

Political Science 641: Proseminar in Comparative Politics
Fall 2002, Monday 08:00-10:00, Lorch 171
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Class Schedule

1. (9 Sep) Introduction, Administration & Logistics
2. (16 Sep) States
3. (23 Sep) Political Development, Democratization and Regime Type
4. (30 Sep) Violence, Rebellion, and Revolution
5. (7 Oct) Political Culture and Political Attitudes
6. (21 Oct) Introduction to Institutions
7. (28 Oct) Political Participation and Collective Action
8. (4 Nov) Cleavage Structure, Interest Groups and Interest Intermediation
9. (11 Nov) Elections and Electoral Systems
10. (18 Nov) Political Parties and Party Systems
11. (25 Nov) Constitutions
12. (2 Dec) Policymaking
13. (9 Dec) States, Markets, and Prosperity

Overview:

This seminar surveys major topics in comparative politics for Ph.D. students, addressing topics of special importance in the sub-field, although far from exhaustively. Each week participants discuss a subset of the pertinent scholarly literature, often focusing on a major theoretical controversy. We address key methodological issues in the context of these substantive and theoretical works.

The course is *boot camp* for the comparative sub-field. The reading load is unavoidably *very* heavy because we must entertain multiple hypotheses and research designs. Be forewarned, read the suggestions at the end of the syllabus carefully, and pay close attention to the guidance for each coming week we offer at the end of the previous. We also extremely highly recommend that you form reading groups and provide summary outlines to each other before the week's meeting.

Note that participants are responsible only for the required readings. We provide the list of articles by Michigan authors and the additional sources for those who want to pursue some of the topics in later work and need guidelines. The Michigan authors section is incomplete, but it does acquaint you generally with what faculty members in the field have written.

There are *two main requirements*. 1) Because this is a discussion course, active participation is essential. We expect each person to have read assigned selections every week for discussion and to be ready to contribute to the conversation. 2) We also expect each student to prepare three discussion papers, detailed in the accompanying memo. In calculating grades, participation weighs 25% and papers 75%. Students may elect to have later papers weighted more heavily than the first paper; practice may help.

Classic texts or books from which we assign long passages are on order at the Michigan bookstores. The graduate library has on reserve all assigned readings, including those on order at the bookstores (i.e., you need not buy the books). Articles and shorter selections from books are available from electronic reserves; you may create your own hardcopies from there if you like.

We hold office hours for graduate students by appointment; contact information appears above.

1. (9 Sep) Administrative Introduction: Logistics, etc.

Intellectual History & Methods of & Debates in Comparative Politics

The first substantive class introduces the enterprise of comparative politics and the intellectual history of the field. Although we view the best way to learn as reading and arguing about the classics, we offer this set of preliminary background readings to set the stage. Methodological maxims and arguments about alternative approaches to the study of political science will enter our weekly discussions during the term. The department's methodological courses, e.g., *Research Design and Method in Comparative Politics*, *Survey Research Design*, *Qualitative Research Methods*, or any of the various statistical-analysis courses (PS 599, 699, 787, etc.), address methods and approaches more explicitly and extensively.

- Jan-Erik Lane and Svante Ersson. *Politics and Society in Western Europe*, 3rd ed. London: Sage, 1994. Chapter 1, pp. 15-51. [Perhaps not the best-written, but offers a relatively complete and simple overview of the intellectual history. See also the first few sections of Rob's notes for PS441, available from his web page, address above.]
- Arend Lijphart. "Comparative Politics and the Comparative Method," *American Political Science Review* (Sept. 1971.), pp. 682-93.
- Gary King, Robert Keohane, and Sidney Verba. 1994. *Designing Social Inquiry*. Princeton: Princeton University Press. Chapter 1.
- Max Weber. *Methodology of the Social Sciences*, Chapters 1-2.
- "Review Symposium: the Qualitative-Quantitative Disputation," *American Political Science Review* (June 1995), pp. 454-82.
- William Riker. "Political Science and Rational Choice," in James Alt & K. Shepsle, eds., *Perspectives on Positive Political Economy*. New York: Cambridge University Press, 1990, pp. 163-82.
- James Fearon. "Counterfactuals and Hypothesis Testing in Political Science," *World Politics*, 43 (1991): 169-195.
- Robert Bates. "Macropolitical Economy in the Field of Development," in James Alt and Kenneth Shepsle, eds., *Perspectives on Positive Political Economy*, Cambridge: Cambridge University Press, 1990: 31-55.
- Peter Ordeshook, "The Emerging Discipline of Political Economy," in James Alt and Kenneth Shepsle, eds., *Perspectives on Positive Political Economy*, Cambridge: Cambridge University Press, 1990: 9-30.
- Kathleen Thelen and Sven Steinmo, "Historical Institutionalism in Comparative Politics," from Sven Steinmo, et. al., eds. *Structuring Politics: Historical Institutionalism in Comparative Analysis*.
- Robert Bates. "Area Studies and the Discipline: A Useful Controversy?" from PS: Political Science and Politics, June 1997, pp. 166-169.

Some Additional Sources:

- John Stuart Mill. "How We Compare," in *A System of Logic*, Book VI, chapter 10, New York: Harper, 1846.
- Max Weber, "Politics as a Vocation" in *From Max Weber: Essays in Sociology*.
- Sidney Verba. "Comparative Politics: Where Have We Been, Where Are We Going?," in Howard Wiarda, ed., *New Directions in Comparative Politics*, 1985.
- Alasdair MacIntyre. "Is a Science of Comparative Politics Possible?" in Laslett, ed., *Philosophy, Politics and Society*.
- Adam Przeworski and Harry Tuene. *The Logic of Comparative Social Inquiry*, New York: Wiley-Interscience, 1970.
- Jan Elster, *Nuts and Bolts*
- Giovanni Sartori, "Concept Misinformation in Comparative Politics," *American Political Science Review*, 64, 4 (1970)
- Harry Eckstein. "Case Study and Theory in Political Science," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, vol. 7
- Theda Skocpol and Margaret Sommers, "The Uses of Comparative History in Macrosocial Inquiry," *Comparative Studies in Society and History*, 22, 3 (1991).

- Gabriel Almond. "Introduction: A Functional Approach to Comparative Politics" in Gabriel Almond and James S. Coleman, eds., *The Politics of Developing Areas*.
- Albert Hirschman. "The Concept of Interest: From Euphemism to Tautology," in Hirschman, *Rival Views of Market Society and other Recent Essays*.
- Jane Mansbridge. "The Rise and Fall of Self-Interest in the Explanation of Political Life," in Jane Mansbridge, ed., *Beyond Self-Interest*. Chicago: University of Chicago Press, 1990.
- Donald P. Green and Ian Shapiro. *Pathologies of Rational Choice Theory: A critique of applications in political science*. New Haven: Yale University Press, 1994
- Pauline Marie Rosenau. *Post-Modernism and the Social Sciences: Insights, Inroads, and Intrusions*. Princeton University: Princeton University Press, 1992.
- Charles Judd, Eliot Smith, and Louise Kidder. *Research Methods in Social Relations*. Sixth edition. New York: Holt Reinhart, 1991. (A useful text for those about to construct research designs of their own. The discussion of threats to validity is especially useful.)
- Earl Babbie. *Survey Research Methods*. Belmont, CA: Wadsworth Publishing, 1990.
- Jean Converse and Stanley Presser. *Survey Questions: Handcrafting the Standardized Questionnaire*. Sage University Paper Series on Quantitative Applications in the Social Sciences, Number 63, 1986.
- Gabriel Almond. "The Intellectual History of the Civic Culture Concept," from *The Civic Culture Revisited*, edited by Gabriel Almond and Sidney Verba, Newbury Park, CA: Sage Publications, 1989.

2. (16 Sep) States

- Mancur Olson. "The Criminal Metaphor," from *Power and Prosperity*. NY: Basic Books, 2000, pp. 3-24.
- Charles Tilly. *Coercion, Capital, and the European States*, Cambridge, MA: Blackwell, 1990, pp. 1-5, 14-95, 187-191.*
- Hendrick Spruyt. *The Sovereign State and Its Competitors*, Princeton: Princeton University Press, 1994.
- Jeffrey Herbst. "War and the State in Africa," *International Security* 14 (Spring 1990), pp. 117-39.
- *Optional*: David Held, et. al., excerpts from *Global Transformations*, Stanford, CA: Stanford University Press, 1999.

Michiganders on states and nations

- Zvi Gitelman. *Becoming Israelis: Political Resocialization of Soviet and American Immigrants*. New York: Praeger, 1982.
- Jennifer Widner. "States and Statelessness in Late Twentieth-Century Africa," *Daedalus* 124, 3 (1995): 129-153.

Some additional sources on States

- Robert Jackson and Carl Rosberg, "Why Africa's Weak States Persist: The Empirical and the Juridical in Statehood," *World Politics*, 1982: 1-24.
- Robert Bates. "The Centralization of African Societies," in Robert Bates, *Essays on the Political Economy of Rural Africa*. Cambridge: Cambridge University Press, 1983: 21-49.
- Stephen Krasner. "Approaches to the State: Alternative Conceptions and Historical Dynamics," *Comparative Politics*, 16, January 1984: 223-246.
- Thomas Bartlett. *The Making of Europe: Conquest, Colonization, and Cultural Change*. Princeton: Princeton University Press, 1993, excerpts.
- Thomas Ertman. *Birth of the Leviathan: Building States and Regimes in Medieval and Early Modern Europe*. Cambridge: Cambridge University Press, 1997.
- Stephen Skowronek, *Building the New American State*. New York: Cambridge University Press, 1982.
- Clifford Geertz. *Negara: The Theatre State in Nineteenth-Century Bali*. Princeton: Princeton University Press, 1980: 3-6, 11-44, 98-136.
- Anthony Reid. *Southeast Asia in the Age of Commerce, 1450-1680, v. 1 The Lands Below the Winds*. New Haven: Yale University Press, 1988: 120-146 and skim 173-235.
- Charles Tilly. "Reflections on the History of European State-Making," in Charles Tilly, ed., *The Formation of National States in Western Europe*. Princeton: Princeton University Press, 1986: 3-83.
- Jeffrey Herbst *States and Power in Africa*. Princeton: Princeton University Press, 2000.

- Spring and Summer 1995 issues of *Daedalus* focus on states and state disintegration in the late 20th century.
- Bernard S. Silberman. *Cages of Reason: The Rise of the Rational State in France, Japan, the United States, and Great Britain*. Chicago: University of Chicago Press, 1993.
- David Laitin. *Language Repertoires and State Construction in Africa*. Cambridge: Cambridge University Press, 1992.
- Susan Strange. *The Retreat of the State: The Diffusion of Power in the World Economy*. Cambridge 1996.
- David Held. *Global Transformations*.

Additional Sources on Nation-Building

- Karl Deutsch. *Nationalism and Social Communication*. Cambridge: MIT Press, 1966, Chapters 4-8 (short chapters; excerpt in packet)
- Peter A. Gourevitch. "The Reemergence of 'Peripheral Nationalism': Some Comparative Speculations on the Spatial Distribution of Political Leadership and Economic Growth," *Comparative Studies of Society and History*, pp. 303-322.
- Donald Horowitz. *Ethnic Groups in Conflict*. Berkeley: University of California Press, 1985.
- Anthony D. Smith. *The Ethnic Origins of Nations*. Oxford: Blackwell, 1986.

3. (23 Sep) Theories of Political Development, Transition, & Regime Type

Modernization and Dependency Theories

- Daniel Lerner. *The Passing of Traditional Society*, Glencoe, Ill.: Free Press, 1958. Chapter 1 (skim).
- Karl Deutsch, "Social Mobilization and Political Development," in Jason Finkle and Richard Gable, eds., *Political Development and Social Change*, 1971, pp. 384-401.
- Seymour Martin Lipset. *Political Man: The Social Bases of Politics*, Garden City, N.Y.: Doubleday, 1960, chapter 2.
- Samuel Huntington. *Political Order in Changing Societies*, New Haven: Yale University Press, 1968, Chapters 1 and 6, pp. 1-92 and 344-396.
- J. Samuel Valenzuela and Arturo Valenzuela, "Modernization and Dependency: Alternative Perspectives in the Study of Latin American Underdevelopment," *Comparative Politics*, 10, 4 (July 1978): 535-552.

Regime Type/Democratization

- Adam Przeworski et al., *Democracy and Development*, Cambridge: Cambridge University Press, 2000, ch. 2.
- Robert Dahl. *Polyarchy: Participation and Opposition*, New Haven: Yale University Press, 1971 (skim).
- Larry Diamond and Marc Plattner, ed. *The Global Resurgence of Democracy*, Baltimore: Johns Hopkins University Press, 1996, chapters 1-4. (Chapters by Huntington, Plattner, Schmitter & Karl, and Sanguinetti).
- Geddes, Barbara. 1999. "What Do We Know About Democratization after 20 Years," *Annual Review of Political Science*, vol. 2, pp. 115-44.

Michiganders:

- Zvi Gitelman. "The Roots of East Europe's Revolution." *Problems of Communism*, 39, 3 (1990): 89-94 (review essay).
- Jennifer Widner. "Political Reform in Anglophone and Francophone Africa," in Jennifer Widner, ed., *Economic Change and Political Liberalization in Sub-Saharan Africa*. Baltimore: Johns Hopkins University Press, 1994.
- F. K. Organski. *The Stages of Political Development*. New York: Knopf, 1965.
- F. K. Organski. *Births, Deaths, and Taxes: The Demographic and Political Transitions*. Chicago: University of Chicago Press, 1984.
- Kenneth Lieberthal. "Modernization and Succession Politics in China," *Journal of International Affairs*, 32, 2 (1978): 239-254.

Some additional sources:

Authoritarian Regimes

- Juan Linz, "Totalitarian and Authoritarian Regimes," in Fred Greenstein and Nelson Polsby, eds., *Handbook of*

Political Science, 3 (1975): 191-357.

- Samuel Huntington, "Social and Institutional Dynamics of One-Party Systems," in S. P Huntington and C. H. Moore, ed., *Authoritarian Politics in Modern Society* (1970): 3-44.
 - Adam Przeworski and Fernando Limongi, "Political Regimes and Economic Growth," *Journal of Economic Perspectives*, 7, 3 (1993): 51-69.
 - David Collier, ed., *The New Authoritarianism in Latin America*. Princeton; Princeton University Press, 1979, chapters 1, 5, 8, 9.
 - Aristide Zolberg. *Creating Political Order: The Party States of West Africa*. Chicago: University of Chicago Press, 1966.
- Democratization*
- Samuel Huntington. *The Third Wave: Democratization in the Late Twentieth Century*. Norman, Okla.: University of Oklahoma Press, 1991, pp. xiii-xv and chapters 1-4.*
 - Giuseppe di Palma. *To Craft Democracies: An Essay on Democratic Transitions*, 1990, chapter 1 and enough more to appreciate the argument.
 - Adam Przeworski. *Democracy and the Market: Political and Economic Reforms in Eastern Europe and Latin America*, 1992, introduction and pp. 1-99.
 - Nancy Bermeo, "Redemocratization and Transition Elections: A Comparison of Spain and Portugal," *Comparative Politics*, 19, 2 (1987): 213-232.
 - Nancy Bermeo, ed., *Liberalization and Democratization in the Soviet Union and Eastern Europe*. Baltimore: Johns Hopkins University Press, 1992.
 - Two sets of edited volumes have attracted considerable attention, one a series by Guillermo O'Donnell, Philippe Schmitter, and Laurence Whitehead (the "Transitions" series) and the other by Larry Diamond, Juan Linz, and Seymour Martin Lipset (the "Democracy" series).
 - Essays in Jennifer Widner, ed., *Economic Change and Political Liberalization in Sub-Saharan Africa*. Baltimore: Johns Hopkins University Press, 1994.

Democratic Consolidation and Stability

- G. Bingham Powell, Jr. *Contemporary Democracies: Participation, Stability, and Violence*. Cambridge, MA: Harvard University Press, 1982.
- Alvin Rabushka and Kenneth Shepsle. *Politics in Plural Societies: A Theory of Democratic Instability*, Columbus, Oh: Merrill, 1972.
- John H. Herz, ed. *From Dictatorship to Democracy: Coping with the Legacies of Authoritarianism and Totalitarianism*. Westport, Conn.: Greenwood Press, 1982.

More Modernization/Political Development

- Robert Bates, "The Impulse to Reform," in Jennifer Widner, ed., *Economic Change and Political Liberalization in Sub-Saharan Africa*. Baltimore: Johns Hopkins University Press, 1994.
- Leonard Binder, et. al., *Crises and Sequences in Political Development*. Princeton: Princeton University Press, 1971: 3-72.
- Adam Przeworski and F. Limongi, "Modernization: Theories and Facts," *World Politics* 49, 2 (1997): 155-183.
- Eugen Weber. *Peasants into Frenchmen: The Modernization of Rural France*. Stanford: Stanford University Press, 1976, chapters 1-2, 12, 16, 18-20, 23, and 27 to end (excerpts in packet)
- Samuel Huntington, "The Change to Change: Modernization, Development, and Politics," *Comparative Politics*, 3 (April 1971): 283-322.
- Robert Melson and Howard Wolpe. "Modernization and the Politics of Communalism: A Theoretical Perspective," *American Political Science Review*, 64, 4 (1970).
- Robert Bates. "Modernization, Ethnic Competition, and the Rationality of Politics in Contemporary Africa." In Donald Rothchild and Victor Olorunsola, eds., *The State Versus Ethnic Claims: African Policy Dilemmas*. Boulder, CO: Westview 1983.
- Samuel P. Huntington and Joan M. Nelson. *No Easy Choice: Political Participation in Developing Countries*. Cambridge, Mass: Harvard University Press, 1976.
- Alex Inkeles, "The Modernization of Man," in Myron Weiner, ed., *Modernization*, New York: Basic Books, 1966: 138-150.
- Myron Weiner and Samuel P. Huntington, eds., *Understanding Political Development: An Analytic Study*. Boston: Little, Brown, 1987: chapters 2 and 3.

Dependency Theories/Theories of Underdevelopment

- Robert Packenham. *The Dependency Movement: Scholarship and Politics in Development Studies*. Cambridge, Mass.:

- Harvard University Press, 1992.
- John Gaventa. *Power and Powerlessness: Rebellion and Quiescence in an Appalachian Valley*. Urbana: University of Illinois Press, 1980.
 - Thomas Bierstecker. *Distortion or Development? Contending perspectives on the multinational corporation*. Cambridge, Mass.: MIT Press, 1978. (an attempt to identify testable hypotheses in dependency theories; uses Nigerian data to test these)
 - Peter Evans. *Dependent Development: The Alliance of Multinational, State, and Local Capital in Brazil*. Princeton: Princeton University Press, 1979.
 - J. Samuel Valenzuela and Arturo Valenzuela, "Modernization and Dependency: Alternative Perspectives in the Study of Latin American Underdevelopment," *Comparative Politics*, 10, 4 (July 1978): 535-552.

4. (30 Sep) Violence, Rebellion, and Revolution

- Robert Bates, *Prosperity and Violence*, New York: W.W. Norton, 2000.
- Ted Robert Gurr, "The Revolution-Social Change Nexus," *Comparative Politics*, 5 (April 1973), pp. 359-392.
- Theda Skocpol. *States and Social Revolutions*, Cambridge, Cambridge University Press, 1979: 3-42, 161-171, and chapters 5, 6, or 7..
- James DeNardo. *Power in Numbers*, Princeton: Princeton University Press, 1985, introduction and chapters 1-2, 5, and 9-11 (skim).
- James S. Coleman. "Collective Behavior" and "Revoking Authority" in *Foundations of Social Theory*, Cambridge, MA: Harvard University Press, 1990. pp. 197-240, 466-502.
- Gary King, Robert Keohane and Sidney Verba, *Designing Social Inquiry*. Princeton: Princeton University Press, pp. 129-132.
- Timur Kuran, "Why Revolutions are Better Understood than Predicted," in *Debating Revolutions*, Edited by Nikki Keddie. New York: New York University Press, 1995, pp. 27-35.
- Samuel Huntington. "The Clash of Civilization" *Foreign Affairs* 72, 3 (Summer 1993), pp. 22-28.

Additional Sources:

- Barrington Moore, Jr. *Social Origins of Dictatorship and Democracy*. Boston: Beacon Press, 1966 and Theda Skocpol, "A Critical Review of Barrington Moore's Social Origins," *Politics and Society*, 4 (Fall 1973), pp. 1-34. (Barrington Moore is a classic and appears regularly on prelims.)
- Crane Brinton. *The Anatomy of Revolution*. Englewood Cliffs, NJ: Prentice-Hall, 1952, excerpt in coursepack.
- Joel Migdal, *Peasants, Politics, and Revolution: Pressures Toward Social and Political Change in the Third World*. Princeton: Princeton University Press, 1974, pp. 226-256.
- Charles Tilly, "Revolutions and Collective Violence," in Fred Greenstein and Nelson Polsby, eds., *Handbook of Political Science*, 3 (1975): 483-547.
- Ted Robert Gurr, *Why Men Rebel*. Princeton, Princeton University Press, 1970.
- Seymour Martin Lipset. "Radicalism or Reformism: The Sources of Working Class Politics," *American Political Science Review*, 77, 1 (1983): 1-18.
- Douglas A Hibbs, Jr. *Mass Political Violence*. New York: Wiley, 1973.
- Kay Trimberger, *Revolution from Above: Military Bureaucrats in Development in Japan, Turkey, Egypt, and Peru*. New Brunswick, NJ: Transaction Books, 1978: 1-36.
- Eric Wolf. *Peasant Wars in the Twentieth Century*. New York: Harper and Row, 1969: pp. ix-xv and 277-302.
- James Scott, *The Moral Economy of the Peasant: Rebellion and Subsistence in Southeast Asia*. New Haven: Yale University Press, 1976, chapters 1-2 and 7.
- Samuel Popkin. *The Rational Peasant*. Berkeley: University of California Press, 1979, chapters 1-2 and 6. (part of the "Popkin-Scott Debate")
- Jorge Domínguez, *Insurrection or Loyalty: The Breakdown of the Spanish American Empire*. Cambridge, Mass: Harvard University Press, 1980.
- S. N. Eisenstadt, *Revolution and the Transformation of Societies*. New York: Free Press, 1978.
- Jack A. Goldstone, *Revolution and Rebellion in the Early Modern World*. Berkeley: University of California Press: 1991.

- Michael Schwartz. *Radical Protest and Social Structure*. New York: Academic Press, 1976.
- Donald Horowitz. *Ethnic Groups in Conflict*. Berkeley: University of California Press, 1985, chapters 2 and 4-6 (optional)

5. (7 Oct) Political Culture and Political Attitudes

Two classics

- Gabriel Almond and Sidney Verba. *The Civic Culture*, Princeton: Princeton University Press, 1963, especially chapters 1, 5-6, 13 (1, 6, 7, 15 in hardback edition).*
- Ronald Inglehart. *Culture Shift*. Princeton: Princeton University Press, 1990 (skim).

Studying Culture

- Lawrence Harrison and Samuel Huntington. *Culture Matters: How Values Shape Human Progress*. New York: Basic Books, 2000. Chapters 2 and 4.
- Jim Granato, Ronald Inglehart, David Leblang. "The Effect of Economic Development on Cultural Values." *American Journal of Political Science* 40 (1996), pp. 607-631.
- Jackman, Robert W. and Ross A Miller. "A Renaissance of Political Culture?" *American Journal of Political Science* 40 (1996), pp. 632-659.

Three alternative approaches (read at least two)

- Susan Pharr, *Losing Face* (Berkeley: University of California Press), excerpts in packet
- Samuel Huntington, *American Politics and the Promise of Disharmony*, excerpts in packet
- David Laitin, "The Cultural Identities of a European State," *Politics & Society*, 25 (1997), pp. 277-302.

Commentary

- David J. Elkins and Richard E.B. Simeon, "A Cause in Search of Its Effect, or What Does Political Culture Explain?" *Comparative Politics*, 11 (January 1979), pp. 127-146.

Michiganders

- Daniel Levine. "Popular Groups, Popular Culture, and Popular Religion." *Comparative Studies in Society and History*, 32, 4 (1990): 718-764.
- Daniel Levine, ed. *Constructing Culture and Power in Latin America*. Ann Arbor: University of Michigan Press, 1993.
- Roy Pierce. "Attitudinal Roots of Popular Protest: The French Upheaval of May 1968." *International Journal of Public Opinion Research*, 1, 3 (1989): 221-261.
- Robert Axelrod. "Laws of Life: How Standards of Behavior Evolve." *Sciences*, 27, 2 (1987): 44-51.
- Robert Axelrod, "The Dissemination of Culture: A Model with Local Convergence and Global Polarization," *Journal of Conflict Resolution*, 41 (April 1997): 203-26.

Some additional Sources:

- Edward Banfield. *The Moral Basis of a Backward Society*. Glencoe, Ill.: Free Press, 1958.
- Gabriel Almond and Sidney Verba, eds., *The Civic Culture Revisited*, 1980, especially chapters 1, 2, and 10.
- David J. Elkins and Richard E.B. Simeon, "A Cause in Search of Its Effect, or What Does Political Culture Explain?" *Comparative Politics*, 11 (January 1979): 127-146.
- Ann Swidler, "Culture in Action: Symbols and Strategies," *American Sociological Review*, 51 (April 1986): 273-286.
- Clifford Geertz. "Common Sense as a Cultural System," in *Local Knowledge*, New York: Basic Books, 1983.
- Lucian Pye with Mary Pye, *Asian Power and Politics: The Cultural Dimensions of Authority*. Cambridge, Mass.: Belknap Press, 1985. preface and chapters 1-2, 11-12.
- Laurence E. Harrison. *Underdevelopment is a State of Mind*. Lanham, MD: Harvard University and University Press of America, 1985.
- Stanley Hoffmann, *In Search of France*. Cambridge, Mass.: Harvard University Press, 1965, pp. 1-117, 235-304.
- Bradley Richardson, *The Political Culture of Japan*. Berkeley: University of California Press, 1974.
- Susan Pharr. *Losing Face*. Berkeley: University of California Press, 1983.
- Samuel Huntington. *American Politics and the Promise of Disharmony*. Cambridge: Harvard University Press, 1981.
- Max Weber. *The Protestant Ethic and the Spirit of Capitalism*. New York: Scribner's, 1976: 35-184 (this is the whole text minus others' introductions and comments)

- Clifford Geertz, "Ideology as a Cultural System," in Clifford Geertz, *The Interpretation of Cultures*. New York: Harper and Row, 1973.

6. (21 Oct) Introduction to Institutions

- James G. March and Johan P. Olsen, "Institutional Perspectives on Political Institutions." *Governance* 9, 3 (July 1996), pp. 247-64.
- Kenneth A. Shepsle, "Studying Institutions: Some Lessons from the Rational Choice Approach," *Journal of Theoretical Politics* 1, 2 (April 1989), pp. 131-147.
- Sven Steinmo, Kathleen Thelen, and Frank Longstreth, eds., *Structuring Politics: Historical Institutionalism in Comparative Analysis*. Cambridge: Cambridge University Press, 1992, ch. 1.
- Ordeshook, Peter C. "The Emerging Discipline of Political Economy," in James E. Alt and Kenneth A. Shepsle, eds., *Perspectives on Positive Political Economy*, 1990, pp. 9-30.
- Douglass North and Barry Weingast. "Constitutions and Commitment: The Evolution of Institutions Governing Public Choice in Seventeenth-Century England," *Journal of Economic History*, 49, 4 (1989), pp. 803-832.
- North, Douglass C. *Structure and Change in Economic History*, New York: Norton, 1981, chapters 1-6, 15, and any two of 7-14, pp. 1-68, 199-209, and variable.

7. (28 Oct) Participation and Collective Action

- Sidney Verba, Norman Nie and Jae-On Kim, *Participation and Political Equality: A Seven Nation Comparison* Cambridge: Cambridge University Press, 1978, chapters 1-7, 13, 14.*
- Albert Hirschman. *Exit, Voice, and Loyalty*. Cambridge: Harvard University Press, 1970, chapters 1-4, and appendices.*
- Mancur Olson. *The Rise and Decline of Nations*. New Haven: Yale University Press, 1982, chapters 1-3 (these chapters summarize Olson's earlier, *The Logic of Collective Action*), pp. 1-74.*
- Susanne Lohmann, "The Dynamics of Informational Cascades: The Monday Demonstrations in Leipzig, East Germany, 1989-91," *World Politics*, 47 (October 1994), excerpts
- Robert Putnam. *Making Democracy Work: Civic Traditions in Modern Italy*. Princeton: Princeton University Press, 1993, excerpts TBA.

Additional Sources

Participation

- Samuel P. Huntington and Joan M. Nelson. *No Easy Choice: Political Participation in Developing Countries*. Cambridge, Mass: Harvard University Press, 1976.
- Samuel Barnes, Max Kaase, et. al *Political Action: Mass Participation in Five Western Democracies*. Beverly Hills: Sage Publications, 1979.
- Joan Nelson "Political Participation," in Myron Weiner and Samuel P. Huntington, eds, *Understanding Political Development*, 1987, pp. 103-159.
- Gerald Marwell and Pamela Oliver, *The Critical Mass in Collective Action: A micro-social theory*. Cambridge: Cambridge University Press, 1993.
- Dennis Chong. *Collective Action and the Civil Rights Movement*. Chicago: University of Chicago Press, 1991.
- Elinor Ostrom. *Governing the Commons: The Evolution of Institutions for Collective Action*. Cambridge: Cambridge University Press, 1990.

Culture and Protest

- Susan Pharr. *Losing Face*. Berkeley: University of California Press, 1987.

Social Movements/Political Resources and Participation

- John McCarthy and Mayer Zald, "Resource Mobilization and Social Movements: A Partial Theory," *American Journal of Sociology* 82 (1977): 1212-41.

- J. Craig Jenkins, "Resource Mobilization Theory and the Study of Social Movements," *Annual Review of Sociology* 9 (1983): 527-553.
- Michael Lipsky, "Protest as a Political Resource," *American Political Science Review* 62 (1968): 1114-1158.
- Francis Fox Piven and Richard Cloward. *Poor People's Movements: Why They Succeed, How They Fail*. New York: Pantheon Books, 1977.
- Susan Stokes. *Cultures in Conflict: Social Movements and the State in Peru*. Berkeley: University of California Press, 1995.

Hidden Forms of Resistance

- Eric Hobsbawm. *Primitive Rebels*. New York: Norton, 1965.
- Charles Sabel and David Stark, "Planning, Politics, and Shop-Floor Power: Hidden Forms of Bargaining in Soviet-Imposed State Socialist Societies," *Politics and Society*, 11 (1982): 439-475.
- James Scott. *Weapons of the Weak*, New Haven: Yale University Press, 1985, chapters 1-2, 8, plus whatever interests you in 5, 6, or 7.

8. (4 Nov) Cleavage Structure, Interest Groups, and Interest Intermediation

- Robert Dahl, "Pluralism Revisited," *Comparative Politics*, 10: 191-203.
- Gallagher, Laver, Mair, *Representative Government in Modern Europe: Institutions, Parties, and Governments*, 3rd ed. New York: McGraw-Hill, 2001, chapters 9 and 14, pp. 234-70 and 398-422.
- Bashevkin, Sylvia, "Interest Groups and Social Movements," in LeDuc, Niemi, and Norris, eds., *Comparing Democracies: Elections and Voting in a Global Perspective*, Thousand Oaks, Calif. : Sage Publications 1996, pp. 134-159.
- Sidney Tarrow. *Power in Movement: Social Movements, Collective Action, and Politics*. Cambridge: Cambridge University Press, 1994, Pages as assigned.
- Ronald Rogowski. *Commerce and Coalitions: How Trade Affects Domestic Political Alignments*. Princeton: Princeton University Press, 1989, chapter one (chapter three optional).
- Seymour Martin Lipset and Stein Rokkan, eds., *Party Systems and Voter Alignments: Cross-National Perspectives*. New York: Free Press, 1967, pp. 1-64.

Michiganders:

- John Jackson. "Ideology, Interest Group Scores, and Legislative Votes." *American Journal of Political Science*, 36, 3 (1992): 805-823.

Some Additional Sources

- Albert Hirschman. *The Passions and the Interests*. Princeton: Princeton University Press, 1977.
- Lijphart, Arend, "Interest Groups: Pluralism versus Corporatism," *Patterns of Democracy: Government Forms and Performance in 36 Countries*, New Haven: Yale UP, 1999, pp. 171-84.
- Suzanne Berger, ed., *Organizing Interests in Western Europe*. Cambridge: Cambridge University Press, 1981 pp. 1-26 (Berger).
- Peter Katzenstein. *Small States in World Markets: Industrial Policy in Europe*. Ithaca, NY: Cornell University Press, 1985: chapters 1, 2, and 5.
- Peter Bachrach and Morton S. Baratz. "Two Faces of Power," *American Political Science Review*, 61 (1962): 947-952.
- Claus Offe and Helmut Wessenthal. "Two Logics of Collective Action," in Offe, *Contradictions of the Welfare State*. Cambridge: MIT Press, 1984.
- David Cameron. "Social Democracy, Corporatism, Labor Quiescence and the Representation of Economic Interest in Advanced Capitalist Society," in J. J. Goldthorpe, ed., *Order and Conflict in Contemporary Capitalism*. Oxford, 1984: 143-178.
- Phillippe Schmitter and Gerhard Lehmbruch, eds., *Trends Towards Corporatist Intermediation*. Beverly Hills: Sage Publications, 1979: 7-48 and 231-270.
- Gabriel Almond. "Corporatism, Pluralism, and Professional Memory," *World Politics* 35 (January 1983): 1-27.
- Ruth Berrins Collier and David Collier, "Inducements versus Constraints: Disaggregating Corporatism," *American Political Science Review*, 73 (1979): 967-986.

- Samuel Beer. *British Politics in the Collectivist Age*. New York: Knopf, 1965, especially the epilogue.
- Ruth Berins Collier and David Collier, *Shaping the Political Arena*, Princeton: Princeton University Press, 1991, overview and chapters 1-2 plus conclusion.
- Jeffrey Frieden. *Debt, Development and Democracy*. Princeton: Princeton University Press, pp. 15-41.
- Jerry Hough. *Soviet Union and Social Science Theory*. Cambridge, Mass: Harvard University Press, 1977: 199-248.
- William Odom, "A Dissenting View on the Group Approach to Soviet Politics," *World Politics* 28 (1976): 542-547.
- Robert Kravick. "Interest Groups in a 'Co-optive' Political System: The Case of Norway," in Martin O. Heisler, ed., *Politics in Europe*. New York: McKay, 1974, pp. 93-116.

9. (11 Nov) Elections and Electoral Systems

- Gallagher, Laver, Mair, *Representative Government in Modern Europe: Institutions, Parties, and Governments*, 3rd ed. New York: McGraw-Hill, 2001, chapter 11, pp. 300-38.
- André Blais and Louis Massicotte, "Electoral Systems," in LeDuc, Niemi, and Norris, eds., *Comparing Democracies: Elections and Voting in a Global Perspective*, pp. 49-81.
- Rein Taagepera and Matthew S. Shugart, *Seats and Votes: The Effects and Determinants of Electoral Systems*, New Haven: Yale University Press, 1989.
- Gary W. Cox. 1999. "Electoral Rules and Electoral Coordination." *Annual Review of Political Science*. 2:145-161.
- Gary W. Cox. *Making Votes Count: Strategic Coordination in the World's Electoral Systems*. Cambridge: Cambridge University Press, 1997: read chapter 11, pp. 203-221; skim entire, see *ARPS* for summary.

Some additional sources:

- Bingham Powell. *Contemporary Democracies*. Cambridge: Harvard University Press.
 - Arend Lijphart, *Electoral Systems and Party Systems*. Oxford: Oxford University Press, 1994.*
 - James Buchanan and Gordon Tulloch. *The Calculus of Consent*. Ann Arbor: University of Michigan Press, 1962.
 - Geoffrey Brennan and James Buchanan *The Reason of Rules*. New York: Cambridge University Press, 1984.
- presidentialism vs. parliamentarism*
- Arend Lijphart, ed., *Parliamentary vs. Presidential Government*. Oxford: Oxford University Press, 1992, introduction and chapters 14, 31.
 - Matthew Shugart and John Carey. *Presidents and Assemblies: Constitutional Design and Electoral Dynamics*. Cambridge: Cambridge University Press, 1992.
- constitutional engineering in divided societies*
- Arend Lijphart. *Democracy in Plural Societies: A Comparative Exploration*. New Haven: Yale University Press, 1977, chapters 1-2.
 - Kenneth McRae, ed. *Consociational Democracy: Political Accommodation in Segmented Societies* Toronto: McClelland and Stewart, 1974: . 2-27, 70-106, and 137-149.
 - Donald Horowitz. *A Democratic South Africa? Constitutional Engineering in a Divided Society*. Berkeley: University of California Press, 1991, pp. 1-9, 163-282.
 - Mahmood Mamdani. *Citizen and Subject: Contemporary Africa and the Legacy of Late Colonialism*. Princeton: Princeton University Press, 1996, chapters 1, 2, 4.
- constitutionalism*
- Douglas Greenberg, et. al. *Constitutionalism and Democracy: Transitions in the Contemporary World*. New York: Oxford University Press, 1993.

10. (18 Nov) Parties and Party Systems

- Peter Mair, ed., *The West European Party System*. New York: Oxford University Press, 1990, chapters 3, 5, 11-16, 24 (excerpts from classic articles)*
- Gallagher, Laver, Mair, *Representative Government in Modern Europe: Institutions, Parties, and Governments*, 3rd

- ed. New York: McGraw-Hill, 2001, chapter 7,8,10, pp. 171-233, 271-99.
- Carey, John M. and Matthew Soberg Shugart. “Incentives to Cultivate a Personal Vote: a Rank Ordering of Electoral Formulas.” *Electoral Studies*. 14, No. 14 (1995), pp. 417-39.
 - Mair, Peter. “Party Systems and Structures of Competition,” in LeDuc, Niemi, and Norris, eds., *Comparing Democracies: Elections and Voting in a Global Perspective*, pp. 83-106.
 - Kitschelt, Herbert. *The Transformation of European Social Democracy*. Cambridge: Cambridge University Press, 1994, chapters 1-2, pp. 8-66.
 - Anthony Downs. *An Economic Theory of Democracy*. New York: Harper and Row, 1957, chapters 7, 8.

Michiganders

- Kent Jennings with Warren Miller. *Parties in Transition: A Longitudinal Study of Party Leaders and Followers*. New York: Russell Sage, 1986.
- Pradeep Chhibber and John Petrocik. “The Puzzle of Indian Politics: Social Cleavages and the Indian Party System,” *British Journal of Political Science*, 19 (1989).
- Samuel Eldersveld, *Party Conflict and Community Development: Postwar Politics in Ann Arbor*. Ann Arbor: University of Michigan Press, 1995.

Some additional Sources

- Gary W. Cox. *Making Votes Count: Strategic Coordination in the World’s Electoral Systems*. Cambridge: Cambridge University Press, 1997, chapters as assigned.
- Maurice Duverger. *Political Parties*. Wiley, 1954.
- Seymour Martin Lipset and Stein Rokkan, eds., *Party Systems and Voter Alignments*. The Free Press, 1967.
- Joseph LaPalombara and Myron Weiner, eds., *Political Parties and Political Development*. Princeton: Princeton University Press, 1966.
- Robert Dahl, ed. *Political Oppositions in Western Democracies*. New Haven: Yale University Press, 1966, especially the chapters by Kirchheimer and Rokkan.
- Russell J. Dalton, Scott Flanagan, and Paul Beck, eds. *Electoral Change: Realignment and Dealignment in Advanced Industrial Societies*. Princeton University Press, 1984.
- Herbert P. Kitschelt. “Left Libertarian Parties: Explaining Innovation in Competitive Party Systems,” *World Politics*, 40, 2 (1988): 194-234.
- G. Bingham Powell, Jr. *Contemporary Democracies: Participation, Stability and Violence*, Harvard University Press, 1982.
- Ivor Crewe, ed. *Electoral Change in Western Democracies*. New York: St. Martin’s Press, 1985.
- Giovanni Sartori. *Parties and Party Systems*. Cambridge: Cambridge University Press, 1976.
- Robert Michels. *Political Parties: A Sociological Study of the Oligarchic Tendencies of Modern Democracy*. New York: Dover, 1959.
- Mattei Dogan and Richard Rose, eds. *European Politics: A Reader*. Boston: Little, Brown, 1971 (various chapters on parties)
- Jost Halfman, “Social Change and Political Mobilization in West Germany,” in Peter J. Katzenstein, ed. *Industry and Politics in West Germany*. Ithaca: Cornell University Press, 1989, chapter 2.
- Kay Lawson and Peter Merkl, eds. *When Parties Fail*. Princeton: Princeton University Press, 1988, chapter 2.
- Samuel P. Huntington and Clement Henry Moore, eds., *Authoritarian Politics in Modern Society: The Dynamics of Established One-Party Systems*, 1970.
- Richard Lowenthal. “The Ruling Party in a Mature Society,” in Mark G. Field, ed. *Social Consequences of Modernization in Communist Societies*, 1976, pp. 81-88.
- Herbert Kitschelt. “The Formation of Party Systems in East Central Europe.” *Politics and Society*, 20, 1 (1992): 7-50.
- Arend Lijphart. *Electoral Systems and Party Systems*. Oxford: Oxford University Press, 1994.

11. (25 Nov) Constitutions: Presidential & Parliamentary, Federal & Unitary States

Background:

- Gallagher, Laver, Mair, *Representative Government in Modern Europe: Institutions, Parties, and Governments*, 3rd ed. New York: McGraw-Hill, 2001, chapters 2-4, 6, pp. 14-95, 135-170.

Modern:

- Matthew Shugart & John Carey. *Presidents and Assemblies*, Cambridge: Cambridge University Press, 1992, chapters 1-2, pp.1-27.
- Michael Laver, "Models of Government Formation," *Annual Review of Political Science*, 1998, v. 1, pp. 1-25.
- Scott Mainwaring and Matthew Shugart, eds., *Presidentialism and Democracy in Latin America*, Cambridge University Press, 1997, chapters 1, 11, pp. 12-54, 394-439.
- Gallagher, Laver, Mair, *Representative Government in Modern Europe: Institutions, Parties, and Governments*, 3rd ed. New York: McGraw-Hill, 2001, chapter 12, pp. 339-74.
- G. Bingham Powell, Jr., *Elections as Instruments of Democracy: Majoritarian and Proportional Visions*, New Haven: Yale University Press, 2000, chapters 1-2, 10, pp. 3-43, 233-254.
- Arend Lijphart, *Patterns of Democracy*, New Haven: Yale University Press, 1999, chapters 10, 12, 14.

Classic

- Alexis de Tocqueville, *Democracy in America*. author's introduction, vol. 1 part 1 chapters 5-6 and last 3 sections of 8; vol. 1 part 2 chapter 6 sections 3-4; vol. 2 part 2 chapters 1-13; vol. 2 part 3 chapters 1-4, 13-14, 19.*

Some Additional Sources:

- G. Bingham Powell, Jr., "The Constitutional Setting" from *Contemporary Democracies*, Cambridge: Harvard University Press, 1982.
- Michael Laver and Kenneth Shepsle, *Making and Breaking Governments* (on Parliamentary government formation and dissolution)

12. (2 Dec) Policymaking: Approaches

- George Tsebelis, "Decision Making in Political Systems: Veto Players in Presidentialism, Parliamentarism, Multicameralism and Multipartyism," *British Journal of Political Science* 25, 3 (July 1995), 289-325.
- Graham Allison, "Conceptual Models and the Cuban Missile Crisis," *American Political Science Review*, 63, 3 (1969): 689-718.
- Theodore Lowi. "American Business, Public Policy, Case Studies, and Political Theory." *World Politics*, 16 (1964): 677-715.
- Peter Hall. *Governing the Economy: The Politics of State Intervention in Britain and France*. New York: Oxford University Press, 1986, chapters 1, 9-10. pp. 1-22, 227-83.
- Peter Hall. "The Politics of Keynesian Ideas," in *The Political Power of Economic Ideas: Keynesianism Across Nations*. Princeton: Princeton University Press, 1989, Intro & Conclusion pp. 1-XXX, 361-391.
- Albert Hirschman. *Journeys Toward Progress: Studies of Economic Policy Making in Latin America*. New York: Twentieth Century Fund, 1963: chapters 4 and 5.
- Robert Franzese, *Macroeconomic Policies of Developed Democracies*, chapter 1, pp. 1-61.
- Robert Franzese, "Electoral and Partisan Cycles in Economic Policies and Outcomes," *Annual Reviews of Political Science* 2002: Vol. 5: 369-421.

Michiganders:

- John Campbell. *How Policies Change: The Japanese Government and the Aging Society*. Princeton: Princeton University Press, 1992, chapters 1-2 and 11.
- Jack Walker. "The Diffusion of Innovations among the American States," *American Political Science Review* 63 (1969): 880-899.

Some additional Sources:

- Hugh Heclo. "Review Article: Policy Analysis," *British Journal of Political Science*, 2 (1972): 84-108.
- Thomas Dye. "Politics Versus Economics: The Development of the Literature on Policy Determination," *Policy*

Studies Journal, 7 (1979): 652-662.

- B. Guy Peters, et. al. "Types of Democratic Systems and Types of Public Policy," *Comparative Politics*, 9 (1977): 327-255.
 - Anthony King. "Ideas, Institutions, and the Policies of Governments: A Comparative Analysis." *British Journal of Political Science*, 3 (1973) (in 2 parts)
- macroeconomic policy in democracies
- Douglas Hibbs, Jr. "Political Parties and Macroeconomic Policy," *American Political Science Review*, 71 (1977): 1467-1487, together with James Payne, "Inflation, Unemployment and Left-wing Political Parties," *American Political Science Review*, 73 (1979), 185-190 and Hibbs, "Reply to James Payne."
 - David Cameron. "The Expansion of the Public Economy: A Comparative Analysis," *American Political Science Review*, 72 (1978): 1243-1261.
 - James Alt and Alec Crystal. *Political Economics*. Berkeley: University of California Press, 1983.
 - Alberto Alesina. "Politics and Business Cycles in Industrial Democracies." *Economic Policy*, 4: 55-98 (1989).
- the welfare state
- Theda Skocpol. *Protecting Soldiers and Mothers: The Political Origins of Social Policy in the United States*.
 - Theda Skocpol. *State, Social Knowledge, and the Origins of Modern Social Policies*. Princeton: Princeton University Press, 1996.
 - Paul Pierson. *Dismantling the Welfare State? Reagan, Thatcher, and the Politics of Recrutment*. Cambridge: Cambridge University Press, 1994.
 - Alexander Hicks. *Social Democracy and Welfare Capitalism: A Century of Income Security Policies*. Ithaca: Cornell University Press, 1999.

13. (9 Dec) States, Markets, and Prosperity

- Alexander Gerschenkron, "Economic Backwardness in Historical Perspective," in Alexander Gerschenkron, *Economic Backwardness in Historical Perspective*
- Robert Bates. *Markets and States in Tropical Africa*. Berkeley: University of California Press, 1981.*
- Chalmers Johnson. From *MITI and the Japanese Miracle*. Short excerpt.
- Thomas Bierstecker. *Distortion or Development?* Cambridge: MIT Press, 1978 (excerpts).
- Robert Barro. *Determinants of Economic Growth*. Cambridge: The MIT Press, 1997.
- Carles Boix. *Democracy and Redistribution*, Forthcoming, Cambridge University Press. chapters 1-2.
- Douglass C. North, R. P. Thomas. *Rise of the Western World: A New Economic History*. Cambridge University Press, 1972. Chapters 1 and 2, pp. 1-18.

Michiganders

- John Jackson, Jacek Klich, and Krystyna Poznanska, "Democratic Institutions and Economic Reform: The Polish Case," paper presented to the 1996 Annual Meeting of the American Political Science Association.
- Pradeep Chhibber. "Political Parties, Electoral Competition, Government Expenditure, and Economic Reform in India," *Journal of Development Studies*, 32 (1995): 75-96.
- Kenneth Lieberthal and David Lampton, eds., *Bureaucracy, Politics, and Decision Making in Post-Mao China*. Berkeley: University of California Press, 1992.
- William Zimmerman, "Democracy, Markets, and Russian Foreign Policy," *Post-Soviet Affairs*, 1994: 1-24.

Some additional sources

- Charles Lindblom. *Politics and Markets*. New York: Basic Books, 1977, 3-89, 161-200. (Good introduction to the strengths and weakness of markets v. administration.)
- Hernando de Soto, *The Mystery of Capital*. New York: Basic, 2000.

Dependency Theorists (Underdevelopment Theorists)

- Fernando Henrique Cardoso and Enzo Faletto, *Dependency and Development in Latin America*, University of California Press, 1979, pp. viii-xxv, 177-216.
- Robert Packenham. *The Dependency Movement: Scholarship and Politics in Development Studies*. Cambridge, Mass.: Harvard University Press, 1992.
- John Gaventa. *Power and Powerlessness: Rebellion and Quiescence in an Appalachian Valley*. Urbana: University of Illinois

Press, 1980.

- Peter Evans. *Dependent Development: The Alliance of Multinational, State, and Local Capital in Brazil*. Princeton: Princeton University Press, 1979.
- J. Samuel Valenzuela and Arturo Valenzuela, “Modernization and Dependency: Alternative Perspectives in the Study of Latin American Underdevelopment,” *Comparative Politics*, 10, 4 (July 1978): 535-552.

Neo-Classical and New Institutional Approaches

- Margaret Levi, “The Theory of Predatory Rule,” in *Of Rule and Revenue*. Berkeley: University of California Press, 1988.
- Mark Granovetter and Richard Swedberg, eds., *The Sociology of Economic Life*. Westview Press, 1992. (an excellent collection of essays from different disciplinary perspective)
- Yoram Barzel. *Economic Analysis of Property Rights*. Cambridge: Cambridge University Press, 1989.

The East Asia Debate

- Chalmers Johnson. *MITI and the Japanese Miracle: The Growth of Industrial Policy, 1925-1975*. Stanford: Stanford University Press, 1982.
- Stephen Haggard. *Pathways from the Periphery: The Politics of Growth in the Newly Industrializing Countries*. Ithaca, NY: Cornell University Press, 1990: chapters 1, 2, conclusion
- Robert Wade. *Governing the Market: Economic Theory and the Role of Government in East Asian Industrialization*, chapters 10-11.
- Hilton L. Root. *Small Countries, Big Lessons: Governance and the Rise of East Asia*. New York: Oxford University Press, 1996, introduction and chapters 1, 9, and 10.

IPE Approaches

- D. Michael Shafer. *Winners and Losers: How Sectors Shape the Development Prospects of States*. Ithaca, NY: Cornell University Press, 1994: chapters 1, 2, 7.
- Sylvia Maxfield. *Governing Capital: International Finance and Mexican Politics*. Ithaca, NY: Cornell University Press, 1990
- Gosta Esping-Andersen. *Politics Against Markets: The Social Democratic Road to Power*. Princeton: Princeton University Press, 1985.
- Cathie Martin. *Shifting the Burden: The Struggle over Growth and Corporate Taxation*. Chicago: Chicago University Press, 1988.
- Sven Steinmo. *Taxation and Democracy: Swedish, British, and American Approaches to Financing the Modern State*. New Haven: Yale University Press, 1993.
- Paul Krugman. *Geography and Trade*. Cambridge: MIT Press, 1991 (an economist to whom political scientists should listen; there is a subsequent book on geography, trade, and development)

More about the Proseminar in Comparative Politics

The close predecessor to this syllabus emerged from Jennifer Widner’s review of related courses at comparable universities; it also reflects some important updates she added and her own thinking about what “basic training” in Comparative Politics entails. The current instructors have modified it further, with still more updates and their largely concurring but not identical views on the core of Comparative Politics. The main objective remains to introduce key questions and classics. The focus remains on the theoretical and empirical “canon” rather than the most current articles and definitely not just some global survey of political facts.

Reading

The reading load for this course is necessarily heavy. In order to provide an introduction to the range of approaches offered to important topics, and to permit interesting comparisons and contrasts, the requirements include excerpts from many works. Indeed, the reading will almost certainly prove overwhelming at times. We have some suggestions about how to deal with this fact of (for now) graduate training (but you will soon discover, it only gets worse from here).

First: *Skimming* is an important professional skill. (Two corollaries: (1) well-written abstracts are extremely useful; treasure them! (2) never write another paper or book-chapter without an abstract, preferably a good one!)

Second: Read and skim purposively or strategically, to identify the following:

- The main question the author wants to answer
- The definition of the “dependent variable,” or what the author wants to explain
- The main “independent variables” (causes, explanatory factors) the author emphasizes
- The “story” that knits the independent variables together into a causal explanation
- The author’s research design: the main types of evidence the author uses to test his or her ideas and the way the evidence was obtained
- The theoretical and/or empirical “conclusion”

Note that this is a “thinking” course, not a “fact” course. That also tends to describe the field. You don’t have to memorize the histories or empirical details in what you read here, and you will find that the profession likewise, and correctly, rewards theoretically and empirically exciting projects (i.e., ideas, arguments, and evidence) much more than empirical-historical detail *per se*.

Third: You will *NOT* be able to keep pace alone, even while reading and skimming strategically (another fact of the profession rather than artifact of the course), so you should (i) prioritize among the assigned readings very carefully, *given your interests and needs*, and *with our guidance*, and (ii) form (a) weekly study group(s) to outline and discuss the readings with and for each other.

How to Write Papers for This Course

At each session you will receive a handout profiling some of the key issues that arise in the next week’s reading. You may use these as guides for writing papers. A paper may focus on a general question or cluster of questions from the handout or on a question of your own devising.

The papers should be “literature reviews with a *spin*.” That is, they present a sketch of the major theories (explanations) and the results of your own assessment, focused on a sub-question. In some weeks they may focus on research design. You will use the “lit review with a spin” genre extensively throughout your social-scientific career. It may not sound so interesting or challenging at first, but they are more rewarding and less easy than you think. Some of the best examples appear in *World Politics* or, more recently, *Annual Reviews*, but the description also characterizes core sections of the introduction chapter of most good books. You may want to look at some of the review essays there as well as sample past essays we will make available.

For your papers in this course, you should first distill the theory, method, and conclusion in each selection, commenting as appropriate on the research design and evidence. In these tasks, you want to delineate clearly the independent and dependent variables (causes and effects) and consider critically their conceptualization and operationalization. Are the concepts clear? What is the theory, argument, or story that links the variables? If the author tries to test the theory, what does s/he do? In your view, was the choice of design acceptable, or could you recommend a better way to test the theory? Were the measures well chosen to reflect and calibrate concepts?

Recall that this is a literature review *with a spin*, however. You must do more than array two or more selections from the week and assess their relative adequacy; you must do more even than offer and justify such an assessment. You must offer some kind of synthesis and/or extension; i.e., you must offer some argument(s) of your own. These could be alternative arguments—this literature or these works have the relationships between independent and dependent variables wrong because [insert your argument here...]—but they need not be; they could be syntheses—this or these selections argue X while that or those argue Z, [insert your argument here] shows how both are subsumed by a more-general argument, or [insert your argument here] shows how one actually gets relationships Z when the arguments are combined; they could also be extensions—A (and B) argue Z, but if one follows that argument further conclusions follow [insert your argument(s) here]. In this “critical review with extension” endeavor, gut reactions [A can’t be right!] often provide good leads, but you must translate them into real reasons [arguments]. Mature scholarship often asks not whether some argument is right or wrong but under what kinds of circumstances a theory is useful. Comparative politics largely seeks to understand just such context-conditionality!

As with your reading, do not dwell on empirical details in your papers. Papers should not be

discussions of “who got the history right.” Specialized courses and cognate courses in other disciplines are the better forum for mastering “the data.”

Aim for a clear, concise, professional tone. Too casual or light-hearted style is not well-accepted in the field. Although the *occasional* clever comment is OK, generally casualness doesn’t work. Better to show that you can clarify an argument, even if the original author left it murky!

Writing quality counts! Clear, careful writing is vital professional skill. It is essential for effective communication, and it reduces the time and effort a reader must spend to “get your message.” You should check syntax, grammar, word choice, spelling, and neatness in your papers. If your English skills are insufficient, we will expect, at minimum, strong progress on that dimension over the semester. The Sweetland Writing Center can and will help; use it if you need it. (If you need it, you will know as you write and we will know as we read your papers, so you can expect some guidance from the course and from us on your needs.) This program aims to train world-class political scientists; from everything our foreign-language-speaking colleagues in the profession tell us, that implies preferably world-class but minimally proficient writers in the English language.

You may pick the weeks to do your papers, subject to the constraint that at least one is due by the end of the first 5 weeks, and then at least one more by then end of each of following 4-week periods. That is, minimum one paper by Week 5, minimum two papers by Week 9, and all three by Week 13. You have control over which papers you choose to write, and that flexibility should be sufficient to alleviate scheduling burdens, but you can seek waiver of the policy if you have a “good” reason, cleared with us at least one week in advance of a deadline.

The Course and Preparation for the Field Examination

Those who cover this material well (effective, strategic reading, participate in study group and class) will find the course useful preparation for comprehensive or general examinations. The prelim exam reading list builds on the 641 syllabus.

Grading

Papers count 25% each; participation counts 25%. Participation is mandatory and may not be avoided on personal (e.g., shyness), social, topical, or any other grounds. Fair warning: we will call on you if you are having difficulty participating on your own, so much better to choose your own opportunities.

We will try to return papers, with comments, within a week, but administrative and other emergencies occasionally obstruct that goal. We grant you full rights to begin to gripe and to pester—in fact we encourage you to do so—after 10 days. Students often find that they do less well on the first paper than they anticipate; note that this does not preclude an A grade in the course. We do allow for qualitative adjustments to grades based on improvement, but note that this does require improvement (i.e., reading and profiting from the comments on earlier drafts).