

An analytic proof of the binomial theorem

On pages 7 and 8, the text gives two proofs of the binomial theorem, one by induction, and the other by combinatorial reasoning. Here is a third proof, one that depends on calculus.

Let $f(z) = a_0 + a_1z + a_2z^2 + \cdots + a_nz^n$ be a polynomial of degree at most n . We give two proofs that

$$(1) \quad f(z) = \sum_{k=0}^n \frac{f^{(k)}(0)}{k!} z^k.$$

First proof. Consider what happens when we differentiate the monomial $a_j z^j$ k times. If $j < k$, the result is 0. If $j \geq k$, then the result is $a_j j(j-1) \cdots (j-k+1) z^{j-k}$. We note that $j(j-1) \cdots (j-k+1) = j!/(j-k)! = k! \binom{j}{k}$. Thus

$$f^{(k)}(z) = k! \sum_{j=k}^n \binom{j}{k} a_j z^{j-k}.$$

In particular, on taking $z = 0$ we discover that $f^{(k)}(0) = k! a_k$. That is, $a_k = f^{(k)}(0)/k!$, and so we have (1).

Second proof. If f is a function with derivatives through the order $n+1$, Taylor's formula asserts that

$$f(z) = \sum_{k=0}^n \frac{f^{(k)}(0)}{k!} z^k + \frac{f^{(n+1)}(\xi)}{(n+1)!} z^{n+1}.$$

When f is a polynomial of degree at most n , $f^{(n+1)}(z)$ is identically 0, so the remainder vanishes, and we have (1).

Now take $f(z) = (1+z)^n$. Then $f'(z) = n(1+z)^{n-1}$, $f''(z) = n(n-1)(1+z)^{n-2}$, and in general $f^{(k)}(z) = n(n-1) \cdots (n-k+1)(1+z)^{n-k}$. Hence $f^{(k)}(0) = n(n-1) \cdots (n-k+1) = n!/(n-k)!$ for $0 \leq k \leq n$, and so $a_k = f^{(k)}(0)/k! = \binom{n}{k}$. That is,

$$(2) \quad (1+z)^n = \sum_{k=0}^n \binom{n}{k} z^k.$$

If we take $z = x/y$ and multiply by y^n we find that

$$(3) \quad (x+y)^n = \sum_{k=0}^n \binom{n}{k} x^k y^{n-k}.$$

We can do this only when $y \neq 0$, but the above is also true (obviously) when $y = 0$, so it holds for all x and y . Conversely, if we take $x = z$, $y = 1$ in (3) we obtain (2), so (2) and (3) are equivalent forms of the binomial theorem.

In combinatorics, a polynomial or power series generating function is often used to establish combinatorial identities. Thus the argument above is not an isolated curiosity, but is rather a first simple example of a host of arguments that proceed along these lines.