Word Length Variations in Chinese¹ San Duanmu, MIT Bingfu Lu, University of Connecticut December, 1990 (draft, comments welcome)

1. Introduction Many Chinese words² have two synonymous forms, one bisyllabic and one monosyllabic. For example,

(1)	BISYLLABIC	MONOSYLLABIC	GLOSS
	deng-deng	deng	and so on
	di-fang	di	place
	ji-shu	ji	skill
	gong-ren	gong	worker
	gong-chang	chang	factory
	shang-dian	dian	shop
	yi-fu	yi	clothes
	bu-zhuo	bu/zhuo	to catch
	xue-xi	xue	to study

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. . .

The monosyllabic form comes from deleting either the first or the second syllable from the bisyllabic form.³ For example, in <u>ji-shu</u>, the second syllable may be deleted. In <u>gong-chang</u>, the first syllable may be deleted. In <u>bu-zhou</u>, either syllable may be deleted.⁴

The problem this paper addresses is this. If a construction is made of several words, with each word varying between monosyllabic and bisyllabic, there will be many synonymous expressions (i.e. 2^n expressions, where n is the number of words in the construction); however, not all possible expressions are good. For example, in a two-word construction, there are four expressions, of which only three are good, as the following examples show

(2)	a.	xue-xi	hui-hua	
	ь.	*xue-xi	hua	
	c.	xue	hui-hua	
	d.	xue	hua	
		study	painting	'to study painting'

1. We would like to thank ...

2. In this paper we will not try to define 'word' as against 'morpheme'.

³. We do not want to assert that the bisyllabic form is the original one, nor vice versa. In fact, both cases seem to exist (cf. Lü 1963). As we will mention later, some bisyllabic forms come from adding a monosyllable to another monosyllable.

⁴. The question of which syllable to delete is an independent issue, to which we will have more to say later in the paper.

(3) a. ji-shu gong-ren
b. ji-shu gong
c. *ji gong-ren
d. ji gong
skill worker

'skilled worker'

In each of (2) and (3), the four expressions are synonymous. However, (2b) and (3c) are bad. (2) and (3) raise two questions. First, why should word length matter for the well-formedness of an expression? Second, if word length matters, what is the preferred word length pattern? In particular, why is [2+1] (bisyllabic + monosyllabic) bad in (2b) but good in (3b), and why is [1+2] (monosyllabic + bisyllabic) good in (2c) but bad in (3c)?

In his pioneering paper, Lü (1963:423) remarks that [2+1] is disfavored in [V N] structures, such as (2), and [1+2] is disfavored in [A N] (or [Modifier Head]) structures, such as (3). However, Lü's remark only states the problem. Although there have been some recent attempts (e.g. Lu 1989), the problem has not been satisfactorily solved.

This paper suggests that cases like (2) and (3) are explicable in terms of stress. Specifically, we make the following proposal

- (4) a. For words with changing lengths, stressed ones cannot be shorter than unstressed ones.
 - b. In a syntactic head-nonhead relation, the syntactic nonhead is assigned greater stress than the syntactic head.

We argue that (4a) agrees with the general tendency that lack of stress may lead to syllable deletion, and that (4b) is related to Nonhead Stress (NHS), which has independent motivations (Duanmu 1990). We also show that in addition to [A N] and [V N], our analysis accounts for many other two-word structures.⁵

2. Previous Analyses The pattern in (2) has been discussed a number of recent papers (e.g. Wu 1986, 1987; Li 1987; Lu 1989, Li 1990). Similar examples abound. Below are further cass (cf. Wu 1986, Lu 1989)

(5)	a deng- b *deng- c deng d deng so-or	deng di-fan deng di di-fan di n place	eg I	í and	other	places
(6)	a. bian-xie b. *bian-xie c. xie d. xie write	xi-jü xi xi-jü xi play	'to write	plays'		

⁵. The present discussion will largely be restricted to two-word phrases. We intend to discuss three-word phrases in a separate paper.

- (7) a. bu-zhuo tu-zi
 - b. *bu-zhuo tu
 - c. bu tu-zi (or <u>zhuo tu-zi</u>)
 - d. bu tu (or <u>zuo tu</u>)
 - eatch rabbit 'to catch rabbits'

Like (2b), the bad expressions (5b), (6b) and (7b) are all [2+1], while [2+2], [1+2] and [1+1] are all good.⁶ To exclude [2+1], Wu (1986) and Lu (1989) propose the following constraint

(8) The Rhythmic Constraint (Wu 1986, Lu 1989): Chinese phonology prefers [1+2] to [2+1] in two-word constructions.

There is, however, no explanation for the rhythmic constraint, nor is there

⁶. There are some apparent exceptions to such a generalization. Consider

(i) a. mai-zang bei-tongb. *mai bei-tong

bury

sorrow 'to bury (one's) sorrow'.

Like (2), (i) is [V N], and we expect [1+2] to be good. Yet (i.b) is bad, contrary to our expectation. The badness of (i.b), we suggest, are due to independent reasons. First, <u>bei-tong</u> and <u>mai-zang</u> are formal words, while <u>mai</u> is informal. Stylistically, it is inappropriate to mix words of different formality. Second, <u>mai-zang</u> and <u>mai</u> are not synonymous. In particular, <u>mai</u> refers to a concrete physical action, while <u>mai-zang</u> usually refers to an abstract action. The following example is further support (noted in Li 1990:251)

(ii) a. mai-zang jiu she-hui
 b. *mai jiu she-hui
 bury old society 'to bury the old society'

Here the object is abstract, and so <u>mai-zang</u> must be used. In contrast, when the object is concrete, we see the reverse situation. Consider

(iii)a.	*mai-zang	guan-dao
	mai	guan-dao
	bury	pipe-line

.. .

Here <u>guan-dao</u> is a concrete noun, so we must use <u>mai</u> and not <u>mai-zang</u>. An interesting case is seen in the following example (from Li 1990:251)

(1V) a.	mai-zang	qin-ren					
b.	mai	gin-ren					
	bury	dear-kin	íto	bury	one's	dear	kin

The fact that both <u>mai</u> and <u>mai-zang</u> can be used suggests that <u>gin-ren</u> must be both concrete and abstract.

independent motivation. In addition, (8) has no cross-linguistic generality. Thus, (8) at best is a stipulation.

Empirically, the rhythmic constraint (8) fails to account for [A N] structures like (3), or [Modifier Head] structures in general, such as (9) and (10)

- (3) a. ji-shu gong-ren
 - b. ji-shu gong
 - c. *ji gong-ren
 - d. ji gong
 - skill worker

'skilled worker'

(9) a. zhuo-zi shang-mian
 b. zhuo-zi shang
 c. *zhuo shang-mian
 d. zhuo shang
 table up-side

'top of the table'

(10) a. yi-fu shang-dian
 b. yi-fu dian
 c. *yi shang-dian
 d. yi dian
 clothing store

'clothing store'

Here, (3b) is [2+1], and so is predicted to be bad by (8), yet it is in fact good. On the other hand, (3c) is [1+2] and so is predicted to be good by (8), yet it is in fact bad. The same is true for (9) and (10). In other words, although the rhythmic constraint (8) accounts for cases like (2), (5), (6) and (7), it goes directly against cases like (3), (9) and (10).

There is, however, a syntactic difference between cases like (2), (5), (6) and (7) on the one hand, and cases like (3), (9) and (10) on the other. In the former, the syntactic relation is [head nonhead], while in the latter the syntactic relation is [nonhead head]. The question is, how does syntactic relations affect the phonologial well-formedness of an expression? Lu (1989) suggests the following

(11) The Closeness Condition (Lu 1989):

- a. The syntactic relation [nonhead head] is 'close' and the syntactic relation [head nonhead] is 'loose'.
- b. The rhythmic constraint (8) applies to 'loose' structures (i.e. [head nonhead]), and not to 'close' structures (i.e. [nonhead head]).

Lu argues that cross-linguisticslly [nonhead head] structures are more common that [head nonhead] structures, therefore the constituents in the former must be 'closer' to each other than those in the latter. However, even if [nonhead head] is indeed more common, it is not clear why constituents should be closer to each other in a common structure than in a less common one. Indeed, it is not clear what 'closeness' means in formal terms. Moreover, there is no reason why the rhythmic constraint (8) should apply to 'close' structures only, rather than to 'loose' structures only.

Lu's analysis has a yet more serious problem. If, as (11) states, the rhythmic constraint does not apply to [nonhead head], then all four expressions in (3) should be good. There is no reason, therefore, why (3c) should be bad. Similarly, one cannot explain why (9c) and (10c) are bad.

It is clear that both word lengths and syntactic relations affect the wellformedness of an expression. Although previous analyses have noted both factors, they have not succeeded in determining how each factor contributes to the well-formedness of an expression.

3. The Present Analysis Before we present our analysis, let us look at further data. Apart from words like those in (1), which have changing lengths, many Chinese words have just a fixed length, either monosyllabic or bisyllabic. For example

(12) a.	Monosyllabic		ь.	Bisyllabic	
	nan	'male'		yan−jiu	íto studyí
	gui	'ghost'		si-ji	'driver'
	xin	'new'		sha-fa	ísofaí
	ta	'it/he/her'		xi-huan	'to like'

Since these words do not vary in length, in a two-word construction there is just one expression; there are no synonymous alternatives. For example

(13)	a.	nan	si-ji	'male driver'	
	b.	xin	sha-fa	'new sofa'	

(14)	a.	yan-jiu	gui	'to study ghosts'
	b.	xi-huan	ta	'to like her'

Both (13) and (14) are good. However, (13) and (14) present a problem for Lu's rhythmic constraint (8). To see it, consider the following

(3c) (13)	a. b.	*ji nan xin [nonhead	gong-ren si-ji sha-fa head]	´skilled worker´ ´male driver´ ´new sofa´
(2b) (14)	a. b.	*xue-xi yan-jiu xi-huan [head	hua gui ta nonhead]	'to study painting' 'to study ghosts' 'to like her'

Both (3c) and (13a,b) are [1+2] and [nonhead head], yet (3c) is bad but (13a,b) are good. Similarly, both (2b) and (14a,b) are [2+1] and [head nonhead], yet (2c) is bad but (14a,b) are good. In other words, constructions that are made of words with fixed lengths, such as (13) and (14), are free from the rhythmic constraint (8). Why should this be the case? In Lu's (1989) analysis, there is no explanation. In particular, (14a,b) are [head nonhead], and by (11) they are 'loose' structures, so they are subject to the rhythmic constraint (8), which rules out [2+1] and hence deems (14a,b) bad. The goodness of (14a,b) shows that

Lu's predictions are wrong. In other words, in Lu's analysis, there is no explanation why (8) applies only to words of changing lengths, and has no effect on words of fixed lengths.

We will now present our analysis, which will account for both words with changing lengths, and words with fixed lengths. We propose the following

- (15) a. If a word has a bisyllabic form and a monosyllabic form, then when sufficiently stressed,⁷ the bisyllabic form must be used.⁸
 - b. In a syntactic head-nonhead relation, the syntactic nonhead is assigned greater stress than the syntactic head.

We may view (15a) as follows. We assume that words like those in (1) are underlyingly bisyllabic, which, when unstressed, may be shortend to monosyllabic.⁹

⁷. We are using 'stress' as an abstract notion. It is represented in the system of Halle & Vergnaud by an asterisk, which is assigned to the head of a metrical constituent to indicate its prominence. This prominence of the metrical head may be, and often is, phonetically realized as stress (e.g. longer duration and/or greater acoustic energy), as is the case in Chengdu and Shanghai. In Mandarin, however, the major manifestation of the metrical head is the longer word length.

⁸. Alternatively, we may say that bisyllabic words are 'heavy' and monosyllabic words are 'light', and that the degree of stress must match, with the intrinsic heaviness of words, i.e. stressed words must be heavy and unstressed ones be light. Thanks to Moira Yip for making this suggestion.

⁹. It seems, however, that many words like those in (1) are originally monosyllabic, and later become bisyllabic under sufficient stress. This view agrees with two facts. First, it is commonly held that historical Chinese had more monosyllabic words than modern Chinese. Second, the bisyllabic form is usually made of two monosyllabic morphemes of related meanings, such as in (i), or is made by affixng an almost meaningless syllable (such as prefixing <u>lao</u> 'old' or suffixing <u>zi</u> 'son') to a monosyllabic morpheme, such as in (ii) (cf. Lü 1963)

(i)	8.	Bisyllabic gong-chang work-factory	Monosyllabic chang	'factory'
	b.	ji-shu skill-technique	ji	ískillí
	e.	bu-zhuo catch-grasp	zhuo/bu	'to catch'
	d.	xue-xi study-practice	xue	(to) study
(ii)		Bisyllabic	Monosyllabic	

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This is a natural assumption, since it is generally true that lack of stress may lead to syllable shortening or deletion. For example, in English we find

(16) a. have --> [v]
 b. and --> [n]
 c. police --> [pli:s]

In (16a), [hev] becomes [v], dropping [h] and [e]. In (16b), there is a deletion of [e] and [d]. In (16c), the vowel is deleted. As a consequence, (16a,c) lose a syllable, although [n] in (16b) may still retain its syllabicity.^{10'11}

Let us now turn to (15b). Duanmu (1990) argues that in some Chinese languages, there is a stress assignment by which, in a syntactic relation of [head nonhead] or [nonhead head], the nonhead gets greater stress. Duanmu calls this stress assignment the 'Nonhead Stress' (NHS), and is formalized as follows

- - b. In a syntactic [head nonhead] or [nonhead head] structure, stress the syntactic nonhead.

The NHS is an different stress mechnism from those proposed in Halle & Vergnaud (1987). According to Halle & Vergnaud, given a phonological domain, the stress is either assigned to the leftmost element (e.g. syllable or mora), or to the

a.	lao-shu old mouse	shu	'nouse'
b.	lao-hu old tiger	tu	'tiger'
c.	tao-zi peach son	tao	'peach'
d.	fang-zi house son	fang	`house`

For our purpose, it suffices to assume that the bisyllabic form is associated with greater stress than the monosyllabic one.

¹⁰. We note, however, that although the English word <u>to</u> is always unstressed, it usually does not drop either of its segment.

¹¹. The converse case, namely syllable insertion due to stress, is less common, but the following may serve as one example (from the TV program 'The Family Feud'): [flnS] --> [f6lnS] 'flush' rightmost element, or, in a three-element domain, to the middle element. The three ways of stress assignment are determined, respectively, by the parameters [+HT, left], [+HT, right] and [-HT] (cf. Halle & Vergnaud for details). In other words, the directionality of the stress is specified in the parameters. For example, the Nuclear Stress Rule (NSR) of English (Chomsky & Halle 1968, Halle & Vergnaud 1987) has the parameters [+HT, right], and assigns the nuclear stress to the rightmost stressed word.

In the NHS, however, there is no specification of directionality. Instead, whether stress goes to the left or right depends on the syntactic relation between the constituents. It will be noted that although the English NSR makes use of information on syntactic bracketing, no use is made of information on syntactic relations. In contrast, the NHS makes use of information on both syntactic bracketing and syntactic relation. The contrast between the NHS, as applied to Chengdu (cf. Duanmu 1990),¹² and the English NSR are shown in the following nuclear stress patterns (omitting secondary and lower stresses)

(18)	English NSR	Chengdu NHS
a.	*	*
	(to) buy books	mai shu buy book ´to buy books´
b.	* red books	* hong shu red book 'red books'
c.	* little red book	* xiao hong shu little red book ´little red book´
d.	* (to) buy red books	* mai hong shu buy red book 'to buy red books'

In each of (18a-d), the English phrase has the same syntactic structure as the Chengdu construction. However, the locations of the nuclear stress differ in the two languages. In English, the nuclear stress uniformly falls on the rightmost word, while in Chengdu it varies. The English patterns may be derived by cyclically applying the NSR and the Stress Equalization Convention (SEC), rephrased below (cf. Halle & Vergnaud), and illustrated in the derivations in (21)

(19) The English NSR (Nuclear Stress Rule): Stres the rightmost word in a domain.

¹². The words in Chengdu are given in Pingyin, a Romanized alphabetical system designed for Mandarin. The actual pronunciation of Chengdu differs somehow from Mandarin.

(20) The SEC (Stress Equalization Convention): At every new cycle, bring the new words to the same stress level as the highest stress on the previous cycle.

(21)	Engli	ish NS	R:								
	a.	(*)				Ь.	(*)	
		[buy	books]				-	[red	books]	
	c.	(*)		d.	(*))
		*	(*)			` *	(*))
		[litt	le [red	booł	(]]	'		[buy	[red	books	;]]

The derivations of (21a,b) are straightforward. In (21c), on the first cycle, the domain is the inner brackets [red book], whereby the NSR puts an asterisk on [book]. On the second cycle, the domain is the outter brackets. The SEC first adds an asterisk to <u>little</u>, bringing it to the same stress level as <u>book</u>. Then the NSR applies again, giving [book] the ultimate nuclear stress. Finally, the derivation of (21d) is similar to that of (21c).

The Chengdu constructions in (18) may be derived by cyclically applying the NHS (17) and the SEC, as follows (shown in English words for convenience)

(22) Chengdu NHS:

a.	(*) [buy books] H NH	b. (*) [red books] NH H	
e.	(*)	d. (*)
	[little [red book]]	ר אין אין (דיפן boo אין אין אין	/ ks]]
	NH H	H NH	

In (22a), the nonhead (NH) is [books], which gets the nuclear stress. In (22b), the NH is [red], which gets the nuclear stress. In (22c), there are two cycles. On the first cycle, the domain is [red book], whereby the NH [red] receives an asterisk. On the second cycle, the SEC first adds an asterisk to [little], bringing it to the same stress level as [red]; then the NHS applies again. Here the NH is [little], which gets the nuclear stress. Fianlly, in (22c), there are again two cycles. On the first cycle, the domain is [red book], whereby the NH [red] gets an asterisk. On the second cycle, the domain is [red book], whereby the NH [red] gets an asterisk. On the second cycle, the SEC first brings [buy] to the same stress level as [red]. Here the NH is the phrase [red book], which by NHS gets the nuclear stress, which is placed on [red], the phonological 'head' of the domain [red book] (cf. Halle & Vergnaud for details).¹³

¹³. By the definition of Halle & Vergnaud, the 'head' of a phonological domain is the element that receives the main stress in this domain. Under the NHS, therefore, the syntactic 'nonhead' (which gets stress) is the phonological 'head', and the syntactic 'head' (which doesnot get stress) is the phonological 'nonhead'. In other words, the headness switches from syntax to

One may suspect that in (22b), hong shu 'red book' is a compound, so the nuclear stress falls on hong for the same reason that in the English compound black-bird the nuclear stress falls on black. If this is correct, then Chengdu would be like English, where phrasal nuclear stress is assigned by the NSR, supplemented by the provision that in two-word compounds, the nuclear stress falls on the first word. This suspicion is dispelled by [xiao [hong shu]] 'little red book', where the nuclear stress falls on <u>xiao</u>. The reason is that if [xiao [hong shu]] is a compound, then the nuclear stress should fall on hong, in the same way that in the English compound <u>[evening [chemistry class]]</u>, the nuclear stress falls on <u>chemistry</u> (cf. Chomsky & Halle 1968, Halle & Vergnaud 1987 for details). On the other hand, if [xiao [hong shull is not a compound, then the nuclear stress should also fall on hong (assuming that hong shu is a compound), in the same way that in the English phrase small evening class (where evening class is a compound) the nuclear stress falls on evening.

Having discussed independent motivations for (15a), i.e. lack of stress may lead to syllable deletion, and (15b), i.e. the NHS, we are now ready to account for the problem this paper addresses, namely, patterns like (2), (3), (13) and (14). We will first give an informal account, and then a more formal solution.

Let us look at (2) first, repeated below

(2) a. xue-xi hui-hua b. *xue-xi hua c. xue hui-hua d. xue hua painting study 'to study painting' [H NH 1

]

According to (15a), a word like those in (1) takes the monosyllabic form when it lacks sufficient stress (we will return to what is 'sufficient' shortly). According to (15b), the head cannot have greater stress than the nonhead. In (2a), both words are stressed, and the head [xue-xi] does not have stronger stress, so (2a) is good. In (2b), the head [xue-xi] is stressed, yet the nonhead [hua] is not, violating (15b), so (2b) is bad. In (2c), the nonhead has greater stress, and is good. Finally, in (2d), both words are unstressed, and the head does not have greater stress, so (2d) is good.

We next look at (3), repeated below

Н

- (3) a. ji-shu gong-ren
 - b. ji-shu gong c. *ji
 - gong-ren d. ji gong skill worker [NH

'skilled worker'

phonology.

Here the nonhead is the first word. In (3a,b,d) the head does not have greater stress than the head, so the expressions are good. In (3c), however, the head [gong-ren] has greater stress than the nonhead [ji], giving a bad expression.

Finally, we look at (13) and (14), repeated below

(13)	a. nan si- b. xin sha [NH H	-ji a-fa]	'male driver' 'new sofa'
(14)	a. yan-jiu b. xi-huan [H	gui ta NH]	'to study ghosts' 'to like her'

In both (13) and (14), the head is bisyllabic and the nonhead monosyllabic. However, here, the greater word length of the head does not mean greater stress. The reason is that for words of fixed lengths, of which (13) and (14) are made of, the word length cannot change, with or without stress; so word lengths here are not an indication of stress. In fact, in both (13) and (14), the nonhead has greater stress than the head, even though the syntactic nonhead remains monosyllabic and the syntactic head bisyllabic.¹⁴

Let us return to the issue of how much stress is sufficient for a word to retain its bisyllabic forms. Consider (2d) and (3d) again, repeated below

(2d)	xue	hua		(3d)	ji	gong
	[H	NH]			[NH	H]
	'to st	udy painting	,		(ski)	lled worker'

In (2d), if the syntactic head <u>xue</u> 'study' has greater stress, why does it not become its bisyllabic form <u>xue-xi</u>? Similarly in (3d), why does <u>ji</u> not become <u>ji-shu</u>? Clearly, just to be stressed is not enough for retaining the bisyllabic form. We propose the following (cf. Halle & Vergnaud)¹⁵

- (23) a. Assign an asterisk to every word, if the whole construction is emphasized.¹⁸
 - b. Assign an asterisk to the syntactic nonhead.

(24) For a word like those in (1), if it has no asterisk, delete a

¹⁵. We forgo the mechanism of how constituents are constructed during NHS.

¹⁶. We forgo word level stress assignment, since it is not directly related to our discussion.

¹⁴. In fact, even for fixed monosyllabic words, such as <u>xin</u> 'new' and <u>xiao</u> 'small', there is a tendency to make them bisyllabic under sufficient stress, such as <u>xin-bian</u> 'newly compiled' and <u>xiao-xing</u> 'small-sized', even though the bisyllabic word is not quite synonymous to the monosyllabic one. Cf. Appendix for some examples.

syllable. If it has one asterisk, optionally delete a syllable.¹⁷

If a construction contains embedded bracketing, (23) will apply cyclically, supplemented by the SEC (20).

Let us now look at the derivations of (2), (3), (13) and (14) in detail. Take (2) first, whose derivations are shown in (25)

(25) a. (23b) (23a) * * xue-xi hui-hua ----> xue-xi hui-hua ----> 1 1 [H NH ГН NH ж * * (24)xue-xi hui-hua ----> xue-xi hui-hua (=2a) i. [H NH 1 ii. xue hui-hua (=2c)

b. (23b) * (24) xue-xi hui-hua ----> xue-xi hui-hua ----> i. xue hui-hua (=2c) [H NH] [H NH] ii. xue hua (=2d)

In (25a), the phrase is emphasized, and (23a) applies, giving each word an asterisk. After the application of (23b), the first word has one asterisk and the second word has two. (24) may then optionally delete a syllable from the first word, giving two possible patterns, equivalent to (2a,c). In (25b), the phrase is not emphasized, and (23a) does not appliy. After the application of (23b), the first word has no asterisk and the second word has one. (24) then deletes a syllable from the first word, and optionally deletes a syllable from the second word, again giving two patterns, equivalent to (2c,d). Note that although (2c) is derived in two ways, i.e. (25a.ii) and (25b.i), the two derivations are not identical in stress assignment, although they are identical in word lengths, both being [1+2]. On the other hand, the bad pattern (2b) will not be derived.

We next look at (3), whose derivations are given in (26)

¹⁷. One may ask why in Chinese deletion applies to the whole syllable, and not to segments, such as the vowel. In English, for example, we find segment deletion, as in [hev] - > [v] in <u>have</u>, and [-dei] - > [-di] in <u>Sunday</u>. There are two possible reasons. First, Chinese languages have a fixed syllable structure (cf. Duannu 1990). If in a CVC syllable, the vowel is deleted, then the remaining CC will no longer be a syllable, nor can they attach to the preceding or the following syllable. Thus, the deletion of the vowel in effect leads to the deletion of the syllable. Second, it is likely that the variation between the monosyllabic and the bisyllabic forms is not due to reduction but expansion, as we mentioned earlier. In other words, the bisyllabic form comes from adding a monosyllabic morpheme to another monosyllabic morpheme.

(26) a. (23a) * * (23b) ji-shu gong-ren ----> ji-shu gong-ren ----> H] [NH [NH H] * * * (24)ji-shu gong-ren ----> ji-shu gong-ren (=3a)a. Н] [NH ь. ji-shu gong (=3b) b. (23b) * (24) ji-shu gong-ren ----> ji-shu gong-ren ----> a. ji-shu gong (=3b)] [NH H] [NH Н b. ji (=3d) gong

In (26a), after (23a,b) apply, the first word has two asterisk, hence remaining bisyllabic, and the second syllable has one asterisk and so may optionally lose a syllable, giving two patterns (3a,c). In (26b), after (23b) applies, the first word has one asterisk and so may optionally lose one syllable, while the second word has no asterisk and so must become monosyllabic. Thus (26b) has two patterns (3b,d). Again, although (3b) is derived in both (26a,b), the bad (3c) will not be derived.

Next consider (13a), whose derivation is in (27)

). (23b) * nan si-ji ----> nan si-ji [NH H]

1 e

In (27a), the phrase is emphasized, and in (27b) it is not. But since (24) is not applicable to words of fixed lengths, either way the word lengths remain unchanged.

Finally we consider (14a), whose derivation is in (28)

(28) a. * (23a) * * (23b) * * yan-jiu gui ----> yan-jiu gui ----> yan-jiu gui [H NH] [H NH] [H NH] b. (23b) * yan-jiu gui ----> yan-jiu gui [H NH] [H NH]

Again, since all words have fixed lengths, whatever the stress pattern, the

word lengths remain unchanged. 18

4. Further Examples In this section we show that our analysis supported by a range of further evidence.

4.1. Statistical Evidence Lü (p423) notes that most modern Chinese words are bisyllabic; he counted the three thousand most commonly used modern Chinese words listed in WGH (1959), and came with the following statistics¹⁸

(29)	Nouns	Adjectives	Verbs
Multisyllabic	85%	69%	61%
Monosyllabic	15%	31%	39%

Most multisyllabic words are bisyllabic. If we follow the common view that most Chinese words were monosyllabic historically, we must explain why the increase of bisyllabicism is different among the word categories. In our analysis, there is a possible answer. If NHS is the trigger of bisyllabicism, then we expect words that can occur in syntactic nonhead positions to show greater bisyllabicism than those that rarely occur in such positions. In particular, since N may occur as the nonhead in VP and A as the nonhead in NP, both N and A should show greater bisyllabicism. This agrees with (29).

In contrast, V do not often occur as the nonhead of a construction, except for the intransitive verb, which is the nonhead of IP perhaps.²⁰ We predict, therefore, that V should show the least bisyllabicism. Although our prediction is correct in (29), the figure 61% is still too high. This is because intransi-

 20 . In [I · Infl [VP V NP]], VP is the nonhead of I', and so should get greater stress. However, the NHS for I' does not go to V but to NP. This is shown below

(*) * (*) [Infl [V NP]]

In VP, NP is the syntactic nonhead, and so is the phonological head of VP. Since stress always falls on the phonological head, the NHS for I' falls on NP, and not on V. On the other hand, in $[I \cdot Infl [vP V]]$, where V is intransitive, the NHS for IP does fall on V.

¹⁸. Again, cf. Appendix for cases where even 'fixed' monosyllables may be become bisyllabic when sufficiently stressed.

¹⁹. The word categories given in WGH (1959) is not without controvercy. However, the overall ratio should still be a fair indication of the size of each word class.

tive verbs only consist of about 10% of all verbs,²¹ so most verbs should, we predict, remain monosyllabic. The discrepancy, we suggest, is due to the fact that many so-called verbs are in fact [V N] structures. For example, <u>kai-hui</u> 'to hold a meeting', <u>shuo-hua</u> 'to talk' (lit. 'start meeting'), and <u>shuo-li</u> 'to reason' (lit. 'utter reason') are considered verbs, yet these expressions allow expansion, as in <u>kai liang ci hui</u> 'to hold two meetings', <u>shuo yidian hua</u> 'to utter some words' and <u>shuo vidian li</u> 'to utter some reason'. In addition, of the 280 most commonly used verbs, only 30% are bisyllabic (Wu 1986). If we further exclude [V N] structures from this 30%, then the ratio will be much closer to our prediction.²²

4.2. Compound Verbs Chinese has many compond verbs. Some are formed by suffixing a word to the verb stem, as in (30),²³ and some by prefixing a word to the verb stem, as in (31), with the primary stress shown

(30)	*	*
	kao-gan	xi-bai
	bake-dry	wash-white
	'to bake till dry'	'to wash till white'
(31)	*	*
	hong-shao	shou-xie
	red cook	hand write
	'to red-cook'	'to hand-write'

۰.,

²¹. The estimate is based on counting all verbs on pages 1, 50, 100, ... in <u>Collins Dictionary of the English Language</u>, Collins, London, 1979, and <u>A New</u> <u>English-Chinese Dictionary</u>, Shanghai People's Press, Shanghai, 1976.

²². One may ask why N has greater bisyllabicism than A, since N often occurs as the syntactic head in [A N] structures, while A rarely occurs as the syntactic head. An examination of WGH (1959) shows that many so-called Ns are in fact [A N] structures. For example

(i)	a.	kai shui	ь.	kong	jun	c.	ke ting
		boil water		air	army		guest hall
		'boiled water'		´air	force'		'living-room'

In a random sample of 28 Ns, 13 belong to this kind. If we exclude these 'nouns', then the bisyllabicism of N will be closer to expectation.

²³. Such words are considered compounds because they behave like a single verb, as in

 (i) ta xi-bai le yifu he wash-white ASP clothes
 'He washed (his) clothes till they were white'

where both the object NP <u>vifu</u> and the aspect <u>le</u> follow the compound V.

Although (30) and (31) are not considered phrases, they nevertheless have a syntactic/morphological headness relation. We see that the stress falls on the affix of the compound, in agreement with NHS. Thus, if the two words of a compond verb have flexible lengths, we predict that, of the four possible expressions, one will be bad. Specifically, for compounds like (30), where the stem/head is initial, we expect [2+1] to be bad, and for compounds like (31), where the stem/head is final, we expect [1+2] to be bad. As the following examples show, the prediction is borne out

(32) a.	EH	NH]		b.	[NH ·	HJ
	da-sao	gan-jing			shou-gong	qing-xi
	*da-sao	jing			shou-gong	xi
	Sao	gan-jing			*shou	qing-xi
	sao	jing			shou	xi
	sweep	clean			hand	wash
	to swee	p till clea	ní		'to hand-wa	ash'

The fact that the NHS applies not only to phrases but also to compounds should be no surprise. Recent treatments of incorporation as a syntactic phenomenon (Baker 1988) and the expansion of functional categories and their projections (e.g. TenseP, AgrP, NegP, cf. Pollock 1989) indicate that much of what used to be considered morphology/lexicon is now in the domain of syntax.²⁴

24. English compound verbs show similar behavior. Consider

(i) a. [NH H]: red-cook, hand-write, home-make, ...

[H NH]: dine out, live in, clean up, wash out, ...

*

(ii) a. What did you put on?

b.

*

b. What did you walk with?

c. I put on a hat.

*

d. I put a hat on.

In (i) the nonhead carries greater stress, whether it is before or after the verb stem. In (iia,b) we see a minimal contrast. Although both <u>on</u> and <u>with</u> are sentence final, <u>on</u> carries the nuclear stress but <u>with</u> does not. The contrast is due, in our analysis, to the fact that <u>on</u> is a nonhead (of the verb <u>put on</u>) and so is stressable, but <u>with</u> is a head (of the PP) and so is not stressable. In (iic,d), the nonhead of the VP is <u>hat</u>, which takes the nuclear stress.

4.3. Prepositional Phrases Prepositions are not many, and most of them are monosyllabic in Chinese. However, the following example shows that NHS still applies

(33) yi-zhao tu-yang
 *yi-zhao yang
 yi tu-yang
 yi yang
 according-to pattern 'according to the pattern'
 [H NH]

In (33), the head is initial. Consequently, [2+1] is bad, as expected.

4.4. Omissions Multisyllabic expressions can normally be shortened by omitting one (or more) syllable. Below are some typical examples (Lü,422,427)

(34)	а.	[yang hao] <u>bi</u> > goat hair brush	yang hao	'goat-hair brush'
	b.	mao-tai <u>jiu</u> > mao-tai liquor	mao-tai	'mao-tai liquor'
	e.	da [xue <u>xiao]</u> > big study school	da xue	'college'
	d.	xiao [xue <u>xiao</u>]> small study school	xiao xue	primary school

(34a-d) show that, when an expression is shortened, it is the syntactic head (with underline) that is often omitted. This agrees with our analysis that the syntactic head has less stress, and the general tendency that unstressed syllables are most likely to drop out.²⁵

Our analysis may be extended to quadrisyllabic [2+2] phrases. It has been noted that [2+2] phrases may often be shortened to [1+1]. In the normal case, the first and the third syllables stay, and the second and the fourth drop out (cf. Chao 1968, Chiang 1990). For example

(35)	bei-j	iing		da-x	ue		-> bei	da	
	North	n-Capi	tal	big-	scho	loc			'Peking University'
	[NH	Н]	[NH	Н]			-

It can be seen that the first and the third syllables are syntactic nonheads, while the second and the fourth are syntactic heads. Since under NHS, syntactic heads have less stress, they are more likely to drop out. This is true for many

²⁵. The following English examples seem to show the same phenomenon: William's High School --> William's High Stanford University --> Stanford other [N N] [2+2] phrases.26

4.5. Subject-Predicate Structures According to the syntactic analysis of Chomsky (1981), where a clause is an IP, the subject ought to be the syntactic nonhead of the clause, as shown below

(36)	[NP	[I VP]]			
		<u>H NH</u>	:	I´level	
	NH	H	:	IP level	

In the inner brackets, VP is the NH and I is the H. In the outter brackets, the NH is subject NP and the H is the [I VP] as a whole. In this analysis, the

²⁸. In addition to NHS, Chinese has left-headed stress at some independent level. This is seen in the following

(i)		()()		()()
	a.	[[mai le] jiu]	ь.	[mai [dian jiu]]
		buy ASP wine		buy some wine
		'bought wine'		'to buy some wine'

In (i.a,b), the middle syllable is unstressed. Syntactically, the middle syllable is closer to the syllable on its left in (i.a), and to the syllable on its right in (i.b). Tonally, however, the middle syllable always belongs to the same domain as the syllable to its left, as shown by parentheses (cf. Shih 1986, Duanmu 1990). The mis-match between syntactic and phonological domains follows if the phonological domains are left-headed. Let us call this stress rule LHS (Left-headed Stress). Now consider

In (ii), the word <u>huan-jing</u> is made of two synonymous morphemes, and so there is no syntactic head-nonhead relation between them. However, this word will get initial stress by LHS. Similarly, <u>bao-hu</u> gets initial stress by LHF. On the outter cycle, NHS puts the nuclear stress on <u>huan</u>. Now the second and the fourth syllables do not have any stress, so they may be deleted.

In (iii), <u>qi-che</u> gets initial stress by NHS, and <u>xiu-li</u> gets initial stress by LHS. Finally, NHS puts the nuclear stress on <u>qi</u>. The stressless syllables are again the second and the fourth, which are deletable.

subject NP has the nuclear stress, and so longer length. Now consider27

(37)	WO	ting-jian	lao-hu lao-hu * hu hu	hou-jiao jiao hou-jiao jiao				
	I	hear	tiger	roar	Ί	hear	tigers	roarí

1.5

²⁷. The reason to embed the clauses of interest (shown in box) in a matrix sentence is that, when being the main verb, many intransitive verbs require either negation of aspect, as seen below (from Li 1990:248)

(i)	a.	*ta zui he drunk	'He	is drunk'	b.	ta zi	ui le ASP	'He i	is drunk
	c.	ta zui guo ASP	́Не	was drunk'	d.	ta m	ei zui ot	'He	isnít drunk
Simi	larl	y, when star	nding	alone, <u>hu jiao</u>	(tig	ers r	oar' is	odd,	as in
(ii)	a.	?hu jia tiger roa Tigers ro	io ir par		b.	hu j: Tige	iao le ASP ers (now	v) roa	aredí
	c.	hu jiao g /	uo SP		b.	hu me ne	ei jiao ot		
		Tigers (once)	roared		Tige	ers didr	i't ro	arí

However, the fact that verbs like <u>zui</u> and <u>jiao</u> require negation or aspect is not due to phonology, but due to syntax. That is, the badness of (i.a) is not due to the shortness of the verb. Without negation or aspect, a bisyllabic verb is also bad, as seen below

(iii)a.	*ta chu-xian he appear 'He appears'	b.	ta chu-xian le ASP 'He has appeared'		
c.	ta chu-xian guo ASP 'He (once) appeared'	d.	ta mei chu-xian not 'He did not appear		

Thus cases like (i)-(iii), where an intransitive main verb syntactically requires negation or aspect, should be distinguished from cases where word length is conditioned by phonology.

(38)	lao-hu lao-hu * hu hu	hou-jiao jiao hou-jiao jiao	le yi tian		
	tiger	roar	ASP one day	Tigers roared	for a day'

We see that, for the clauses in box, when the verb is longer than the subject NP, the expression is bad. This is an indication that the subject is the NH of IP. Furhter examples of this kind are

(39) a.	niao-er	ge-chang		ь.	hua-er	kai-fang		
	niao-er	chang			hua-er	kai		
	*niao	ge-chang			*hua	kai-fang		
	niao	chang			hua	kai		
•	bird	sing	'Birds s	singí	flower	blossom	'Flowers	blossom'

5. Summary We have offered an analysis of why for constructions that are made of words of flexible lengths, not all possible combinations are good, as exemplified in (2) and (3). Our analysis, given in (23) and (24), are based on metrical structure. In particular, we make two proposals: first, the NHS (Nonhead Stress), stated in (17), takes the syntactic nonhead as the phonological head (which then is assinged greater metrical prominence, or 'stress') and second, for a word of flexible length, such as those in (1), the longer length is used when sufficiently stressed. On the other hand, for words of fixed lengths, such as those in (12), word lengths do not always reflect stress assignment (though sometimes they also do, cf. Appendix); thus, even if the syntactic nonhead has fewer syllables than the syntactic head, such as in (13) and (14), the nonhead may still carry greater stress, and so the expressions are good.

We have discussed few constructions longer than two words, where more complicated syntactic issues arise, such as negation phrases, relative constructions, modal constructions, classifier phrases, resultative constructions, etc. These topics are left for another paper.

Appendix

In our discussion, we said that for words of fixed lengths, such as those in (12), their lengths will not be affected by the degree of stress they carry. In particular, monosyllables will not become bisyllabic even when they carry NHS, as seen in

(i) a. (*) b. (*) xin cidian xiao cidian new dictionary small dictionary

where <u>xin</u> has NHS, but does not become bisyllabic.

There are cases, though, that even for fixed monosyllabic words, such as <u>xin</u> 'new' and <u>xiao</u> 'small', there is a tendency to make them bisyllabic under sufficient stress, such as <u>xin-bian</u> 'newly compiled' and <u>xiao-xing</u> 'smallsized', even though the bisyllabic word is not quite synonymous with the monosyllabic one. Consider²⁸

(ii) a.	??xin	hanyu	cidian	
	[new	[Chinese	dictionary]]	'New Chinese Dictionary'

- b. hanyu xin cidian
 [Chinese [new dictionary]] 'New Chinese Dictionary'
- c. xin-bian hanyu cidian [newly-compiled [Chinese dictionary]] 'New Chinese Dictionary'
- d. *?hanyu xin-bian cidian [Chinese [newly-compiled dictionary]] 'New Chinese Dictionary'

(ii.a) is quite bad.²⁹ The correct expression for (ii.a) is (ii.b), where the order of the modifiers is switched. The question is, is the badness of (ii.a) due to a bad order of modifiers, or due to a bad pattern of word length? As (ii.c,d) show, the preferred order of modifiers is (ii.a), not (ii.b). Thus, the badness of (ii.a) is not due to a bad order of modifiers, but due to a bad pattern of word length. In other words, to save (ii.a) phonologically, we may even sacrifice the preferred modifier order, as in (ii.b).

The next question to ask is, why is the word length pattern bad in (ii.a) and good in (i) and (ii.b)? The answer, we suggest, again lies in stress. Consider

²⁸. When discussing such sentences, Lu (1986) suggests that Chinese prefrs 'small belly' expressions (i.e. the middle word is shorter than the words on both ends) to 'big belly' expressions (i.e. the middle word is longer than the words on both ends).

 $^{^{29}}$. If one really has to say this phrase, one must stress and lengthen <u>xin</u>, and leave a pause between <u>xin</u> and the rest of the phrase. This is in agreement with NHS.

- (iii) (*) xin cidian new dictionary
- (iv) a. (*)
 * (*)
 ??xin hanyu cidian
 [new [Chinese dictionary]]

b. (*)
 * (*)
 hanyu xin cidian
[Chinese [new dictionary]]

е.	(*)
	*	(*	.)
	xin-bian	hanyu	cidian
	[newly-compiled	[Chinese	dictionary]]

In (iii)=(i.a) and (iv.b)=(ii.b), xin has one asterisk. In (iv.a)=(ii.a), however, xin has two. It seems, therefore, that the badness of (iv.a) is due to the fact that a monosyllable cannot bear too many asterisks. In (iv.c)=(ii.c), xin is lengthened by adding another syllable bian (of redundant meaning), so the expression is good again.

Similar pattern is seen in the following patterns

(v)	a.	da mei-kuang large coal-mine	'large coal-mine'
	b.	??da [lu-tian mei-kuang]] large open coal-mine	'large open coal-mine'
	c.	lu-tian (da mei-kuang) open large coal-mine	'large open coal-mine'
	d.	da-xing [lu-tian mei-kuang]] large-scale open coal-mine	'large open coal-mine'
	c.	??lu-tian [da-xing mei-kuang] open large-scale coal-mine	'large open coal-mine'

 \underline{da} has fixed length, as seen in (v.a), where is it not lengthened under NHS. Still, when too many asterisks fall on \underline{da} , as in (v.b), the expression gets bad. (v.b) may be saved either by switching the order of the modifiers, as in (v.c), or by adding a syllable (of redundant meaning) to \underline{da} , as in (v.d). The same is true for a longer title, as in

- (vi) a. xiandai hanyu da cidian modern Chinese big dictionary
 - b. ??xiandai hanyu da-xing cidian

22

a !

modern Chinese big-scale dictionary

c. *da xiandai hanyu cidian big modern Chinese dictionary

. .

d. da-xing xiandai hanyu cidian big-scale modern Chinese dictionary

One exception to the above patterns is found in the title of a recent dictionary, published in 1976

(iv) (*)
 * (*)
 xin ying-han ci-dian
 new English-Chinese dictionary 'A New English-Chinese Dictionary'

As is seen, the monosyllabic <u>xin</u> carries two asterisks. In our prediction, (iv) should be avoided, and the preferred word order should be

(iiv) (*)
 * (*)
 ying-han xin ci-dian
 English-Chinese new dictionary 'A New English-Chinese Dictionary'

where <u>xin</u> carries just one asterisk. Why then does the dictionary take the title (iv) instead of (iiv)? It turns out that the adopted title was arrived at after much debate. The major workers on the dictionary were people from the Foreign Languages Departments of Fudan University and Shanghai Normal University. It was noted that there was a conflict between the rythmic word order (iv), preferred in Chinese, and theadjective order (iv), preferred in both Chinese and English. It was decided that the rythmic order be sacrificed for the adjective order, because the dictionary is directed not only at Chinese users, but also at English users.

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