

# Combinatorics of Exceptional Sequences in Type A

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## Introduction

Exceptional sequences are certain sequences of quiver representations that are useful in the study of

- i) exceptional vector bundles on projective spaces [GR]
- ii) derived categories [BK], [R]

Exceptional sequences also have connections with

- i) noncrossing partitions [IT]
- ii) cluster algebras [IS], [ST]

**We present a combinatorial classification of exceptional sequences of quivers whose underlying graph is a type A Dynkin diagram.**

## Quiver Representations

- A **quiver**  $Q$  is a directed graph. We consider quivers of the form  $Q = 1 \xrightarrow{\pm} 2 \xleftarrow{-} 3 \xrightarrow{\pm} 4$ . Such quivers “define” a **sign vector**  $\epsilon = (+, +, -, +, +)$  (the two entries on the ends are chosen arbitrarily).
- A **quiver representation**  $E$  is an assignment of a  $\mathbb{k}$ -vector space to each vertex of  $Q$  and linear maps to each arrow.
- A representation  $E$  is **indecomposable** if  $E = E_1 \oplus E_2$  (the component wise **direct sum**) implies that  $E_1 = 0$  or  $E_2 = 0$ .

### Example

The indecomposable representations of a type A quiver  $Q$  are exactly those of the form  $X_{i,j}$ :

$$\begin{array}{ccccccc} 1 & & i & & j & & n \\ 0 & \xleftarrow{0} & \dots & \xleftarrow{0} & 0 & \xleftarrow{\mathbb{k}} & \dots & \xleftarrow{\mathbb{k}} & 0 & \xleftarrow{0} & \dots & \xleftarrow{0} & 0 \end{array}$$

where  $0 \leq i < j \leq n$ .

## Exceptional Sequences

Let  $Q$  be an acyclic quiver. An ordered pair of representations  $(E_1, E_2)$  of  $Q$  is called an **exceptional pair** if

- i) each  $E_i$  is indecomposable,
- ii)  $\text{Ext}^1(E_i, E_i) = 0$  for each  $E_i$ ,
- iii)  $\text{Hom}(E_2, E_1) = 0, \text{Ext}^1(E_2, E_1) = 0$ .

- A sequence  $(E_1, \dots, E_k)$  ( $k \leq n := \#\{\text{vertices of } Q\}$ ) of representations of  $Q$  is an **exceptional sequence** if  $(E_i, E_j)$  is an exceptional pair for any  $i < j$ .
- A set  $\{E_1, \dots, E_k\}$  ( $k \leq n$ ) of representations of  $Q$  is an **exceptional collection** if  $(E_{\sigma(1)}, \dots, E_{\sigma(k)})$  is an exceptional sequence for some  $\sigma \in \mathfrak{S}_k$ .
- An exceptional sequence or collection is **complete** if  $k = n$ .

## Strand Diagrams and Labeled Strand Diagrams

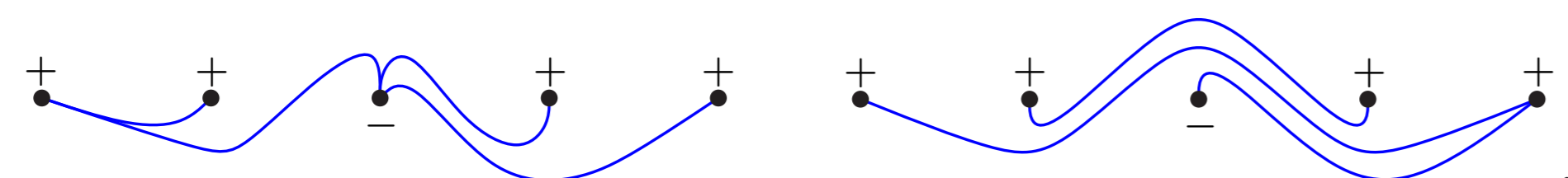
Encode collections of representations of a type A quiver  $Q$  using planar diagrams up to isotopy. Regard a representation of  $Q$  as a **strand**. Let  $Q = 1 \xleftarrow{-} 2$ .

$$\begin{array}{l} X_{0,1} = \mathbb{k} \xleftarrow{0} 0 \xrightarrow{\Phi_\epsilon} \begin{array}{c} + \\ \cdot \\ \cdot \\ - \\ \cdot \\ + \end{array} \\ X_{1,2} = 0 \xleftarrow{0} \mathbb{k} \xrightarrow{\Phi_\epsilon} \begin{array}{c} + \\ \cdot \\ - \\ \cdot \\ + \end{array} \\ X_{0,2} = \mathbb{k} \xleftarrow{1} \mathbb{k} \xrightarrow{\Phi_\epsilon} \begin{array}{c} + \\ \cdot \\ \cdot \\ - \\ \cdot \\ + \end{array} \end{array}$$

A **strand diagram**  $d = \{c(i_\ell, j_\ell)\}_{\ell \in [k]}$  is a collection of strands that are pairwise noncrossing and whose underlying graph is a forest. Let  $\mathcal{D}_{k,\epsilon}$  denote the set of strand diagrams with  $k$  strands.

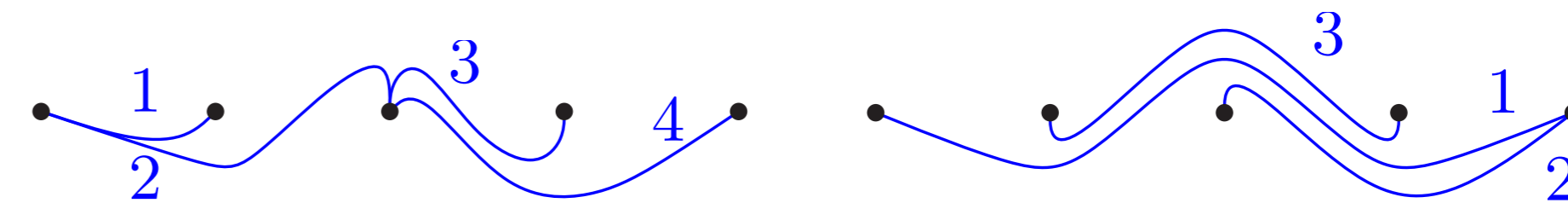
A **labeled strand diagram**  $d(k) = \{c(i_\ell, j_\ell, s_\ell)\}_{\ell \in [k]}$  is a strand diagram whose strands are bijectively labeled with by the integers  $s \in [k]$ . A labeled strand diagram  $d(k)$  has a **good labeling** if for any  $i \in [0, n]$  the labels of the strands connected to  $i$  increase when one reads through them clockwise.

Let  $Q = 1 \xrightarrow{\pm} 2 \xleftarrow{-} 3 \xrightarrow{\pm} 4$  and  $\epsilon = (+, +, -, +, +)$ . Here are the strand diagrams  $\{c(0, 1), c(0, 2), c(2, 3), c(2, 4)\}$  and  $\{c(0, 4), c(1, 3), c(2, 4)\}$



## Strand Diagrams and Labeled Strand Diagrams (continued)

Here are two labeled strand diagrams where the former has a



good labeling and the latter does not.

## Theorem

Let  $Q$  be a type A quiver with sign vector  $\epsilon$ . The maps

$$\{X_{i_\ell, j_\ell}\}_{\ell \in [k]} \mapsto \{c(i_\ell, j_\ell)\}_{\ell \in [k]} \quad \text{and} \quad \{X_{i_\ell, j_\ell}\}_{\ell \in [k]} \mapsto \{(c(i_\ell, j_\ell), k+1-\ell)\}_{\ell \in [k]}$$

define bijections between exceptional collections (resp. exceptional sequences) and strand diagrams (resp. labeled strand diagrams with a good labeling).

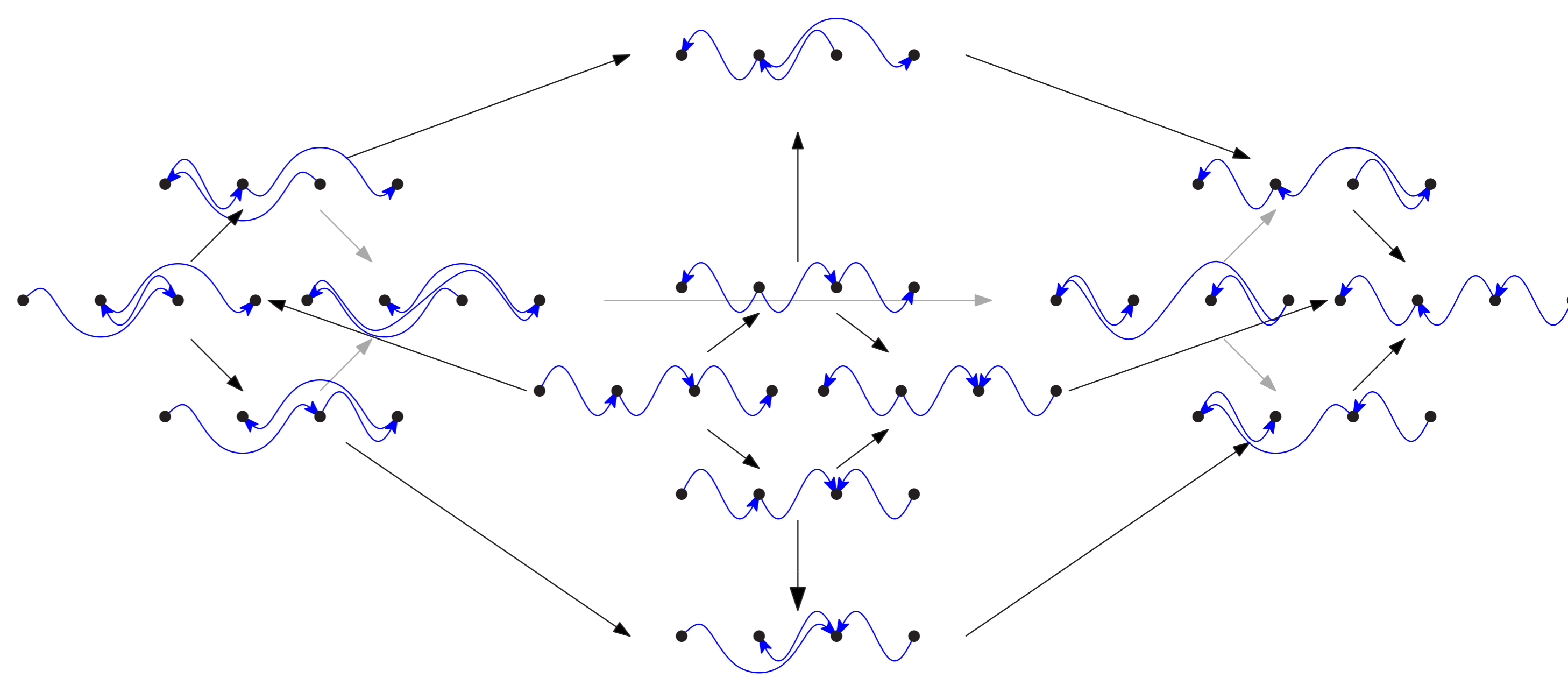
### Example

Let  $Q = 1 \xrightarrow{\pm} 2 \xleftarrow{-} 3 \xrightarrow{\pm} 4$  and  $\epsilon = (+, +, -, +, +)$ .

$$\begin{array}{l} \{X_{0,1}, X_{0,2}, X_{2,3}, X_{2,4}\} \mapsto \begin{array}{c} + \\ \cdot \\ \cdot \\ - \\ \cdot \\ + \end{array} \\ \{X_{1,3}, X_{2,3}, X_{0,2}, X_{3,4}\} \mapsto \begin{array}{c} 4 \\ \cdot \\ \cdot \\ 2 \\ \cdot \\ 3 \\ \cdot \\ 1 \end{array} \end{array}$$

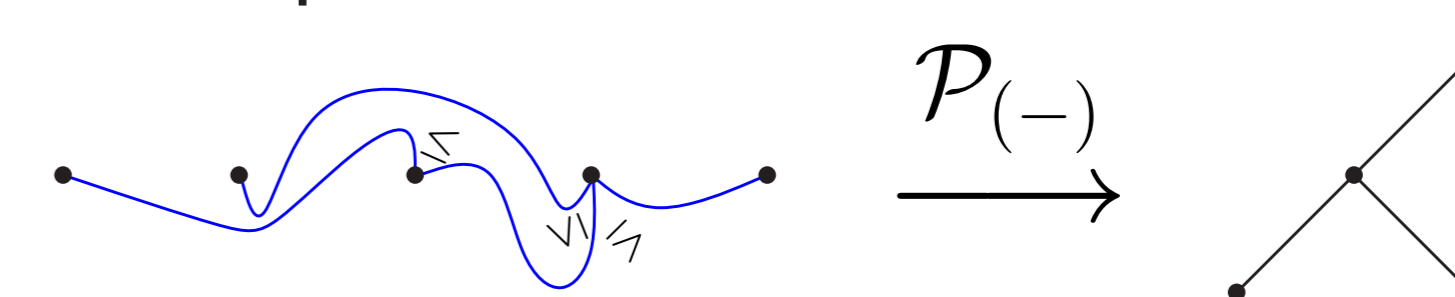
## Cluster Algebras

Oriented diagrams  $\vec{d} \in \vec{\mathcal{D}}_{n,\epsilon}$  index the **clusters** in  $\mathcal{A}(Q)$ . Let  $Q = 1 \xrightarrow{\pm} 2 \xleftarrow{-} 3$  and  $\epsilon = (-, +, -, +)$ .



## Linear Extensions

Each  $d \in \mathcal{D}_{n,\epsilon}$  defines a poset.



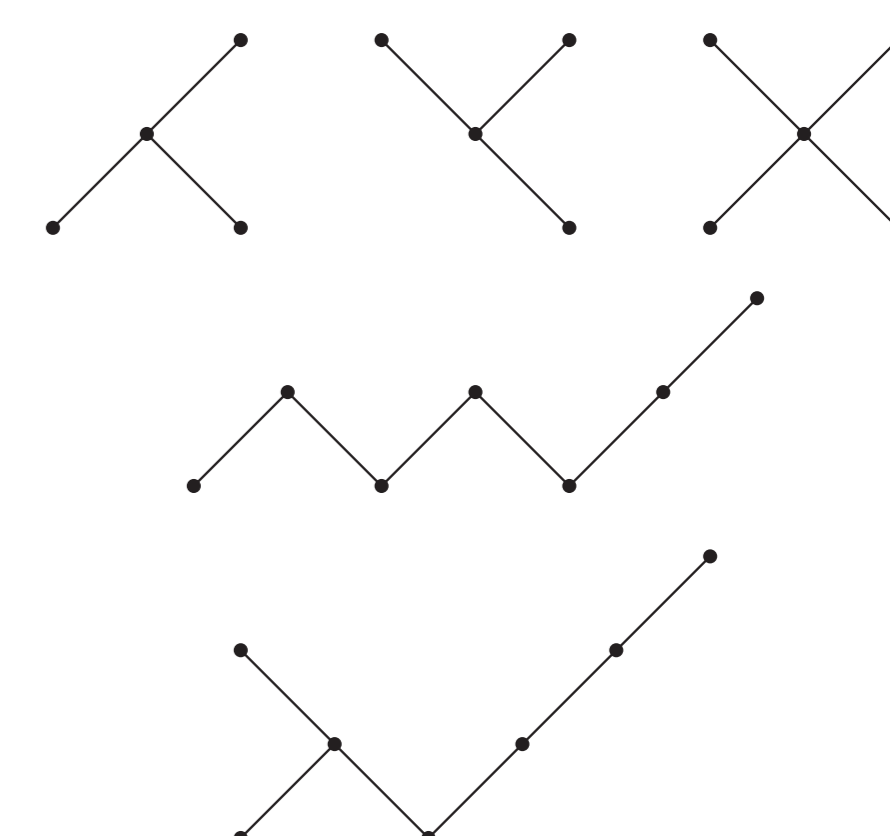
Let  $\vec{\xi}$  be a CEC whose diagram is  $d \in \mathcal{D}_{n,\epsilon}$ . Then the natural map  $\{\text{CESs determined by } \vec{\xi}\} \rightarrow \mathcal{L}(\mathcal{P}_d)$  is a bijection.

$$\{X_{i_\ell, j_\ell}\}_{\ell \in [n]} \mapsto \{(c(i_\ell, j_\ell), n+1-\ell)\}_{\ell \in [n]} \mapsto (f : c(i_\ell, j_\ell) \mapsto n+1-\ell)$$

## Posets Arising From Strand Diagrams

Let  $\epsilon = (-, -, \dots, -)$  or  $(+, +, \dots, +)$ . A poset  $\mathcal{P} \in \mathcal{P}(\mathcal{D}_{n,\epsilon}) := \{\mathcal{P}_d : d \in \mathcal{D}_{n,\epsilon}\}$  if and only if

1. each  $x \in \mathcal{P}$  has at most two covers and covers at most two elements
2. the underlying graph of the Hasse diagram of  $\mathcal{P}$  has no cycles
3. the Hasse diagram of  $\mathcal{P}$  is connected.



## Noncrossing Partitions

Exceptional sequences of  $Q = 1 \leftarrow \dots \leftarrow n$  are in bijection with **saturated** chains in  $NC(\mathfrak{S}_{n+1})$ , the lattice of noncrossing partitions, that contain its bottom element  $\{\{i\}\}_{i \in [n+1]}$ .

