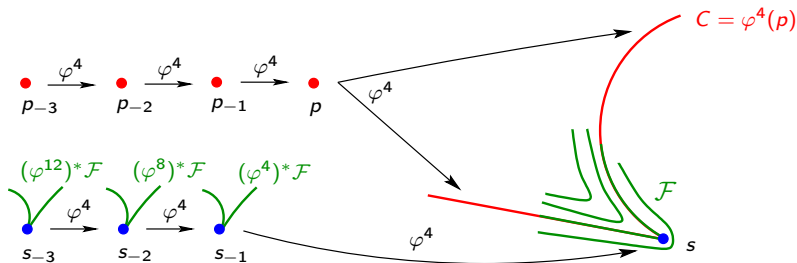
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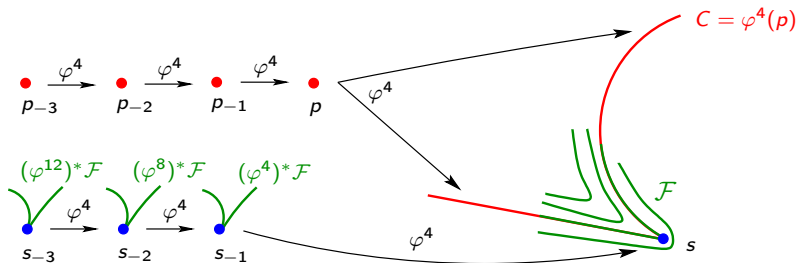
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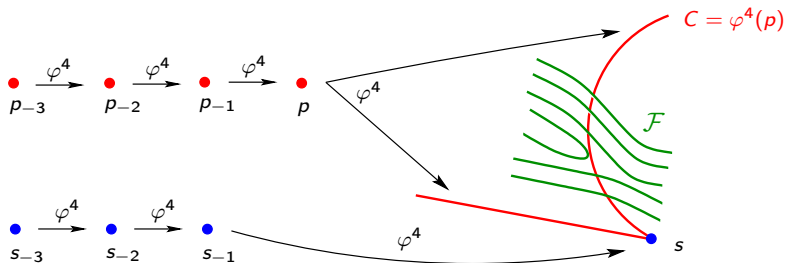
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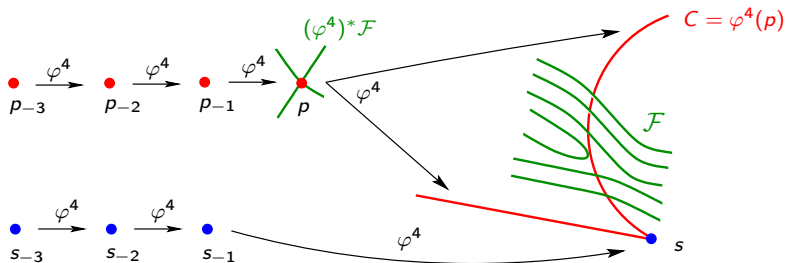


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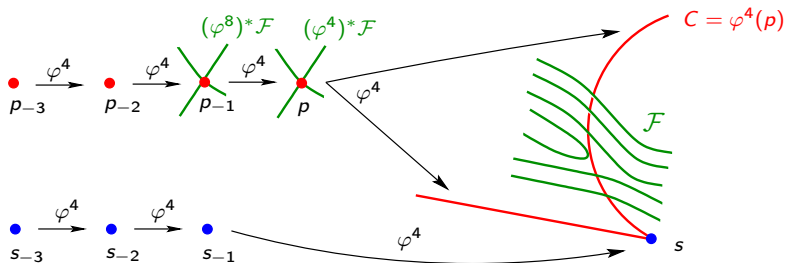


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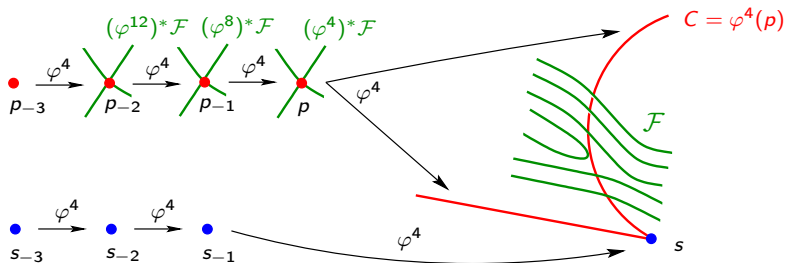


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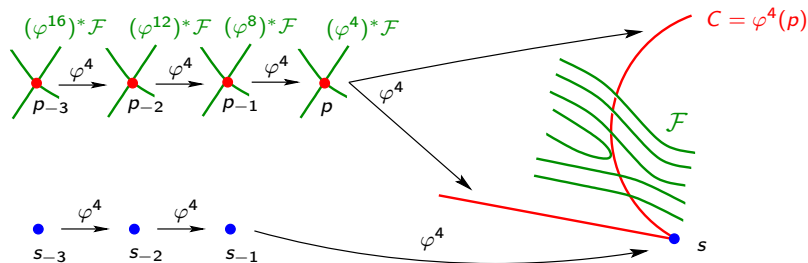
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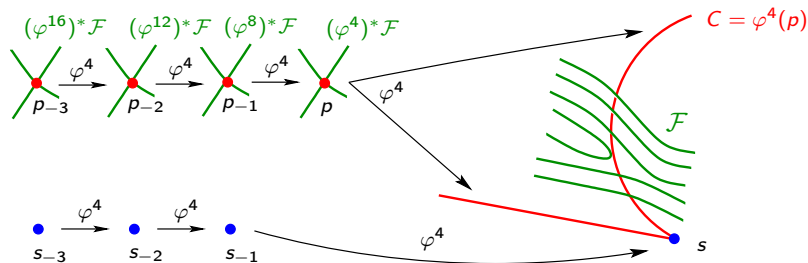


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General criteria for a map not to preserve a foliation

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**Theorem 2 (Kaschner–Pérez-R)** Assume the map  $\eta : \mathbb{C}\mathbb{P}^2 \dashrightarrow \mathbb{C}\mathbb{P}^2$  satisfies

1. There exists  $p \in \mathcal{I}_\eta$  and some iterate  $k$  so that  $\eta^k$  blows up  $p$  to a singular curve  $C$ .
2. The point  $p$  has an infinite preorbit along which  $\eta$  is a finite holomorphic map.
3. There is a singular point  $s \in C$  having an infinite preorbit along which  $\eta$  is a finite holomorphic map.

Then no iterate of  $\eta$  preserves a foliation.

## General criteria for a map not to preserve a foliation

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**Theorem 2' will be crucial in the second part of the talk.**

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Moreover, both are skew products (so, they're boring).

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Two-dimensional Chebyshev map  $f : \mathbb{C}\mathbb{P}^2 \dashrightarrow \mathbb{C}\mathbb{P}^2$  is given by  $f = s \circ q$  where

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Han Liu studied  $f$  and  $f_t$  in his 2014 Ph.D. Thesis. (Student of Diller.)

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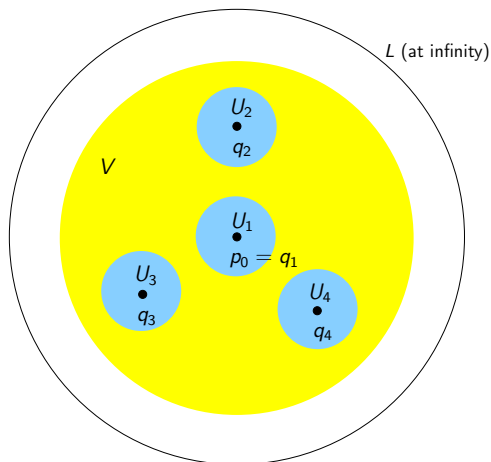
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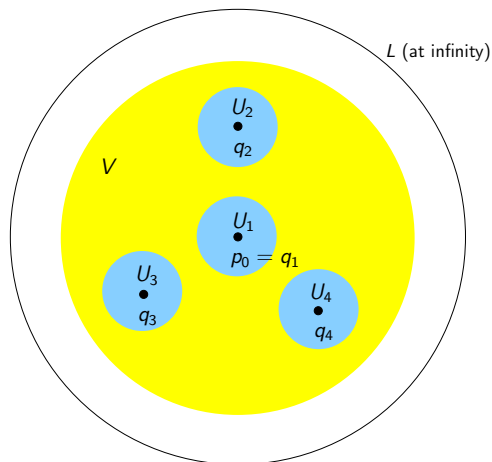
4.  $f_t$  has two distinct ergodic invariant measures  $\mu$  and  $\nu$  of maximal entropy  $\log 4$ :
  - (a)  $\text{supp } \mu = \mathcal{K}$ , so that it is repelling, and  $\text{supp } \nu = \Lambda$  so that it is of saddle-type,
  - (b) neither measure is supported in an algebra curve.

## Repelling Measure



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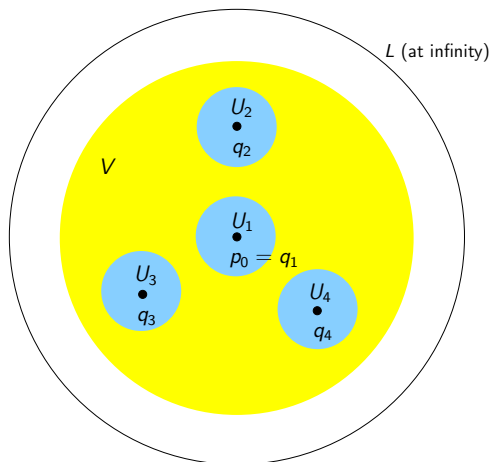
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For  $t$  sufficiently close to 1, one can construct a map  $h_t : \widehat{\Lambda}_1 \rightarrow \mathbb{CP}^2$  so that

$$\begin{array}{ccc} \widehat{\Lambda}_1 & \xrightarrow{\widehat{f}_1} & \widehat{\Lambda}_1 \\ \downarrow h_t & & \downarrow \widehat{h}_t \\ h_t(\widehat{\Lambda}_1) & \xrightarrow{f_t} & h_t(\widehat{\Lambda}_1). \end{array}$$

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## No invariant foliation & saddle measure $\nu_t$ not supported in a curve

**Lemma** Let  $T_\epsilon$  be the tube of radius  $\epsilon$  around  $L$ . For any  $t$  sufficiently close to 1,  $f_t(T_\epsilon) \Subset T_\epsilon$  and for any irreducible algebraic curve  $C \subset T_\epsilon$  we have

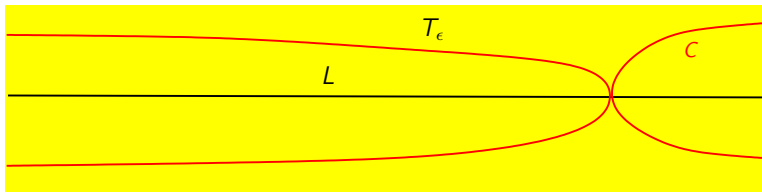
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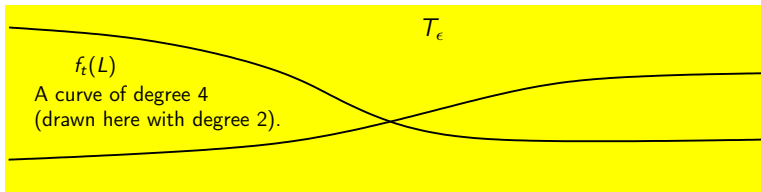


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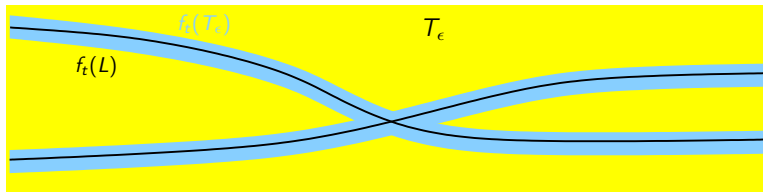


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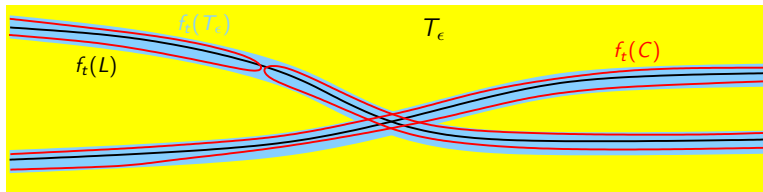


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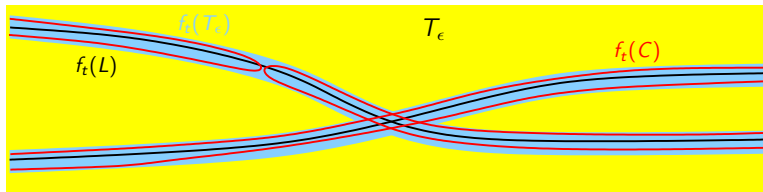


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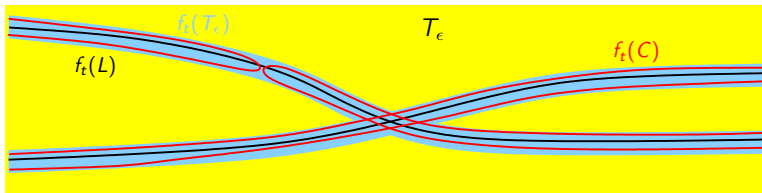
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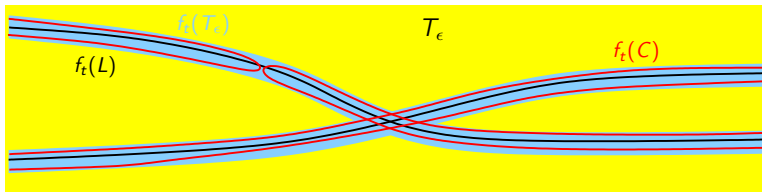
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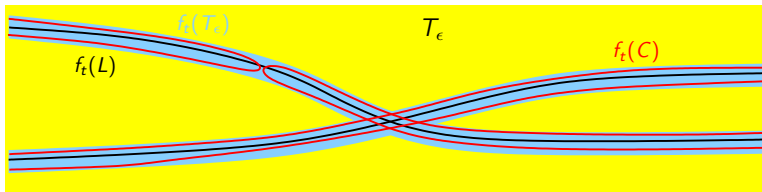


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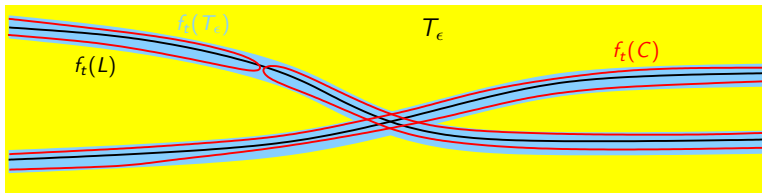
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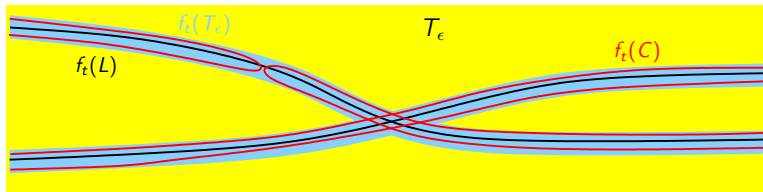
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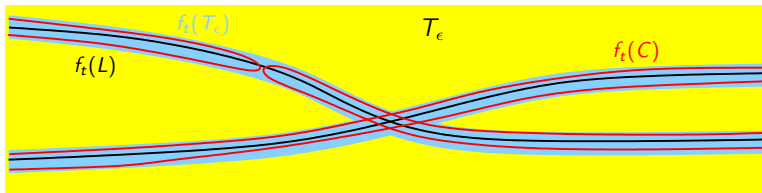
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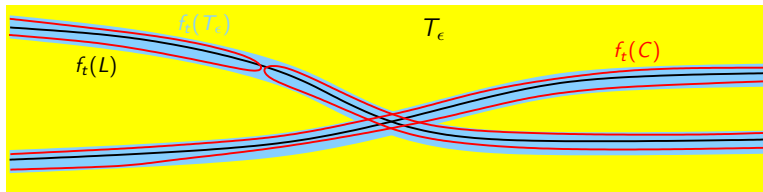
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Rest of the proof is essentially the same.

## Question

Does a “generic” rational map  $f : \mathbb{CP}^2 \dashrightarrow \mathbb{CP}^2$  with  $\deg_{\text{alg}}(f) = \deg_{\text{top}}(f)$  satisfy:

1. No iterate of  $f$  preserves a foliation, and
2.  $f$  has two distinct measures of maximal entropy, neither of which is supported on a curve?

**Happy Birthday Hamal!**