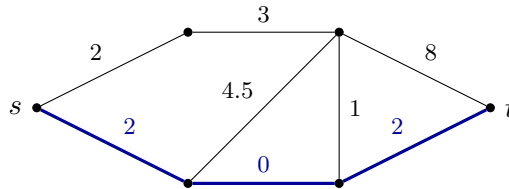


## Worksheet 15. Dijkstra's Algorithm

**Minimum-weight paths** Now our input will be a **weighted graph**, i.e., a graph in which each edge  $e$  comes with a **weight** or **cost**  $\text{wt}(e) \geq 0$ . The weight of a path is defined to be the sum of weights of edges on the path.

Given vertices  $s$  and  $t$  in the graph, we want to find a minimal-weight path from  $s$  to  $t$ , as in this picture.



If we knew the  $k$  vertices  $v_1, \dots, v_k$  closest to  $s$  and their distances to  $s$ , then we could find the  $(k+1)^{\text{st}}$ -closest vertex  $v_{k+1}$  as follows. Vertices on a minimum-weight path from  $v_{k+1}$  to  $s$  must be closer to  $s$ , so among  $v_1, \dots, v_k$ . Examine all the ‘frontier edges’  $(v_j, w)$  and find such a  $w$  that minimizes the distance from  $s$  to  $v_j$  plus the weight of the edge  $(v_j, w)$ . This  $w$  will be  $v_{k+1}$ .

**Problem 1.** Fill in the gaps in the pseudocode for Dijkstra's algorithm, below.

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**Algorithm 1:** Dijkstra's algorithm
 

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**Input:** a weighted graph  $G = (V, E, \text{wt})$  (with nonnegative weights) and a vertex  $s \in V$

**Output:** an array  $\text{dist}$  so that  $\text{dist}(v)$  is the length of a min-weight path from  $s$  to  $v$

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1 set  $\text{dist}(s) = 0$ ;
2 set  $S = \{s\}$ ; //  $S$  is the set of marked or explored nodes
3 foreach  $v \neq s$  do
4   set  $\text{dist}(v) = \infty$ ;
5 while  $S \neq V$  do
6   find  $w \notin S$  a vertex adjacent to a  $v \in S$  for which ;
7   record  $\text{pred}(w) = v$ ; // for later analysis
8   add  $w$  to  $S$ ;
9   set  $\text{dist}(w) =$  ;
10 end

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**Problem 2.** After running the algorithm, how can you find the min-weight path from  $s$  to your favorite vertex  $t$ ? (*Hint:* use  $\text{pred}$ )

**Remarks.** (i) Dijkstra's algorithm works just as well for weighted digraphs.

(ii) Dijkstra's algorithm is ‘greedy’ in the sense that we always extend a path by adding a single edge minimizing some objective function.

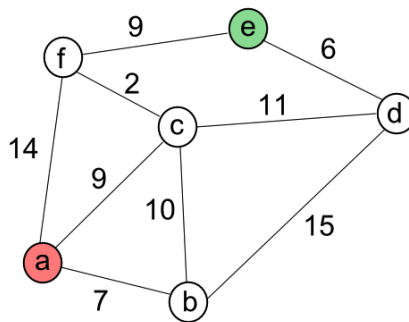
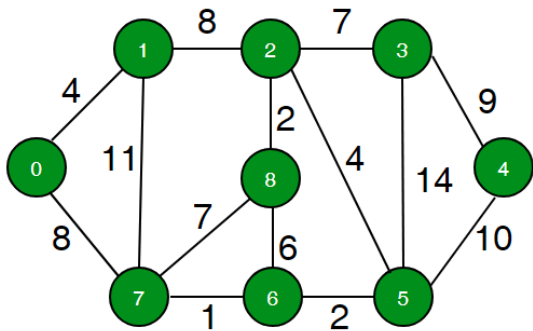
(iii) From the right point of view, Dijkstra's algorithm generalizes breadth-first search.

(iv) Dijkstra's algorithm no longer works if weights are allowed to be negative, as you'll see.

(v) The **while** loop iterates  $\leq n - 1$  times, where  $n = |V|$ . (Why?) It seems like choosing the right  $w$  in the loop will require a search over  $V \setminus S$  and for each  $w \in V \setminus S$  a computation of  $\min_{v \in S} \text{dist}(v) + \text{wt}(v, w)$ , which in the end looks like  $O(mn)$  time. (Here  $m$  is the number of edges.) The algorithm can be implemented more carefully to achieve  $O(m \log n)$  time.

**Problem 3.** Dijkstra's algorithm produces a tree of minimum-weight paths, if it is run on a connected graph. Describe the tree precisely (i.e., give an exact description of which edges are in the tree, in terms of variables in the algorithm) and explain why it is a tree.

**Problem 4.** By executing Dijkstra's algorithm, find minimal weight paths from (0)-(4) and (a)-(e) respectively in the below graphs. Plot the corresponding minimal weight trees.



**Problem 5.** What does Dijkstra's algorithm do on an unweighted graph? That is, suppose that all the weights in a graph are 1 and describe the execution of Dijkstra's algorithm.

**Problem 6.** Spend two minutes (i.e. don't spend too long) with your groupmates speculating about how Dijkstra's algorithm can be implemented to run in  $O(m \log n)$  time. (*Hint:* Explicitly maintain values of  $\min_{v \in S} \text{dist}(v) + \text{wt}(v, w)$  for all  $w \notin S$  rather than recomputing them in each iteration. Keep the nodes of  $V \setminus S$  in a 'priority queue' with the values  $\min_{v \in S} \text{dist}(v) + \text{wt}(v, w)$  as keys. Look up the definition of a priority queue if you need to! This is actually a bit subtle: see the discussion on pp. 661–662 of CLRS, if you are interested in understanding the whole argument.)

**Correctness of Dijkstra's Algorithm.** We are proving the validity of a certain loop invariant for Dijkstra.

**Problem 7.** What is the loop invariant?

**Claim.** Consider the set  $S$  during the execution of Dijkstra's algorithm, at the start of the **while** loop on line 5 of the algorithm.

- (1) For all  $u \in S$ , the quantity  $\text{dist}(u)$  is the length of the minimum-weight path from  $s$  to  $u$ ; and
- (2)  $P_u$  is a path of minimum weight from  $u$  to  $s$ . (By  $P_u$  we mean the path obtained by starting at  $u$  and iterating `pred` until you reach  $s$ .)

**Problem 8.** Fill in the following outline of a proof of the Claim.

- (a) We proceed by induction on  $|S|$ . Verify that the Claim holds for  $|S| = 1$ .
- (b) Now suppose that the claim holds for  $|S| = k$ . Suppose that  $w$  is discovered in line 6 of the algorithm to make  $|S| = k + 1$ , say with  $\text{pred}(w) = v$ . By induction,  $P_v$  is a min-weight path from  $s$  to  $v$ . How does  $P_w$  relate to  $P_v$ ?
- (c) Consider any path  $P$  from  $s$  to  $w$ . We must show that  $\text{wt}(P) \geq \text{wt}(P_w)$ . Since  $s \in S$  but  $w \notin S$ , there is a first vertex  $y$  on  $P$  that does not belong to  $S$ . Let  $x$  be the previous vertex on  $P$ , so that  $x \in S$ . Let  $P'$  be the portion of  $P$  from  $s$  to  $x$ . What can you say about  $\text{wt}(P')$  versus  $\text{wt}(P_x)$ ?
- (d) (Draw a picture and) Complete the proof that  $\text{wt}(P) \geq \text{wt}(P_w)$ .
- (e) Verify that you have a complete proof of the Claim.
- (f) At what point (if any) does your proof use that the algorithm chose  $w$  instead of  $y$ ?
- (g) At what point (if any) does your proof use that weights are nonnegative?