

# $\Delta$ -modules

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# References

- Syzygies of Segre embeddings and  $\Delta$ -modules  
[arXiv:1006.5248](#)
- Introduction to twisted commutative algebras (w/S. Sam)  
[arXiv:1209.5122](#)
- GL-equivariant modules over polynomial rings in infinitely many variables (w/S. Sam) [arXiv:1206.2233](#)
- These slides:  
<http://math.mit.edu/~asnowden/>

We cite the three papers as [S], [SS1] and [SS2] in the following.

# §1. Introduction

$$\begin{array}{c} V_1^* \otimes \cdots \otimes V_n^* \\ \parallel \\ \mathbf{V}_n(V_1, \dots, V_n) \end{array}$$

The variety  $\mathbf{V}$  has three pieces of structure of interest:

(A1) **Naturality.** Given linear maps  $f_i: V_i \rightarrow V'_i$ , there is an induced map

$$f^*: \mathbf{V}_n(V'_1, \dots, V'_n) \rightarrow \mathbf{V}_n(V_1, \dots, V_n).$$

(A2) **Symmetry.** Given  $\sigma \in S_n$ , there is an induced isomorphism

$$\sigma^*: \mathbf{V}_n(V_{\sigma(1)}, \dots, V_{\sigma(n)}) \rightarrow \mathbf{V}_n(V_1, \dots, V_n).$$

(A3) **Flattening.** There is a natural isomorphism

$$\mathbf{V}_{n+1}(V_1, \dots, V_{n+1}) = \mathbf{V}_n(V_1, \dots, V_{n-1}, V_n \otimes V_{n+1})$$

A  $\Delta$ -**variety** is a subvariety of  $\mathbf{V}$  which respects this structure.

Precisely, a  $\Delta$ -variety is a rule  $X$  which assigns to each  $(V_1, \dots, V_n)$  a closed subvariety

$$X_n(V_1, \dots, V_n) \subset \mathbf{V}_n(V_1, \dots, V_n)$$

such that:

- (B1) Given linear maps  $f_i$  as in (A1),  $f^*$  carries  $X_n(V'_1, \dots, V'_n)$  into  $X_n(V_1, \dots, V_n)$ .
- (B2) Given  $\sigma \in S_n$  as in (A2),  $\sigma^*$  carries  $X_n(V_{\sigma(1)}, \dots, V_{\sigma(n)})$  into  $X_n(V_1, \dots, V_n)$ .
- (B3) The flattening isomorphism (A3) induces an inclusion

$$X_{n+1}(V_1, \dots, V_{n+1}) \subset X_n(V_1, \dots, V_{n-1}, V_n \otimes V_{n+1}).$$

Note: a  $\Delta$ -variety is not a single variety, but  
**an interrelated system of varieties.**



## Example: the Segre variety

Define

$$X_n(V_1, \dots, V_n) \subset \mathbf{V}_n(V_1, \dots, V_n)$$

to be the set of pure tensors. This is the **Segre variety**, and is the motivating example of a  $\Delta$ -variety.

- Conditions (B1) and (B2): linear maps and permutations carry pure tensors to pure tensors.
- Condition (B3): the inclusion

$$X_{n+1}(V_1, \dots, V_{n+1}) \subset X_n(V_1, \dots, V_{n-1}, V_n \otimes V_{n+1})$$

simply means we can regard an  $(n+1)$ -fold tensor as an  $n$ -fold tensor:

$$v_1 \otimes \cdots \otimes v_{n+1} = v_1 \otimes \cdots \otimes v_{n-1} \otimes (v_n \otimes v_{n+1}).$$

## Other examples

There are many other examples of  $\Delta$ -varieties:

- Higher subspace varieties. These directly generalize Segre varieties.
- The tangent and secant varieties of a  $\Delta$ -variety is a  $\Delta$ -variety.
- The sum, union and intersection of two  $\Delta$ -varieties is a  $\Delta$ -variety.

In particular, the secant varieties of the Segre are  $\Delta$ -varieties.

A  $\Delta$ -**module** is the result of taking a linear invariant of a  $\Delta$ -variety.

Precisely, a  $\Delta$ -module is a rule  $F$  which assigns to each  $(V_1, \dots, V_n)$  a vector space  $F_n(V_1, \dots, V_n)$  equipped with the following extra structure:

(C1) For each system of linear maps  $f_i: V_i \rightarrow V'_i$ , a linear map

$$f_*: F_n(V_1, \dots, V_n) \rightarrow F_n(V'_1, \dots, V'_n).$$

(C2) For each  $\sigma \in S_n$ , a linear map

$$\sigma_*: F_n(V_1, \dots, V_n) \rightarrow F_n(V_{\sigma(1)}, \dots, V_{\sigma(n)}).$$

(C3) A linear map

$$F_n(V_1, \dots, V_{n-1}, V_n \otimes V_{n+1}) \rightarrow F_{n+1}(V_1, \dots, V_{n+1})$$

There are various compatibilities and technical conditions required, which we ignore for now.

## Sources of examples

If  $X$  is a  $\Delta$ -variety and  $\mathbf{F}$  is a contravariant linear invariant of varieties (or closed immersions of varieties), then

$$F_n(V_1, \dots, V_n) = \mathbf{F}(X_n(V_1, \dots, V_n))$$

is naturally a  $\Delta$ -module.

Reason: (B1)–(B3) induce (C1)–(C3) by functoriality of  $\mathbf{F}$ .

# Sources of examples

Possibilities for  $\mathbf{F}$ :

- Coordinate ring.
- Defining ideal (inside of  $\mathbf{V}$ ).
- Syzygies (relative to  $\mathbf{V}$ ).
- Local cohomology.
- Topological cohomology.

## Example: equations of the Segre

Define

$$F_n(V_1, \dots, V_n) \subset \text{Sym}^2(V_1 \otimes \cdots \otimes V_n)$$

to be the quadratic equations which vanish on the Segre  $X_n(V_1, \dots, V_n)$ .

Then  $F$  is naturally a  $\Delta$ -module.

## Example: equations of the Segre

The usefulness of the  $\Delta$ -module structure is that it allows us to produce equations of complicated Segre varieties from those of more simple ones.



## Example: equations of the Segre

Start with the equation  $\alpha$  cutting out the Segre  $X_2(\mathbf{C}^2, \mathbf{C}^2)$ .

Choosing  $f_1: \mathbf{C}^2 \rightarrow \mathbf{C}^m$  and  $f_2: \mathbf{C}^2 \rightarrow \mathbf{C}^n$ , (C1) gives a linear map

$$f_*: F_2(\mathbf{C}^2, \mathbf{C}^2) \rightarrow F_2(\mathbf{C}^m, \mathbf{C}^n).$$

We can therefore build an element  $f_*(\alpha)$  of  $F_2(\mathbf{C}^m, \mathbf{C}^n)$ .

Varying  $f_1$  and  $f_2$  produces many elements.

## Example: equations of the Segre

Since  $\mathbf{C}^{mn} = \mathbf{C}^m \otimes \mathbf{C}^n$ , (C3) gives a map

$$F_2(\mathbf{C}^\ell, \mathbf{C}^{mn}) \rightarrow F_3(\mathbf{C}^\ell, \mathbf{C}^m, \mathbf{C}^n).$$

We get many elements of  $F_3$  by taking the images of the elements in  $F_2$  we have already constructed.

We can similarly go from 3 to 4 factors.

## Example: equations of the Segre

Thus the single equation  $\alpha$  gives many equations on every Segre.

In fact, we obtain all equations of each Segre from  $\alpha$ !

We say that  $\alpha$  **generates**  $F$ .

## Example: equations of the Segre

Write  $\{1, \dots, n\} = A \amalg B$  and choose linear maps

$$f_1: \mathbf{C}^2 \rightarrow \bigotimes_{i \in A} V_i, \quad f_2: \mathbf{C}^2 \rightarrow \bigotimes_{i \in B} V_i.$$

We obtain a map  $f^*: \mathbf{V}_n(V_1, \dots, V_n) \rightarrow \mathbf{V}_2(\mathbf{C}^2, \mathbf{C}^2)$ . Let  $X_{f_1, f_2}$  be the inverse image of  $X_2(\mathbf{C}^2, \mathbf{C}^2)$ .

The statement that  $\alpha$  generates  $F$  is equivalent to the statement that  $X_n(V_1, \dots, V_n)$  is the intersection of the  $X_{f_1, f_2}$  as we vary  $A$ ,  $B$ ,  $f_1$  and  $f_2$ .

Let  $X$  be a  $\Delta$ -variety and let  $F^{p,d}$  be the  $\Delta$ -module of  $p$ -syzygies of  $X$  of degree  $d$ .

The goal of this course is to sketch the proof of the following two results about this  $\Delta$ -module.

# The first theorem

## Theorem

*The  $\Delta$ -module  $F^{p,d}$  is finitely generated.*

## The second theorem

We will define the **Hilbert series**  $f$  associated to a  $\Delta$ -module  $F$ .

This is a formal power series in several variables.

From it, one can read off the decomposition of  $F_n(V_1, \dots, V_n)$  as a representation of  $\mathbf{GL}(V_1) \times \cdots \times \mathbf{GL}(V_n)$  for all  $(V_1, \dots, V_n)$ .

# The second theorem

## Theorem

*The Hilbert series of  $F^{p,d}$  is a rational function.*



# Effectiveness

The proofs of these theorems are effective: there is an algorithm which, given  $X$ ,  $p$  and  $d$ , computes the generators and Hilbert series of  $F^{p,d}$  in finitely many steps.

Unfortunately, the algorithm involves linear algebra over a polynomial ring in  $\sim p^p$  indeterminates, and is therefore totally impractical.

## Two theorems on $\Delta$ -modules

The two theorems on syzygies are deduced from the following two abstract results about  $\Delta$ -modules:

### Theorem

*A finitely generated  $\Delta$ -module is noetherian.*

### Theorem

*The Hilbert series of a finitely generated  $\Delta$ -module is rational.*

Obtaining the theorems on syzygies from these abstract results is easy:

- By definition,  $F_n^{p,d}(V_1, \dots, V_n)$  is the homology of a certain Koszul complex  $K_n^{\bullet,d}(V_1, \dots, V_n)$ .
- It turns out that each  $K^{p,d}$  is a  $\Delta$ -module, and that the Koszul differentials are maps of  $\Delta$ -modules. Furthermore, each  $K^{p,d}$  is obviously finitely generated.
- Since  $K^{p,d}$  is noetherian, the subquotient  $F^{p,d}$  is finitely generated.
- Rationality of the Hilbert series of  $F^{p,d}$  follows.

# The ladder

To prove the two abstract results about  $\Delta$ -modules, we proceed along the following “ladder:”

modules over ordinary rings



modules over twisted commutative algebras



modules over algebras in  $\text{Sym}(\mathcal{S})$



$\Delta$ -modules

## A conjecture

Our theorems provide a lot of understanding about  $p$ -syzygies of a fixed degree, but do nothing to understand the possible degrees of  $p$ -syzygies.

For example, if one wants to understand the 5-syzygies of  $X$ , one knows that  $F^{5,d}$  is finitely generated for each  $d$ , but it could be that this  $\Delta$ -module is non-zero for infinitely many  $d$ .

### Conjecture

If  $X$  is **bounded** then  $F^{p,d} = 0$  for  $d \gg p$ .

Most (all?)  $X$  of interest are bounded.

## Known cases of the conjecture

- If  $X =$  the Segre then  $F^{p,d} = 0$  for  $d > 2p$ . This follows from the existence of a quadratic Gröbner basis (Eisenbud–Reeves–Totaro).
- If  $X =$  the tangent variety to the Segre then  $F^{1,d} = 0$  for  $d > 4$ . Due to Oeding–Raicu ([arXiv:1111.6202](https://arxiv.org/abs/1111.6202)), improving earlier bound  $d > 6$  of Landsberg–Weyman ([arXiv:math/0509388](https://arxiv.org/abs/math/0509388)).
- If  $X =$  the secant variety to the Segre then  $F^{1,d} = 0$  for  $d > 3$ . Due to Raicu ([arXiv:1011.5867](https://arxiv.org/abs/1011.5867)), confirms the GSS conjecture.
- If  $X =$  a higher secant variety of the Segre, then Draisma–Kuttler ([arXiv:1103.5336](https://arxiv.org/abs/1103.5336)) establish a topological version of the conjecture for  $p = 1$ .

## §2. Twisted commutative algebras

**Twisted commutative algebras** (tca's) are generalizations of graded rings.



## Definition 1 (sequence model)

A tca is an associative unital graded ring  $A = \bigoplus_{n \geq 0} A_n$  equipped with an action of the symmetric group  $S_n$  on  $A_n$  such that:

- The multiplication map  $A_n \otimes A_m \rightarrow A_{n+m}$  is  $S_n \times S_m$  equivariant.
- For  $x \in A_n$  and  $y \in A_m$ , we have  $yx = \tau(xy)$ , where  $\tau \in S_{n+m}$  switches  $\{1, \dots, n\}$  and  $\{n+1, \dots, n+m\}$ .

The second axiom is the **twisted commutativity axiom**.

## Definition 1 — example

Let  $U$  be a finite dimensional vector space, and put  $A_n = U^{\otimes n}$ .

This is an associative unital ring under the multiplication map  $A_n \otimes A_m \rightarrow A_{n+m}$  which concatenates pure tensors. In fact,  $A$  is the tensor algebra on  $U$ .

The group  $S_n$  acts on  $A_n$  by permuting the tensor factors.

In general,  $A$  is highly non-commutative. However, it does satisfy the twisted commutativity axiom, and is therefore a tca.

## Definition 2 (fs model)

Let  $(\text{fs})$  be the category whose objects are finite sets and whose morphisms are bijections.

A tca is a functor  $A: (\text{fs}) \rightarrow \text{Vec}$  equipped with a multiplication map

$$A_L \otimes A_{L'} \rightarrow A_{L \amalg L'}$$

which is associative, unital and commutative.

Commutativity means that the following diagram commutes:

$$\begin{array}{ccc}
 A_L \otimes A_{L'} & \longrightarrow & A_{L \amalg L'} \\
 \downarrow & & \downarrow \\
 A_{L'} \otimes A_L & \longrightarrow & A_{L' \amalg L}
 \end{array}$$

## Definition 2 — example

For a vector space  $U$  and a finite set  $L$ , define  $U^{\otimes L}$  to be the universal vector space equipped with a multi-linear map from  $\text{Fun}(L, U)$ .

If  $L$  has cardinality  $n$  then  $U^{\otimes L}$  is isomorphic to  $U^{\otimes n}$ . The advantage of the construct  $U^{\otimes L}$  is that it is functorial in  $L$ .

We think of the factors of pure tensors in  $U^{\otimes L}$  as being indexed by  $L$ .

Let  $A_L = U^{\otimes L}$ . Then  $A$  is a tca, multiplication being given by concatenation of tensors.

## Definition 3 (Schur model)

A tca is a rule which assigns to each vector space  $V$  an associative commutative unital  $\mathbf{C}$ -algebra  $A(V)$  and to each linear map of vector spaces  $V \rightarrow V'$  an algebra homomorphism  $A(V) \rightarrow A(V')$ .

There is a technical condition required that we ignore for now.

## Definition 3 — example

Let  $A(V) = \text{Sym}(V)$  be the symmetric algebra on  $V$ . If  $x_1, \dots, x_n$  is a basis of  $V$  then  $\text{Sym}(V)$  is the polynomial ring  $\mathbf{C}[x_1, \dots, x_n]$ .

Given a linear map  $V \rightarrow V'$  we get a ring homomorphism  $A(V) \rightarrow A(V')$ . It follows that  $A$  has the structure of a twisted commutative algebra.

## Definition 4 (**GL** model)

A tca is an commutative associative unital **C**-algebra equipped with an action of the group  $\mathbf{GL}(\infty) = \bigcup_{n \geq 1} \mathbf{GL}(n)$  by algebra homomorphisms.

There is a technical condition required that we ignore for now.

## Definition 4 — example

The symmetric algebra  $\text{Sym}(\mathbf{C}^\infty) = \mathbf{C}[x_1, x_2, \dots]$  is a tca.

Other examples can be obtained by taking the symmetric algebra on other representations of  $\mathbf{GL}(\infty)$ , for instance  $\text{Sym}(\wedge^2 \mathbf{C}^\infty)$  or  $\text{Sym}(\text{Sym}^2 \mathbf{C}^\infty)$ .



# Comparisons

Each definition has its advantages and shortcomings:

- Tca's in the sequence model are concrete (a single ring) and usually small (the graded pieces are finite dimensional). However, the lack of commutativity is an annoyance.
- The fs model is like the sequence model, but tends to be more natural, i.e., many constructions are simpler. The price is that it is more abstract.
- The Schur model relates tca's directly to usual commutative algebra. The rings  $A(V)$  tend to be finitely generated. However, one has to deal with the system of all the rings  $A(V)$ .
- Tca's in the **GL** model are concrete (a single ring) and commutative in the usual sense. However, they're often huge!

# Equivalences

The equivalences between the four definitions of  $tca$ 's are induced by more fundamental equivalences of certain kinds of linear data:

- Sequences of representations of the symmetric groups.
- Functors  $(fs) \rightarrow \text{Vec}$ .
- Functors  $\text{Vec} \rightarrow \text{Vec}$ .
- Representations of  $\mathbf{GL}(\infty)$ .

We will discuss each of these categories and the equivalences between them.

# Representation theory of the symmetric group

Irreducible representations of  $S_n$  are indexed by partitions of  $n$ .

We denote by  $\mathbf{M}_\lambda$  the irreducible associated to  $\lambda$ .

Our conventions are such that  $\mathbf{M}_{(n)}$  is the trivial representation and  $\mathbf{M}_{(1^n)}$  is the sign representation.

Every representation of  $S_n$  is a direct sum of irreducible representations (complete reducibility).

In other words, the category  $\text{Rep}(S_n)$  is semi-simple and its simple objects are the  $\mathbf{M}_\lambda$  with  $|\lambda| = n$ .

# The category $\text{Rep}(S_*)$

We let  $\text{Rep}(S_*)$  be the following category:

- Objects are sequences  $(V_n)_{n \geq 0}$ , where  $V_n$  is a representation of  $S_n$ .
- A morphism  $f: (V_n) \rightarrow (V'_n)$  consists of morphisms of representations  $f_n: V_n \rightarrow V'_n$  for each  $n \geq 0$ .

## Structure of $\text{Rep}(S_*)$

For a partition  $\lambda$  of  $n$ , we regard  $\mathbf{M}_\lambda$  as the object  $(V_k)$  of  $\text{Rep}(S_*)$  with  $V_k = \mathbf{M}_\lambda$  for  $k = n$  and  $V_k = 0$  otherwise.

Every object of  $\text{Rep}(S_*)$  is a direct sum of  $\mathbf{M}_\lambda$ 's.

In other words,  $\text{Rep}(S_*)$  is semi-simple, and the simple objects are the  $\mathbf{M}_\lambda$ .

# The tensor product

The tensor product of graded vector spaces  $V$  and  $V'$  is defined by

$$(V \otimes V')_n = \bigoplus_{i+j=n} V_i \otimes V'_j.$$

Let  $V = (V_n)$  and  $V' = (V'_n)$  be two objects of  $\text{Rep}(S_*)$ . Motivated by the above, we define their tensor product by

$$(V \otimes V')_n = \bigoplus_{i+j=n} \text{Ind}_{S_i \times S_j}^{S_n} (V_i \otimes V'_j).$$

There is a natural isomorphism  $V \otimes V' = V' \otimes V$ . This makes use of the element  $\tau$  which interchanges  $\{1, \dots, n\}$  with  $\{n+1, \dots, n+m\}$ .

## Tensor products of simple objects

If  $\lambda$  is a partition of  $n$  and  $\mu$  a partition of  $m$  then

$$\mathbf{M}_\lambda \otimes \mathbf{M}_\mu = \text{Ind}_{S_n \times S_m}^{S_{n+m}} (\mathbf{M}_\lambda \otimes \mathbf{M}_\mu).$$

The decomposition of this representation into irreducibles is given by the **Littlewood–Richardson rule**.

We let  $c_{\lambda,\mu}^\nu$  denote the multiplicity of  $\mathbf{M}_\nu$  in  $\mathbf{M}_\lambda \otimes \mathbf{M}_\mu$ . This is the **Littlewood–Richardson coefficient**.

## Tca's

Let  $A \in \text{Rep}(S_*)$ . Giving a map  $m: A \otimes A \rightarrow A$  is the same as giving a map of  $S_n$ -representations

$$\text{Ind}_{S_i \times S_j}^{S_n}(A_i \otimes A_j) \rightarrow A_n$$

for all  $i + j = n$ .

By Frobenius reciprocity, this is the same as giving a map of  $S_i \times S_j$  representations  $A_i \otimes A_j \rightarrow A_{i+j}$ .



## Tca's

The map  $m$  is called **commutative** if the diagram

$$\begin{array}{ccc}
 A \otimes A & \xrightarrow{m} & A \\
 \sigma \downarrow & & \parallel \\
 A \otimes A & \xrightarrow{m} & A
 \end{array}$$

commutes, where  $\sigma$  is the switching-of-factors map.

## Exercise

Show that  $m$  is commutative if and only if the maps  $A_i \otimes A_j \rightarrow A_{i+j}$  satisfy the twisted commutativity axiom.

# Tca's

A tca in the sequence model is therefore an object  $A$  of  $\text{Rep}(S_*)$  equipped with a multiplication map  $A \otimes A \rightarrow A$  which is commutative, associative and unital.

# The category $\text{Vec}^{(\text{fs})}$

Let  $\text{Vec}^{(\text{fs})}$  denote the following category:

- Objects are functors  $(\text{fs}) \rightarrow \text{Vec}$ .
- Morphisms are natural transformations of functors.

## The tensor product and tca's

We define the tensor product of  $F$  and  $G$  in  $\text{Vec}^{(\text{fs})}$  by

$$(F \otimes G)_L = \bigoplus_{L=A \amalg B} F_A \otimes G_B$$

Giving a map  $F \otimes F \rightarrow F$  is the same as giving a map  $F_A \otimes F_B \rightarrow F_{A \amalg B}$ .

Thus a tca in the fs model is an object  $A$  of  $\text{Vec}^{(\text{fs})}$  equipped with a map  $A \otimes A \rightarrow A$  satisfying the required axioms.

# Equivalence with $\text{Rep}(S_*)$

Let  $[n]$  denote the finite set  $\{1, \dots, n\}$ .

If  $F$  is an object of  $\text{Vec}^{(\text{fs})}$  then  $F_{[n]}$  carries a representation of  $\text{Aut}([n]) = S_n$ , and so  $(F_{[n]})_{n \geq 0}$  is an object of  $\text{Rep}(S_*)$ .

## Exercise

Show that the above construction defines an equivalence of categories  $\text{Vec}^{(\text{fs})} \rightarrow \text{Rep}(S_*)$  which is compatible with the tensor products.

## Polynomial functors

A functor  $F: \text{Vec} \rightarrow \text{Vec}$  is **polynomial** if for every pair of vector spaces  $V$  and  $W$ , the map

$$F: \text{Hom}(V, W) \rightarrow \text{Hom}(F(V), F(W))$$

is a polynomial map of vector spaces.

Concretely, this means that the matrix entries of  $F(f)$  are polynomial functions of those of  $f$ , for  $f \in \text{Hom}(V, W)$ .

The symmetric and exterior power functors are the basic examples.

# Schur functors

For a vector space  $V$ , let  $S_n$  act on  $V^{\otimes n}$  by permuting tensor factors.

Define  $\mathbf{S}_\lambda(V) = \text{Hom}_{S_n}(\mathbf{M}_\lambda, V^{\otimes n})$ .

## Exercise

Show that  $\mathbf{S}_\lambda$  is a polynomial functor.

We call  $\mathbf{S}_\lambda$  the **Schur functor** associated to  $\lambda$ .

We have  $\mathbf{S}_{(n)} = \text{Sym}^n$  and  $\mathbf{S}_{(1^n)} = \wedge^n$ .

# Structure of polynomial functors

Let  $F$  and  $G$  be polynomial functors. We define a functor  $F \oplus G$  by  $(F \oplus G)(V) = F(V) \oplus G(V)$ . It is a polynomial functor.

## Theorem

*Every polynomial functor is a direct sum of Schur functors.*



# Tensor products

Let  $F$  and  $G$  be polynomial functors. We define a functor  $F \otimes G$  by  $(F \otimes G)(V) = F(V) \otimes G(V)$ . It is a polynomial functor.

## Exercise

Show that the decomposition of a tensor product of Schur functors is given by the Littlewood–Richardson rule, i.e., that the multiplicity of  $\mathbf{S}_\nu$  in  $\mathbf{S}_\lambda \otimes \mathbf{S}_\mu$  is  $c_{\lambda,\mu}^\nu$ .

# Tca's

A tca in the Schur model consists of a polynomial functor  $A$  equipped with a map  $A \otimes A \rightarrow A$  such that  $A(V)$  is a commutative associative unital ring for each  $V$ .

# The category $\mathcal{S}$

Let  $\mathcal{S}$  be the category of polynomial functors  $\text{Vec} \rightarrow \text{Vec}$ .

We have an equivalence of categories  $\text{Rep}(\mathcal{S}_*) \rightarrow \mathcal{S}$  which takes  $\mathbf{M}_\lambda$  to  $\mathbf{S}_\lambda$ . This equivalence preserves the tensor products.

A tca in the Schur model is an object  $A$  of  $\mathcal{S}$  equipped with a multiplication map  $A \otimes A \rightarrow A$  satisfying the required axioms.

# Representations of $\mathbf{GL}(n)$

Let  $V$  be a representation of  $\mathbf{GL}(n)$ . Denote by  $\rho$  the homomorphism  $\mathbf{GL}(n) \rightarrow \mathbf{GL}(V)$  giving the action and choose a basis of  $V$ .

- $V$  is **algebraic** if the matrix entries of  $\rho(g)$  are rational functions of the matrix entries of  $g$ .
- $V$  is **polynomial** if the matrix entries of  $\rho(g)$  are polynomials in the matrix entries of  $g$ .

The category  $\text{Rep}(\mathbf{GL}(n))$  of algebraic representations of  $\mathbf{GL}(n)$  is semi-simple: every algebraic representation is a direct sum of irreducible algebraic representations.

# Weights

Let  $T(n) \subset \mathbf{GL}(n)$  be the subgroup of diagonal matrices. It is isomorphic to  $(\mathbf{C}^\times)^n$ . Let  $U(n) \subset \mathbf{GL}(n)$  be the group of strictly upper triangular matrices.

A **weight** is an algebraic homomorphism  $T(n) \rightarrow \mathbf{C}^\times$ . Every weight is of the form

$$[z_1, \dots, z_n] \mapsto z_1^{a_1} \cdots z_n^{a_n}$$

where the  $a_i$  are integers. The group of weights is isomorphic to  $\mathbf{Z}^n$ .

A weight  $(a_1, \dots, a_n)$  is **dominant** if  $a_1 \geq a_2 \geq \cdots \geq a_n$  and **positive** if  $a_i \geq 0$  for each  $i$ .

# Highest weight theory

## Theorem

- If  $V$  is an irreducible algebraic representation of  $\mathbf{GL}(n)$  then  $V^{U(n)}$  is one dimensional and  $T(n)$  acts on it through a dominant weight. This weight is called the **highest weight** of  $V$ .
- Two irreducible representations with the same highest weight are isomorphic.
- Every dominant weight occurs as the highest weight of some irreducible algebraic representation.
- An irreducible algebraic representation is polynomial if and only if its highest weight is positive.

## Relation to Schur functors

Let  $\lambda = (\lambda_1, \lambda_2, \dots)$  be a partition. The **length** of  $\lambda$ , denoted  $\ell(\lambda)$ , is the largest  $n$  such that  $\lambda_n$  is non-zero.

Positive dominant weights are the same thing as partitions of length at most  $n$ .

### Theorem

*Let  $\lambda$  be a partition. If  $\ell(\lambda) \leq n$  then  $\mathbf{S}_\lambda(\mathbf{C}^n)$  is the irreducible representation of  $\mathbf{GL}(n)$  with highest weight  $\lambda$ . If  $\ell(\lambda) > n$  then  $\mathbf{S}_\lambda(\mathbf{C}^n) = 0$ .*

### Corollary

Every polynomial representation of  $\mathbf{GL}(n)$  is a direct sum of  $\mathbf{S}_\lambda(\mathbf{C}^n)$ 's.

## Representations of $\mathbf{GL}(\infty)$

The above theory implies that  $\mathbf{S}_\lambda(\mathbf{C}^\infty)$  is a non-zero irreducible representation of  $\mathbf{GL}(\infty)$  for any  $\lambda$ , and that  $\mathbf{S}_\lambda(\mathbf{C}^\infty)$  and  $\mathbf{S}_\mu(\mathbf{C}^\infty)$  are isomorphic if and only if  $\lambda = \mu$ .

A representation of  $\mathbf{GL}(\infty)$  is **polynomial** if it is a direct sum of the  $\mathbf{S}_\lambda(\mathbf{C}^\infty)$ 's. We let  $\text{Rep}^{\text{pol}}(\mathbf{GL})$  denote the category of polynomial representations.

The functor  $\mathcal{S} \rightarrow \text{Rep}^{\text{pol}}(\mathbf{GL})$  given by  $F \mapsto F(\mathbf{C}^\infty)$  is an equivalence, and preserves tensor products.

### Exercise

Give a direct equivalence  $\text{Rep}^{\text{pol}}(\mathbf{GL}) \rightarrow \text{Rep}(S_*)$ .



# Tca's

A tca in the  $\mathbf{GL}$  model is a commutative associative unital  $\mathbf{C}$ -algebra  $A$  on which  $\mathbf{GL}(\infty)$  acts by algebra homomorphisms such that  $A$  forms a polynomial representation of  $\mathbf{GL}(\infty)$ .

# The category $\mathcal{V}$

To summarize, we have seen that the following four categories are equivalent:

- $\text{Rep}(S_*)$  — sequences of representations of symmetric groups.
- $\text{Vec}^{(\text{fs})}$  — functors from  $(\text{fs})$  to  $\text{Vec}$ .
- $\mathcal{S}$  — polynomial functors of  $\text{Vec}$ .
- $\text{Rep}^{\text{pol}}(\mathbf{GL})$  — polynomial representations of  $\mathbf{GL}(\infty)$ .

Furthermore, each of these categories has a tensor product and the equivalences preserve the tensor product.

We let  $\mathcal{V}$  denote an abstract tensor category equivalent to any of the above four. We use this category when we don't want to think about the details of the underlying model.

## Tca's in $\mathcal{V}$

We can define tca's independent of the choice of model as an algebra in  $\mathcal{V}$ : a tca is an object  $A$  of  $\mathcal{V}$  equipped with a commutative associative unital multiplication map  $A \otimes A \rightarrow A$ .

We can also define modules over a given tca: if  $A$  is a tca then an  $A$ -module is an object  $M$  of  $\mathcal{V}$  equipped with a multiplication map  $A \otimes M \rightarrow M$  satisfying the usual axioms.

### Exercise

Unravel the definition of “module” in the four models.

## The object $U\langle 1 \rangle$

Let  $\mathbf{C}\langle 1 \rangle$  be the following object of  $\mathcal{V}$ :

- $\text{Rep}(\mathcal{S}_*)$ : the sequence  $(V_n)$  with  $V_1 = \mathbf{C}$  and  $V_n = 0$  for  $n \neq 1$ .
- $\text{Vec}^{(\text{fs})}$ : the functor assigning  $\mathbf{C}$  to sets of cardinality 1 and 0 to all other sets.
- $\mathcal{S}$ : the identity functor.
- $\text{Rep}^{\text{pol}}(\mathbf{GL})$ : the standard representation  $\mathbf{C}^\infty$ .

For a vector space  $U$  we let  $U\langle 1 \rangle$  be  $U \otimes \mathbf{C}\langle 1 \rangle$ .

# The tca $\text{Sym}(U\langle 1 \rangle)$

The tca  $A = \text{Sym}(U\langle 1 \rangle)$  is the most important tca for us. It is given in the various models as follows:

- $\text{Rep}(S_*)$ : the tensor algebra on  $U$ .
- $\text{Vec}^{(\text{fs})}$ :  $A_L = U^{\otimes L}$ .
- $\mathcal{S}$ :  $A(V) = \text{Sym}(U \otimes V)$ .
- $\text{Rep}^{\text{pol}}(\mathbf{GL})$ :  $\text{Sym}(U \otimes \mathbf{C}^\infty)$ .

## Other polynomial tca's

Define  $\mathbf{C}\langle n \rangle$  to be  $\mathbf{C}\langle 1 \rangle^{\otimes n}$  and  $U\langle n \rangle = U \otimes \mathbf{C}\langle n \rangle$ .

Let  $A = \text{Sym}(\mathbf{C}\langle n \rangle)$ . In the **GL**-model,  $\mathbf{C}\langle n \rangle$  is  $(\mathbf{C}^\infty)^{\otimes n}$ , and  $A$  is the symmetric algebra on this representation.

### Exercise

Work in the fs model and suppose  $n = 2$ . Show that  $A_L$  has a natural basis consisting of the directed graphs on  $L$ . What happens for  $n > 2$ ?

## Finite generation of tca's

A tca  $A$  is **finitely generated** if it is a quotient of  $\text{Sym}(F)$  for some finite length object  $F$  of  $\mathcal{V}$ .

A tca  $A$  is **finitely generated in degree  $n$**  if it is a quotient of  $\text{Sym}(U\langle n \rangle)$  for some finite dimensional vector space  $U$ .

## Finite generation of tca's

In the **GL**-model,  $A$  is finitely generated if and only if there exist finitely many elements  $x_1, \dots, x_n$  such that  $A$  is generated as an algebra by the elements  $gx_i$  for  $1 \leq i \leq n$  and  $g \in \mathbf{GL}(\infty)$ .

In the Schur model, if  $A$  is finitely generated as a tca then  $A(V)$  is finitely generated as a **C**-algebra for all finite dimensional  $V$ .

### Exercise

Give an example of a tca  $A$  which is not finitely generated but for which  $A(V)$  is finitely generated as a **C**-algebra for all finite dimensional  $V$ .



## Finite generation of modules

An  $A$ -module is **finitely generated** if it is a quotient of  $A \otimes F$  for some finite length object  $F$  of  $\mathcal{V}$ .

In the **GL**-model, the  $A$ -module  $M$  is finitely generated if there exist finitely many elements  $x_1, \dots, x_n$  such that  $M$  is generated as an  $A$ -module by the  $gx_i$  for  $1 \leq i \leq n$  and  $g \in \mathbf{GL}(\infty)$ .

In the Schur model, if  $M$  is a finitely generated  $A$ -module then  $M(V)$  is a finitely generated  $A(V)$ -module for all  $V$ . The converse does not hold, as before.

# Noetherianity

An  $A$ -module  $M$  is **noetherian** if every ascending chain of submodules stabilizes. Equivalently, every submodule of  $M$  is finitely generated.

The tca  $A$  is **noetherian** if every finitely generated  $A$ -module is noetherian.

Note: most  $A$ -modules are not quotients of a direct sum of  $A$ 's. Thus noetherianity of  $A$  as a tca does not necessarily follow from noetherianity of  $A$  as an  $A$ -module.

## Question

If  $A$  is noetherian as an  $A$ -module is  $A$  noetherian as a tca?

# Boundedness

Recall that  $\ell(\lambda)$  denotes the length of the partition  $\lambda$ .

For an object  $M$  of  $\mathcal{V}$ , we define

$$\ell(M) = \sup\{\ell(\lambda) \mid \mathbf{M}_\lambda \text{ is a constituent of } M\}.$$

We say that  $M$  is **bounded** if  $\ell(M) < \infty$ .

Any sub or quotient of a bounded object is bounded.

# Boundedness

An important consequence of the Littlewood–Richardson rule is the identity  $\ell(M \otimes N) = \ell(M) + \ell(N)$ . Therefore:

## Proposition

*The tensor product of bounded objects is bounded.*

## Corollary

*A finitely generated module over a bounded tca is bounded.*

## Boundedness principle

If  $M$  is a bounded object, with any kind of extra structure, then one can recover  $M$  completely from  $M(\mathbf{C}^n)$  if  $n$  is sufficiently large.

This principle is very useful, since  $M(\mathbf{C}^n)$  tends to lie in the realm of familiar commutative algebra.

Here is one instance of the boundedness principle:

### Proposition

Suppose  $\ell(M) \leq n$ . Then  $N \mapsto N(\mathbf{C}^n)$  defines a bijection

$$\{\text{subobjects of } M\} \rightarrow \{\mathbf{GL}(n)\text{-subrepresentations of } M(\mathbf{C}^n)\}.$$

### Proof.

Write  $M = \bigoplus_{\ell(\lambda) \leq n} V_\lambda \otimes \mathbf{S}_\lambda$  where  $V_\lambda$  is a multiplicity space. To give a subobject of  $M$  amounts to giving a subspace of  $V_\lambda$  for each  $\lambda$ .

We have  $M(\mathbf{C}^n) = \bigoplus_{\ell(\lambda) \leq n} V_\lambda \otimes \mathbf{S}_\lambda(\mathbf{C}^n)$ . By the length condition, the representations  $\mathbf{S}_\lambda(\mathbf{C}^n)$  are irreducible and pairwise non-isomorphic. It follows that giving a  $\mathbf{GL}(n)$ -subrepresentation of  $M(\mathbf{C}^n)$  is also the same as giving a subspace of  $V_\lambda$  for each  $\lambda$ . □

Here is another, closely related, instance:

### Proposition

*Suppose  $M$  is an  $A$ -module and  $\ell(M) \leq n$ . Then  $N \mapsto N(\mathbf{C}^n)$  defines a bijection*

$$\{A\text{-submodules of } M\} \rightarrow \{\mathbf{GL}(n)\text{-stable } A(\mathbf{C}^n)\text{-submodules of } M(\mathbf{C}^n)\}.$$

### Exercise

Prove this. (The proof is similar to that of the previous proposition.)

## Theorem

*A finitely generated bounded tca is noetherian.*

## Proof.

Suppose  $A$  is finitely generated and bounded. Let  $M$  be a finitely generated  $A$ -module and put  $n = \ell(M)$ . Then  $N \mapsto N(\mathbf{C}^n)$  defines an injection

$$\{A\text{-submodules of } M\} \rightarrow \{A(\mathbf{C}^n)\text{-submodules of } M(\mathbf{C}^n)\}.$$

Since  $A(\mathbf{C}^n)$  is a finitely generated  $\mathbf{C}$ -algebra, it is noetherian. Since  $M$  is a finitely generated  $A$ -module,  $M(\mathbf{C}^n)$  is a finitely generated  $A(\mathbf{C}^n)$ -module, and therefore noetherian. It follows that the right side above satisfies ACC, and so the left side does as well. □



## Theorem

The tca  $A = \text{Sym}(U\langle 1 \rangle)$  is bounded; in fact,  $\ell(A) = \dim(U)$ .

## Proof.

We have

$$A(V) = \text{Sym}(U \otimes V) = \bigoplus_{\lambda} \mathbf{S}_{\lambda}(U) \otimes \mathbf{S}_{\lambda}(V),$$

where the sum is over all partitions. This is the **Cauchy formula**. Since  $\mathbf{S}_{\lambda}(U) = 0$  if  $\ell(\lambda) > \dim(U)$ , only those  $\mathbf{S}_{\lambda}(V)$  with  $\ell(\lambda) \leq \dim(U)$  are constituents of  $A$ . □

## Exercise

Prove the Cauchy formula.

Since a tca finitely generated in degree 1 is a quotient of  $\text{Sym}(U\langle 1 \rangle)$ , we find:

### Corollary

*A tca finitely generated in degree 1 is noetherian.*

The boundedness principle is the primary approach to studying bounded objects, but it does not trivialize all problems.

For example, consider the problem of determining the free resolution of an  $A$ -module  $M$ , where  $A = \text{Sym}(\mathbf{C}\langle 1 \rangle)$ .

The free resolution of  $M(\mathbf{C}^n)$  is finite since  $A(\mathbf{C}^n) = \mathbf{C}[x_1, \dots, x_n]$ , but the resolution of  $M$  itself is typically infinite.

Thus, even though the resolution of  $M$  can be recovered from  $M(\mathbf{C}^n)$  in principle, it is not the case that the resolution of  $M(\mathbf{C}^n)$  immediately gives the resolution of  $M$ .

See [SS2] for a detailed study of resolutions of  $A$ -modules.

## Hilbert series

Let  $M$  be an object of  $\mathcal{V}$ , taken in the sequence model. We define the **Hilbert series** of  $M$  by

$$H_M(t) = \sum_{n=0}^{\infty} \dim(M_n) \frac{t^n}{n!}.$$

Obviously, this is only defined when each  $M_n$  is finite dimensional.

### Exercise

Show that  $H_{M \otimes N}(t) = H_M(t)H_N(t)$ .

## An example of Hilbert series

Let  $A = \text{Sym}(U\langle 1 \rangle)$ , where  $U$  has dimension  $d$ . In the sequence model,  $A_n = U^{\otimes n}$  and so  $\dim(A_n) = d^n$ .

We therefore have

$$H_A(t) = \sum_{n \geq 0} d^n \frac{t^n}{n!} = e^{dt}.$$

## Another example of Hilbert series

Let  $A = \text{Sym}(U\langle 1 \rangle)$ , where  $U$  has dimension  $d$ . Let  $B$  be the quotient of  $A$  by the ideal generated by  $(n+1) \times (n+1)$  minors. Thus  $B(\mathbf{C}^\infty)$  is the coordinate ring of the rank  $n$  determinantal variety in  $\text{Hom}(U, \mathbf{C}^\infty)$ .

We have a decomposition

$$B(\mathbf{C}^\infty) = \bigoplus_{\ell(\lambda) \leq n} \mathbf{S}_\lambda(U) \otimes \mathbf{S}_\lambda(\mathbf{C}^\infty).$$

It follows that

$$H_B(t) = \sum_{\ell(\lambda) \leq n} \dim(\mathbf{S}_\lambda(U)) \dim(\mathbf{M}_\lambda) \frac{t^{|\lambda|}}{|\lambda|!}.$$

One can attempt to compute this sum using the hook length and hook content formulas. We will give a better way.

# The main theorem on Hilbert series

## Theorem

Let  $M$  be a finitely generated module over a tca finitely generated in degree 1. Then  $H_M(t)$  is a polynomial in  $t$  and  $e^t$ .

- Define  $H_M^*(t)$  like  $H_M(t)$  but without the factorials. The theorem is equivalent to the statement that  $H_M^*(t)$  is a rational function whose poles are of the form  $1/k$  with  $k$  a positive integer.
- The series  $H_M(t)$  forgets a lot of information about  $M$ , namely the  $S_n$  action on each piece. It is possible to define an **enhanced Hilbert series** which records this information. There is a corresponding rationality result for it. See [SS2].

## Equivariant Hilbert series

Let  $G$  be a group, and let  $K(G)$  denote the representation ring of  $G$ .

Suppose  $M$  is a non-negatively graded representation of  $G$ . We define the  **$G$ -equivariant Hilbert series** of  $M$  by

$$H_{M,G}(t) = \sum_{n=0}^{\infty} [M_n] t^n,$$

where  $[M_n]$  denotes the class of  $M_n$  in  $K(G)$ . This series belongs to  $K(G)[[t]]$ .

Similarly, if  $M$  is an object of  $\mathcal{V}$  with an action of  $G$ , we have the Hilbert series  $H_{M,G}(t)$  (with factorials) and  $H_{M,G}^*(t)$  (without factorials).



# Notation

- Let  $T = T(n)$  be the diagonal torus in  $\mathbf{GL}(n)$ .
- Let  $\alpha_i: T \rightarrow \mathbf{C}^\times$ , for  $1 \leq i \leq n$ , be the standard projectors.
- We identify  $K(T)$  with  $\mathbf{Z}[\alpha_i^{\pm 1}]$ .
- We let  $f \mapsto \bar{f}$  be the involution of  $K(T)$  which sends  $\alpha_i$  to  $\alpha_i^{-1}$ .
- We put  $|f|^2 = f\bar{f}$ .
- We let  $\int_T d\alpha: K(T) \rightarrow \mathbf{Z}$  be the map which sends 1 to 1 and all other monomials to 0.
- We put  $\Delta(\alpha) = \prod_{i < j} (\alpha_i - \alpha_j)$ .

# Weyl's integration formula

Suppose  $\chi_1$  and  $\chi_2$  are the characters of irreducible algebraic representations of  $\mathbf{GL}(n)$ , regarded as elements of  $K(T)$ .

We have the following formula of Weyl:

$$\frac{1}{n!} \int_T \chi_1 \bar{\chi}_2 |\Delta|^2 d\alpha = \begin{cases} 1 & \text{if } \chi_1 = \chi_2 \\ 0 & \text{otherwise} \end{cases}$$

## The key formula

By the boundedness principle, we can recover  $H_M(t)$  from  $M(\mathbf{C}^n)$  for  $n$  sufficiently large, assuming  $M$  is bounded. The following result makes this explicit:

### Proposition

Let  $M \in \mathcal{V}$  satisfy  $\ell(M) \leq n$ . Then

$$H_M(t) = \frac{1}{n!} \int_T H_{M(\mathbf{C}^n), T}(t; \alpha) \exp\left(\sum \bar{\alpha}_i\right) |\Delta|^2 d\alpha.$$

# Proof of the key formula

- Write  $M = \bigoplus V_\lambda \otimes \mathbf{S}_\lambda$ , where  $V_\lambda$  is a multiplicity space.
- $H_M(t) = \sum \dim(V_\lambda) \dim(\mathbf{M}_\lambda) \frac{t^{|\lambda|}}{|\lambda|!}$ .
- $H_{M(\mathbf{C}^n), T}(t; \alpha) = \sum \dim(V_\lambda) (\text{the character of } \mathbf{S}_\lambda(\mathbf{C}^n)) t^{|\lambda|}$ .
- Put  $f(\alpha) = \sum \frac{1}{|\lambda|!} \dim(\mathbf{M}_\lambda) \cdot (\text{the character of } \mathbf{S}_\lambda(\mathbf{C}^n))$ .
- Weyl's integration formula gives

$$H_M(t) = \frac{1}{n!} \int_T H_{M(\mathbf{C}^n), T}(t; \alpha) f(\bar{\alpha}) |\Delta|^2 d\alpha.$$

# Proof of the key formula (cont'd)

- Schur–Weyl gives a decomposition

$$(\mathbf{C}^n)^{\otimes k} = \bigoplus_{|\lambda|=k} \mathbf{M}_\lambda \otimes \mathbf{S}_\lambda(\mathbf{C}^n).$$

- The character of the left side is  $(\sum \alpha_i)^k$ .
- " right side is  $\sum \dim(\mathbf{M}_\lambda) \cdot (\text{the character of } \mathbf{S}_\lambda(\mathbf{C}^n))$ .
- Dividing by  $k!$  and summing over  $k$  gives  $f(\alpha) = \exp(\sum \alpha_i)$ .

## Rationality of equivariant Hilbert series

Let  $A_0$  be the polynomial ring  $\text{Sym}(U \otimes \mathbf{C}^n)$ . The group  $T$  acts on  $A_0$  through its action on  $\mathbf{C}^n$ .

### Lemma

Let  $M_0$  be a finitely generated  $A_0$ -module with a compatible action of  $T$ . Then

$$H_{M_0, T}(t; \alpha) = \frac{p(t; \alpha)}{\prod_{i=1}^n (1 - \alpha_i t)^d}$$

where  $p$  is a polynomial and  $d = \dim(U)$ .

### Exercise

Prove the lemma.

## Proof of main theorem

Let  $A = \text{Sym}(U\langle 1 \rangle)$  and let  $M$  be a finitely generated  $A$ -module. Put  $n = \ell(M)$  and  $d = \dim(U)$ .

Combining the previous lemma and the key formula, we obtain

$$H_M(t) = \int_T \frac{p(t; \alpha)}{\prod_{i=1}^n (1 - \alpha_i t)^d} \exp\left(\sum \bar{\alpha}_i\right) d\alpha$$

for some polynomial  $p$ . (We have absorbed the  $n!$  and  $\Delta$  into  $p$ .)

It is now an elementary computation to show that this integral is a polynomial in  $t$  and  $e^t$ .

## Revisiting the second example

Recall  $B$  is the quotient of  $A = \text{Sym}(U\langle 1 \rangle)$  by  $(n+1) \times (n+1)$  minors. We have  $\ell(B) = n$  and

$$B(\mathbf{C}^n) = \bigoplus_{\ell(\lambda) \leq n} \mathbf{S}_\lambda(U) \otimes \mathbf{S}_\lambda(\mathbf{C}^n) = \text{Sym}(U \otimes \mathbf{C}^n).$$

We have  $H_{B(\mathbf{C}^n), T}(t; \alpha) = \prod (1 - \alpha_i t)^{-d}$ , where  $d = \dim(U)$ . The key formula gives

$$H_B(t) = \frac{1}{n!} \int_T \frac{|\Delta(\alpha)|^2}{\prod_{i=1}^n (1 - \alpha_i t)^d} \exp\left(\sum \bar{\alpha}_i\right) d\alpha.$$



## An equivariant form of the main theorem

Let  $G$  be a reductive group, let  $U$  be a representation of  $G$  and put  $A = \text{Sym}(U\langle 1 \rangle)$ .

### Theorem

*Let  $M$  be a finitely generated  $A$ -module with a compatible action of  $G$ . Then  $H_{M,G}^*(t)$  is a rational function.*

- Definition of rational: can multiply by a polynomial  $q \in K(G)[t]$  with  $q(0) = 1$  and get a polynomial.
- The proof of this theorem is similar to that of the non-equivariant version, but more complicated.
- Rationality of  $H_{M,G}^*(t)$  does not imply anything nice about  $H_{M,G}(t)$ .

# Open problems

## Question

Are finitely generated tca's noetherian?

- The tca  $A = \text{Sym}(\text{Sym}^2(\mathbf{C}^\infty))$  satisfies ACC for ideals, and is almost certainly noetherian (though this is not proved). Note:  $A = \mathbf{C}[x_{ij}]$  with  $i \leq j$ . This ring is **not** noetherian as an  $S_\infty$ -ring.
- To show that, e.g.,  $A = \text{Sym}(\wedge^3(\mathbf{C}^\infty))$  is noetherian, one might first try to show that  $\text{Spec}(A)$  is noetherian as a topological space. This would involve understanding the structure of  $\mathbf{GL}(\infty)$  orbits on the variety  $\wedge^3(\mathbf{C}^\infty)$ .

## Open problems (cont'd)

Some other problems:

- How does the Hilbert series of an  $A$ -module relate to the structure of the module?
- Does a noetherian tca have finitely many minimal prime ideals?  
Known in the bounded case.
- To what extent does primary decomposition hold for tca's?
- Is there a good dimension theory for tca's?
- What can one say about Hilbert series in the unbounded case? The Hilbert series of  $\text{Sym}(\mathbf{C}\langle n \rangle)$  is  $e^{t^n}$ , so one might hope for positive results.
- Relationship between  $\mathbf{GL}(\infty)$  noetherianity and  $S_\infty$  noetherianity?

# FI-modules

Church–Ellenberg–Farb ([arXiv:1204.4533](https://arxiv.org/abs/1204.4533)) introduce algebraic objects which they call “FI-modules.” They give many examples of these modules: for instance, the cohomology of certain configuration spaces (as the number of points varies) forms an FI-module.

In fact, an FI-module is just a module over the tca  $\mathrm{Sym}(\mathbf{C}\langle 1 \rangle)$ , viewed in the sequence model. See [SS1] for details.

## EFW resolutions

Eisenbud–Fløystan–Weyman ([arXiv:0709.1529v5](https://arxiv.org/abs/0709.1529v5)) constructed pure resolutions, which was a key step in the proof of the Boij–Söderberg conjecture. Their construction can actually be seen as the computation of the projective resolutions of certain finite length modules over the tca  $\text{Sym}(\mathbf{C}\langle 1 \rangle)$ . See [SS1] for details.

# Representation theory of infinite rank groups

We have been working with the category of polynomial representations of  $\mathbf{GL}(\infty)$ . One can define a larger category of algebraic representations of  $\mathbf{GL}(\infty)$ , or of other groups such as  $\mathbf{O}(\infty)$ . These categories are not semi-simple, in general.

In forthcoming work, S. Sam and I relate these categories to tca's. For instance, we show that  $\text{Rep}(\mathbf{O}(\infty))$  is equivalent to the category of finite length modules over the tca  $\text{Sym}(\text{Sym}^2(\mathbf{C}^\infty))$ . This allows us to use tools from commutative algebra, such as the Koszul complex, to study representations.

### §3. Algebras in $\text{Sym}(\mathcal{S})$

## Multivariate polynomial functors

A functor  $F: \text{Vec}^n \rightarrow \text{Vec}$  is called **polynomial** if for any  $(V_1, \dots, V_n)$  and  $(V'_1, \dots, V'_n)$ , the induced map

$$\text{Hom}(V_1, V'_1) \times \cdots \times \text{Hom}(V_n, V'_n) \rightarrow \text{Hom}(F(V_1, \dots, V_n), F(V'_1, \dots, V'_n))$$

induced by  $F$  is a polynomial map of vector spaces.

If  $\lambda_1, \dots, \lambda_n$  are partitions then

$$(V_1, \dots, V_n) \mapsto \mathbf{S}_{\lambda_1}(V_1) \otimes \cdots \otimes \mathbf{S}_{\lambda_n}(V_n)$$

is a polynomial functor.

### Proposition

*Any polynomial functor is a direct sum of these.*



## Equivariant functors

Let  $F: \text{Vec}^n \rightarrow \text{Vec}$  be a functor. An  $S_n$ -**equivariant structure** on  $F$  consists of giving for each  $\sigma \in S_n$  an isomorphism of functors

$$\sigma_*: F(V_1, \dots, V_n) \rightarrow F(V_{\sigma(1)}, \dots, V_{\sigma(n)})$$

which satisfy an obvious compatibility condition (roughly  $(\sigma\tau)_* = \sigma_*\tau_*$ ).

Not all functors admit an  $S_n$ -equivariant structure. For instance,  $(V_1, V_2) \mapsto \text{Sym}^2(V_1) \otimes \wedge^2(V_2)$  does not, since the roles of  $V_1$  and  $V_2$  are asymmetrical.

A functor can admit multiple equivariant structures. For instance, if  $F(V_1, \dots, V_n)$  is a constant functor, equal to some fixed vector space  $W$  regardless of its input, then giving an  $S_n$ -equivariant structure on  $F$  is the same as giving a representation of  $S_n$  on  $W$ .

## The sequence model for $\text{Sym}(\mathcal{S})$

The sequence model for  $\text{Sym}(\mathcal{S})$  is the following category:

- Objects are sequences  $(F_n)_{n \geq 0}$ , where  $F_n: \text{Vec}^n \rightarrow \text{Vec}$  is an  $S_n$ -equivariant polynomial functor.
- A morphism  $f: (F_n) \rightarrow (F'_n)$  consists of morphisms of  $S_n$ -equivariant functors  $f_n: F_n \rightarrow F'_n$  for each  $n$ .

This is a souped-up version of the category  $\text{Rep}(S_*)$ .

Recall that a  $\Delta$ -module consists of a rule assigning to each  $(V_1, \dots, V_n)$  a vector space  $F_n(V_1, \dots, V_n)$  with the additional structure (C1)–(C3). (C1) is simply the structure of a functor on  $F_n$ , while (C2) is an  $S_n$ -equivariant structure on  $S_n$ . Thus a  $\Delta$ -module defines an object of  $\text{Sym}(\mathcal{S})$  (though it has even more structure, namely (C3)).

# The category $\text{Vec}^f$

Let  $\text{Vec}^f$  be the category of finite families of vector spaces:

- Objects are pairs  $(V, L)$  where  $L$  is a finite set and  $V$  assigns to each  $x \in L$  a vector space  $V_x$ .
- A morphism  $(V, L) \rightarrow (V', L')$  consists of a bijection  $\varphi: L' \rightarrow L$  and for each  $x \in L$  a linear map  $V_x \rightarrow V_{\varphi^{-1}(x)}$ .

The category  $\text{Vec}^n$  is identified with the subcategory of  $\text{Vec}^f$  where  $L = [n] = \{1, \dots, n\}$ .

## The fs model for $\text{Sym}(\mathcal{S})$

A functor  $F: \text{Vec}^f \rightarrow \text{Vec}$  is **polynomial** if its restriction to each  $\text{Vec}^n$  is polynomial.

The fs model for  $\text{Sym}(\mathcal{S})$  is the category of all polynomial functors  $\text{Vec}^f \rightarrow \text{Vec}$ . Morphisms are natural transformations of functors. This is a souped-up version of  $\text{Vec}^{(\text{fs})}$ .

### Exercise

Let  $F: \text{Vec}^f \rightarrow \text{Vec}$  be a polynomial functor, and define  $F_n$  to be the restriction of  $F$  to  $\text{Vec}^n$ . Show that  $F_n$  is naturally an  $S_n$ -equivariant functor, and  $F \mapsto (F_n)_{n \geq 0}$  defines an equivalence between the fs and sequence models of  $\text{Sym}(\mathcal{S})$ .

## The tensor product on $\text{Sym}(\mathcal{S})$

Let  $F, G: \text{Vec}^f \rightarrow \text{Vec}$  be polynomial functors. Define

$$(F \otimes G)(V, L) = \bigoplus_{L=A \amalg B} F(V|_A, A) \otimes G(V|_B, B).$$

This is a direct generalization of the tensor product on  $\text{Vec}^{(\text{fs})}$ .

### Example

Suppose  $F_1 = \text{Sym}^2$  and  $F_n = 0$  for  $n \neq 1$  and  $G_1 = \wedge^2$  and  $G_n = 0$  for  $n \neq 1$ . Then

$$(F \otimes G)(V, [2]) = \text{Sym}^2(V_1) \otimes \wedge^2(V_2) \oplus \wedge^2(V_1) \otimes \text{Sym}^2(V_2),$$

and  $(F \otimes G)(V, L) = 0$  if  $\#L \neq 2$ . Note: the Littlewood–Richardson rule never comes in to play!

# Algebras in $\text{Sym}(\mathcal{S})$

Since  $\text{Sym}(\mathcal{S})$  has a tensor product, we have a notion of (commutative, associative, unital) algebras in  $\text{Sym}(\mathcal{S})$ . Explicitly, an algebra is a polynomial functor  $A: \text{Vec}^f \rightarrow \text{Vec}$  equipped with a multiplication map

$$A(V, L) \otimes A(V', L') \rightarrow A(V \amalg V', L \amalg L').$$

for all  $(V, L)$  and  $(V', L')$  in  $\text{Vec}^f$ . Such algebras are souped-up versions of tca's.

## An example of an algebra

Let  $F \in \mathcal{S}$  be a polynomial functor, regarded as an object of  $\text{Sym}(\mathcal{S})$  in degree 1. Let  $A = \text{Sym}(F)$  be the symmetric algebra on  $F$ .

### Exercise

Show that

$$A(V, L) = \bigotimes_{x \in L} F(V_x)$$

The multiplication map  $A(V, L) \otimes A(V', L') \rightarrow A(V \amalg V', L \amalg L')$  is just concatenation of tensors.

This algebra is the analogue in  $\text{Sym}(\mathcal{S})$  of the tca  $\text{Sym}(U\langle 1 \rangle)$ . In fact, if  $F$  is the constant functor  $F(V) = U$  then  $A$  is the constant functor  $(V, L) \mapsto U^{\otimes L}$ , and so  $A = \text{Sym}(U\langle 1 \rangle)$ .

## Evaluation on constant families

Let  $U$  be a vector space. Denote by  $U_L$  the constant family  $(V, L)$  where  $V_x = U$  for all  $x \in L$ . We denote by  $i: (\text{fs}) \rightarrow \text{Vec}^f$  the functor  $L \mapsto U_L$ .

If  $F: \text{Vec}^f \rightarrow \text{Vec}$  is a polynomial functor then  $L \mapsto F(U_L)$  is an object of  $\text{Vec}^{(\text{fs})}$ . We denote this by  $i^*(F)$ . We thus have a functor  $i^*: \text{Sym}(\mathcal{S}) \rightarrow \mathcal{V}$ .

The functor  $i^*$  is compatible with tensor products, and so takes algebras to algebras. The algebra  $\text{Sym}(F)$  goes to the tca  $\text{Sym}(F(U)\langle 1 \rangle)$ .

Note that  $i^*(F)$  always carries an action of  $\mathbf{GL}(U)$ .



## Vertical boundedness

Let  $F: \text{Vec}^n \rightarrow \text{Vec}$  be a polynomial functor. We can decompose  $F$  as a direct sum of tensor products of Schur functors  $\mathbf{S}_\lambda$ . Define  $L(F)$  as the supremum of  $\ell(\lambda)$  over those  $\lambda$  for which  $\mathbf{S}_\lambda$  occurs in this decomposition.

For an object  $F = (F_n)$  of  $\text{Sym}(\mathcal{S})$ , define  $L(F)$  as the supremum of the  $L(F_n)$ . We say  $F$  is **vertically bounded** if  $L(F) < \infty$ .

### Example

Let  $F \in \mathcal{S}$  and let  $A = \text{Sym}(F)$ . We saw that  $A(V, L) = \bigotimes F(V_x)$ . Thus  $L(A) = \ell(F)$ . In particular, if  $F$  has finite length then  $A$  is vertically bounded.

## Failure of the boundedness principle

Let  $F \in \text{Sym}(\mathcal{S})$  and let  $U$  be a vector space with  $\dim(U) \geq L(F)$ . One might hope for a “boundedness principle” where one does not lose information by evaluating on  $U_L$ . However, this is not the case.

For example, suppose  $F, G \in \mathcal{S}$  and let  $A: \text{Vec}^2 \rightarrow \text{Vec}$  be given by

$$A(V_1, V_2) = F(V_1) \otimes G(V_2) \oplus G(V_1) \otimes F(V_2).$$

We regard  $A \in \text{Sym}(\mathcal{S})$ .

We have  $A(U_L) = (F(U) \otimes G(U))^{\oplus 2}$  if  $\#L = 2$  and  $A(U_L) = 0$  otherwise. Thus one can only  $F \otimes G \in \mathcal{S}$  from  $A(U_L)$ , and not  $F$  and  $G$  individually.

Despite the failure of the boundedness principle in general, one does have the following result:

### Proposition

*Let  $M$  be an object of  $\text{Sym}(\mathcal{S})$  and let  $U$  be a vector space with  $\dim(U) \geq L(M)$ . If  $N$  and  $N'$  are subobjects of  $M$  such that  $i^*(N) = i^*(N')$  then  $N = N'$ .*

### Proof.

Decompose  $M$  as  $\bigoplus V_{\lambda_1, \dots, \lambda_n} \otimes \mathbf{S}_{\lambda_1} \otimes \cdots \otimes \mathbf{S}_{\lambda_n}$  where the  $V$ 's are multiplicity spaces. The subobjects  $N$  and  $N'$  correspond to subspaces of the multiplicity spaces. The point is simply that none of the Schur functors appearing in  $M$  vanish on  $U$ , and so one can check for equality of subspaces of multiplicity spaces after evaluating on  $U$ .  $\square$

## Theorem

*An algebra in  $\text{Sym}(\mathcal{S})$  finitely generated in degree 1 is noetherian.*

## Proof.

Let  $A = \text{Sym}(F)$  where  $F \in \mathcal{S}$  has finite length. It suffices to show  $A$  is noetherian. Let  $M$  be a finitely generated  $A$ -module. Choose a vector space  $U$  with  $\dim(U) \geq L(M)$  and put  $A' = i^*(A)$  and  $M' = i^*(M)$ . Then  $A'$  is a tca and  $M'$  is an  $A'$ -module. We have a map

$$\{A\text{-submodules of } M\} \rightarrow \{A'\text{-submodules of } M'\}$$

given by  $N \mapsto i^*(N)$ . This is injective by the previous proposition. The right side satisfies ACC since  $A'$  is noetherian. Thus the left side satisfies ACC and  $A$  is noetherian.  $\square$

## Analysis of proof

The tca  $A'$  in the above proof is  $\text{Sym}(F(U)\langle 1 \rangle)$ . We deduced noetherianity of  $A$  from that of  $A'$ .

Recall that we deduced noetherianity of  $A'$  from that of an ordinary polynomial ring by a similar argument. We have  $\ell(A') = \dim(F(U))$ , and so by the boundedness principle one does not lose information by evaluating  $A'$  on a vector space  $V$  of this dimension. Noetherianity of  $A'(V) = \text{Sym}(V \otimes F(U))$  implies that of  $A'$ .

So ultimately, we work with a polynomial ring in  $\dim(F(U))^2$  variables. If, e.g.,  $F$  is the  $p$ th tensor power functor then  $L(A) = \ell(F) = p$  and thus  $\dim(U) = p$ . So  $F(U)$  has dimension  $p^p$ , and we require  $p^{2p}$  variables!

Note also that the tca  $A'$  appearing in the proof is naturally given in the fs model, but our proof that  $A'$  is noetherian naturally uses the Schur model. So it is important to be able to switch between these models.

## Definition of the Hilbert series

Let  $F: \text{Vec}^n \rightarrow \text{Vec}$  be a polynomial functor. Decompose  $F$  as

$$F(V_1, \dots, V_n) = \bigoplus_{i \in I} \mathbf{S}_{\lambda_{1,i}}(V_1) \otimes \cdots \otimes \mathbf{S}_{\lambda_{n,i}}(V_n)$$

over some index set  $I$ . Define polynomials in variables  $s_\lambda$  by

$$H_F^* = \sum_{i \in I} s_{\lambda_{1,i}} \cdots s_{\lambda_{n,i}}, \quad H_F = \frac{1}{n!} H_F^*.$$

In general,  $F$  cannot be recovered from  $H_F$ . For example, if  $H_F^* = s_\lambda s_\mu$  then  $F(V_1, V_2)$  can either be  $\mathbf{S}_\lambda(V_1) \otimes \mathbf{S}_\mu(V_2)$  or  $\mathbf{S}_\mu(V_1) \otimes \mathbf{S}_\lambda(V_2)$ .

However, if  $F$  admits an  $S_n$ -equivariant structure, then it can be recovered from  $H_F$ .

## Definition of the Hilbert series (cont'd)

Let  $F = (F_n)$  be an object of  $\text{Sym}(\mathcal{S})$ , taken in the sequence model. We define the **Hilbert series** of  $F$  by

$$H_F = \sum_{n \geq 0} H_{F_n}, \quad H_F^* = \sum_{n \geq 0} H_{F_n}^*.$$

These are formal power series in the variables  $s_\lambda$ .

One can recover each  $F_n$ , as a functor  $\text{Vec}^n \rightarrow \text{Vec}$ , from  $H_F$ . However, the data of the  $S_n$ -equivariance is lost.

In general,  $H_F$  can involve infinitely many variables. However, in cases of interest, all the partitions appearing in  $F$  will have the same size, and so  $H_F$  will only involve finitely many of the  $s_\lambda$ .

## An example of Hilbert series

Let  $A = \text{Sym}(\mathbf{S}_\lambda)$ . Then  $A(V, L) = \bigotimes_{x \in L} \mathbf{S}_\lambda(V_x)$  and so

$$A_n(V_1, \dots, V_n) = \mathbf{S}_\lambda(V_1) \otimes \cdots \otimes \mathbf{S}_\lambda(V_n).$$

We therefore have  $H_{A_n}^* = s_\lambda^n$  and so

$$H_A^* = \frac{1}{1 - s_\lambda}, \quad H_A = e^{s_\lambda}.$$



# Main theorem on Hilbert series

## Theorem

*Let  $M$  be a finitely generated module over an algebra  $A$  in  $\text{Sym}(\mathcal{S})$  which is finitely generated in degree 1. Then  $H_M^*$  is a rational function in the  $s_\lambda$ .*

## Question

Is it the case that  $H_M$  is a polynomial in the  $s_\lambda$  and the  $e^{s_\lambda}$ ? This is not implied by the theorem, but holds for all examples I know.

## Sketch of proof

Let  $A$  and  $M$  be as in the statement of the theorem. Choose  $U$  with  $\dim(U) \geq L(M)$  and define  $A' = i^*(A)$  and  $M' = i^*(M)$ . Then  $A'$  is a tca finitely generated in degree 1 and  $M'$  is a finitely generated  $A'$ -module.

The group  $G = \mathbf{GL}(U)$  acts on  $A'$  and  $M'$ . We can therefore consider the  $G$ -equivariant Hilbert series  $H_{M',G}^*$ , which is a power series with coefficients in  $K(G)$ . This is rational by earlier results.

Unfortunately, we cannot recover  $H_M^*$  from  $H_{M',\mathbf{GL}(U)}^*$ . We have already seen the reason: the Schur functors appearing in  $M$  are multiplied together in  $M'$ .

## Sketch of proof (cont'd)

Fortunately, a modification of this idea does work. Let  $U_1, \dots, U_n$  be copies of  $U$  and let  $G = \mathbf{GL}(U_1) \times \cdots \times \mathbf{GL}(U_n)$ . Define a tca  $A'$  by

$$A'_L = \bigoplus_{L=L_1 \amalg \cdots \amalg L_n} A(U_{L_1}) \otimes \cdots \otimes A(U_{L_n})$$

and define  $M'$  similarly.

As before,  $G$  acts on  $A'$  and  $M'$  and the equivariant Hilbert series  $H_{M',G}^*$  is rational.

One can show that  $H_M^*$  **can** be recovered from  $H_{M',G}^*$  if  $n$  is taken to be sufficiently large. This gives rationality of  $H_M^*$ .

## §4. $\Delta$ -modules

## The sequence model of $\Delta$ -modules

Using the language we now have, we can rephrase our original definition as follows: a  $\Delta$ -module is a sequence  $(F_n)_{n \geq 0}$ , where  $F_n: \text{Vec}^n \rightarrow \text{Vec}$  is an  $S_n$ -equivariant polynomial functor, equipped with natural transformations

$$F_n(V_1, \dots, V_{n-1}, V_n \otimes V_{n+1}) \rightarrow F_{n+1}(V_1, \dots, V_{n+1}).$$

This natural transformation is the data originally called (C3).

There are still compatibility conditions required between various pieces of structure. We prefer not to state these conditions explicitly; they will be automatically handled in a fs model of  $\Delta$ -modules.

# The category $\text{Vec}^\Delta$

Let  $\text{Vec}^\Delta$  be the following category:

- Objects are families of vector spaces  $(V, L)$  as in  $\text{Vec}^\Delta$ .
- A morphism  $(V, L) \rightarrow (V', L')$  consists of a surjection  $\varphi: L' \rightarrow L$  and for each  $x \in L$  a linear map  $V_x \rightarrow \bigotimes_{\varphi(y)=x} V'_y$ .

There is a map

$$((V_1, \dots, V_{n-1}, V_n \otimes V_{n+1}), [n]) \rightarrow ((V_1, \dots, V_{n+1}), [n+1])$$

in  $\text{Vec}^\Delta$ , where the surjection  $[n+1] \rightarrow [n]$  collapses  $n$  and  $n+1$  to  $n$ .

## The fs model of $\Delta$ -modules

A  $\Delta$ -**module** is a polynomial functor  $F: \text{Vec}^\Delta \rightarrow \text{Vec}$ . (Polynomial means that the restriction to  $\text{Vec}^f$  is polynomial.)

The map

$$((V_1, \dots, V_{n-1}, V_n \otimes V_{n+1}), [n]) \rightarrow ((V_1, \dots, V_{n+1}), [n+1])$$

induces the structure (C3) on  $\Delta$ -modules.

# The $\Delta$ -module $Q_n$

Define  $Q_n$  to be the  $\Delta$ -module given by

$$Q_n(V, L) = \bigotimes_{x \in L} V_x^{\otimes n}.$$

A map  $(V, L) \rightarrow (V', L')$  in  $\text{Vec}^\Delta$  consists of a surjection  $\varphi: L' \rightarrow L$  and linear maps  $V_x \rightarrow \bigotimes_{\varphi(y)=x} V'_y$  for  $x \in L$ . Taking the  $n$ th tensor power of this map and then tensoring over  $x \in L$  gives a map  $Q_n(V, L) \rightarrow Q_n(V', L')$ . This explains how  $Q_n$  is a functor on  $\text{Vec}^\Delta$ .



## $Q_n$ as an algebra in $\text{Sym}(\mathcal{S})$

The  $\Delta$ -module  $Q_n$  also has the structure of an algebra in  $\text{Sym}(\mathcal{S})$ . This algebra structure is simply the map

$$Q_n(V, L) \otimes Q_n(V', L') \rightarrow Q_n(V \amalg V', L \amalg L')$$

given by concatenation of tensors. In fact,  $Q_n$  is the tensor algebra on the  $n$ th tensor power functor.

As  $Q_n$  is finitely generated in degree 1, our results on  $\text{Sym}(\mathcal{S})$  algebras (noetherianity, Hilbert series) apply to it.

We note that the symmetric group  $S_n$  acts on  $Q_n$ . This action is compatible with the algebra and  $\Delta$ -module structure.

# The key result on $\Delta$ -modules

## Theorem

Any  $\Delta$ -submodule of  $Q_n$  is automatically a  $Q_n^{S_n}$ -submodule.

## Proof.

We must show that if  $a \in Q_n(V, L)^{S_n}$  and  $m \in Q_n(V', L')$  then  $am$  belongs to the  $\Delta$ -submodule of  $Q_n$  generated by  $m$ . Since  $Q_n(V, L)^{S_n}$  is spanned by  $n$ th powers, it suffices to treat the case where  $a = a_0^{\otimes n}$  with  $a_0 \in Q_1(V, L)$ .

Pick an element  $x \in L'$ . Define a map  $(V', L') \rightarrow (V \amalg V', L \amalg L')$  as follows. The surjection  $L \amalg L' \rightarrow L'$  is the identity on  $L'$  and collapses  $L$  to  $x$ . The map  $V'_x \rightarrow V'_x \otimes \bigotimes_{y \in L} V_y$  is  $\text{id} \otimes a_0$ . This map in  $\text{Vec}^\Delta$  induces a map  $Q_n(V', L') \rightarrow Q_n(V \amalg V', L \amalg L')$  by the  $\Delta$ -module structure on  $Q_n$ , under which  $m$  maps to  $am$ .  $\square$

# Noetherianity of $\Delta$ -modules

## Theorem

*The  $\Delta$ -module  $Q_n$  is noetherian.*

## Proof.

An ascending chain of  $\Delta$ -submodules is an ascending chain of  $Q_n^{S_n}$ -submodules of  $Q_n$ . Since  $Q_n$  is noetherian and  $S_n$  is a finite group,  $Q_n$  is noetherian as a module over  $Q_n^{S_n}$ , and so any such ascending chain stabilizes. □

## Hilbert series of $\Delta$ -modules

The Hilbert series of a  $\Delta$ -module is defined to be the Hilbert series of the underlying object in  $\text{Sym}(\mathcal{S})$ .

### Theorem

*The Hilbert series of any subquotient of  $Q_n$  is rational.*

### Proof.

Any such subquotient is naturally a finitely generated module over  $Q_n^{S_n}$ . Rationality follows from rationality of Hilbert series for finitely generated  $Q_n$ -modules. (The  $S_n$  doesn't affect much.) □

## §5. Applications to syzygies

# Syzygies

Let  $S = \text{Sym}(V)$  be a polynomial ring and let  $R$  be a quotient ring. The space of  $p$ -syzygies of  $R$  is  $\text{Tor}_p^S(R, \mathbf{C})$ . If  $F_\bullet \rightarrow R$  is a minimal free resolution of  $R$  as an  $S$ -module then this Tor is just  $F_p/S_+F_p$ .

This Tor can also be calculated using the free resolution of  $\mathbf{C}$  as an  $S$ -module. This resolution, the **Koszul resolution**, is given by  $S \otimes \bigwedge^\bullet(V)$ . Tensoring with  $R$  over  $S$ , we see that the complex  $K = R \otimes \bigwedge^\bullet(V)$  computes  $\text{Tor}_p^S(R, \mathbf{C})$ .

Suppose  $V'$  is another vector space,  $S' = \text{Sym}(V')$  and  $R'$  is a quotient of  $S'$ . Suppose  $V \rightarrow V'$  is a linear map which carries  $R$  to  $R'$ . Then there is an induced morphism  $K \rightarrow K'$  and thus  $\text{Tor}_p^S(R, \mathbf{C}) \rightarrow \text{Tor}_p^{S'}(R', \mathbf{C})$ .

## $\Delta$ -varieties

For  $(V, L) \in \text{Vec}^\Delta$ , let  $\mathbf{V}(V, L) = \bigotimes_{x \in L} V_x^*$ . The structure (A1)–(A3) shows that  $\mathbf{V}$  defines a contravariant functor from  $\text{Vec}^\Delta$  to the category of varieties.

A  $\Delta$ -**variety** is a contravariant functor  $X$  from  $\text{Vec}^\Delta$  to varieties equipped with a closed immersion  $X \rightarrow \mathbf{V}$ .

## Syzygies of $\Delta$ -varieties

Let  $S(V, L)$  be the the coordinate ring of  $\mathbf{V}(V, L)$  and let  $S_d(V, L)$  be its degree  $d$  piece. Explicitly,  $S_d(V, L) = \text{Sym}^d(Q_1(V, L))$  where  $Q_1(V, L) = \bigotimes_{x \in L} V_x$ . This is a  $\Delta$ -module, and a quotient of  $Q_d$ .

Let  $R(V, L)$  be the coordinate ring of  $X(V, L)$  and let  $R_d(V, L)$  be its degree  $d$  piece. This is a  $\Delta$ -module, and a quotient of  $S_d(V, L)$ .

Let  $K^p(V, L) = R(V, L) \otimes \bigwedge^p(Q_1(V, L))$ . Let  $K^{p,d}(V, L) = R_{p-d}(V, L) \otimes \bigwedge^p(Q_1(V, L))$  be its degree  $d$  piece. This is a  $\Delta$ -module, and a quotient of  $Q_d$ .



## Syzygies of $\Delta$ -varieties (cont'd)

The Koszul differentials give  $K^{\bullet,d}$  the structure of a complex. Let  $F^{p,d}$  be its  $p$ th homology. This is the space of  $p$ -syzygies of degree  $d$  for  $X$ , and forms a  $\Delta$ -module.

Since  $F^{p,d}$  is a subquotient of  $K^{p,d}$ , and thus of  $Q_d$ , it is finitely generated and has rational Hilbert series. This proves our main results on syzygies.

# Syzygies of the Segre embedding

Let  $X$  be the  $\Delta$ -variety given by the Segre embedding, and let  $F^{p,d}$  be as above. Here are three results on these syzygies:

## Theorem (Eisenbud–Reeves–Totaro)

*We have  $F^{p,d} = 0$  for  $d > 2p$ .*

## Theorem (Rubei)

*The Segre variety satisfies the Green–Lazarsfeld property  $N_3$  but not  $N_4$ . This means that  $F^{p,d} = 0$  for  $d \neq p + 1$  if  $p = 1, 2, 3$  but not for  $p = 4$ .*

## Theorem (Lascoux, Pragacz–Weyman)

*[The decomposition of  $F_2^{p,d}(V_1, V_2)$ .]*

# An Euler characteristic

Let  $f_{p,d}$  be the Hilbert series of  $F^{p,d}$  (with factorials), and define  $\chi_d = \sum_{p \geq 0} (-1)^p f_{p,d}$ .

## Theorem

$$\chi_d = \sum_{p=0}^d \left[ \frac{(-1)^p}{p!} \sum_{|\lambda|=p} (\#c_\lambda) \operatorname{sgn}(c_\lambda) \exp(s_{(d-p)} \boxtimes s'_\lambda) \right]$$

where:

- $c_\lambda$  is the conjugacy class in  $S_p$  corresponding to  $\lambda$ .
- $s'_\lambda = \sum_{|\mu|=p} \chi_\mu(c_\lambda) s_\mu$ , where  $\chi_\mu$  is the character of  $\mathbf{M}_\mu$ .
- $\boxtimes$  is the usual product of Schur functors, computed with the Littlewood–Richardson rule.

# Key calculation in proof of theorem

## Proposition

Let  $\lambda$  be a partition of  $p$  and let  $F$  be the object of  $\text{Sym}(\mathcal{S})$  given by  $F(V, L) = \mathbf{S}_\lambda(\bigotimes_{x \in L} V_x)$ . Then

$$H_F = \frac{1}{p!} \sum_{|\mu|=p} (\#c_\mu) \chi_\lambda(c_\mu) \exp(s'_\mu)$$

The  $n$ th term in the power series expansion on the right precisely records the decomposition of  $\mathbf{S}_\lambda(V_1 \otimes \cdots \otimes V_n)$  into Schur functors.

## Example of key calculation

Suppose  $\lambda = (1, 1)$ . Put  $s = s_{(2)}$  and  $w = s_{(1,1)}$ . We have  $s'_{(2)} = s + w$  and  $s'_{(1,1)} = s - w$ . Therefore  $H_F = \frac{1}{2}(e^{s+w} - e^{s-w})$ .

We have the following power series expansion:

$$H_F = w + sw + \frac{1}{6}(w^3 + 3s^2w) + \frac{1}{6}(sw^3 + s^3w) + \dots$$

The degree 3 term means exactly that there is a decomposition

$$\begin{aligned} \Lambda^2(V_1 \otimes V_2 \otimes V_3) = & \Lambda^2(V_1) \otimes \Lambda^2(V_2) \otimes \Lambda^2(V_3) \oplus \\ & \text{Sym}^2(V_1) \otimes \text{Sym}^2(V_2) \otimes \Lambda^2(V_3) \oplus \\ & \text{Sym}^2(V_1) \otimes \Lambda^2(V_2) \otimes \text{Sym}^2(V_3) \oplus \\ & \Lambda^2(V_1) \otimes \text{Sym}^2(V_2) \otimes \text{Sym}^2(V_3) \end{aligned}$$

## Formulas for $f_{p,d}$

We have  $f_{p,p+1} = (-1)^p \chi_{p+1}$  for  $p = 1, 2, 3, 4$  since  $N_3$  is satisfied.

Put  $s = s_{(2)}$ ,  $w = s_{(1,1)}$ .

$$f_{1,2} = \frac{1}{2}e^{s+w} + \frac{1}{2}e^{s-w} - e^s$$

Put  $s = s_{(3)}$ ,  $w = s_{(1,1,1)}$ ,  $t = s_{(2,1)}$ .

$$f_{2,3} = \frac{1}{3}e^{s+w+2t} - \frac{1}{3}e^{s+w-t} - e^{s+t} + e^s$$

Put  $s = s_{(4)}$ ,  $w = s_{(1,1,1,1)}$ ,  $a = s_{(3,1)}$ ,  $b = s_{(2,2)}$ ,  $c = s_{(2,1,1)}$ .

$$\begin{aligned} f_{3,4} = & \frac{1}{8}e^{s+w+3a+2b+3c} - \frac{1}{8}e^{s+w-a+2b-c} + \frac{1}{4}e^{s-w-a+c} - \frac{1}{4}e^{s-w+a-c} \\ & + \frac{1}{2}e^{s+b-c} - \frac{1}{2}e^{s+2a+b+c} + e^{s+a} - e^s \end{aligned}$$

## Meaning of formulas

Expanding in a power series,

$$f_{1,2} = \frac{1}{2}w^2 + \frac{1}{2}sw^2 + \frac{1}{24}(6w^2s^2 + w^4) + \dots$$

The  $n$ th term describes the decomposition of  $F_n^{1,2}(V_1, \dots, V_n)$  (i.e., the quadratic relations) under the action of  $\mathbf{GL}(V_1) \times \dots \times \mathbf{GL}(V_n)$ . For example,

$$\begin{aligned} F_3^{1,2}(V_1, V_2, V_3) = & \text{Sym}^2(V_1) \otimes \Lambda^2(V_2) \otimes \Lambda^2(V_3) \oplus \\ & \Lambda^2(V_1) \otimes \text{Sym}^2(V_2) \otimes \Lambda^2(V_3) \oplus \\ & \Lambda^2(V_1) \otimes \Lambda^2(V_2) \otimes \text{Sym}^2(V_3) \end{aligned}$$

We have thus given the complete decomposition of the spaces of  $p$ -syzygies for  $p = 1, 2, 3$ .

# A problem

## Problem

Compute  $f_{4,6}$ .

We have  $\chi_6 = f_{4,6} - f_{5,6}$ , so the Euler characteristic calculation does not give the value of  $f_{4,6}$ . However, that calculation shows that computing  $f_{4,6}$  is equivalent to computing  $f_{5,6}$ .

Lascoux's resolution gives  $f_{4,6} = \frac{1}{2}s_{(2,2,2)}^2 + \dots$ , i.e., it computes the leading term of  $f_{4,6}$ .

Our proof of rationality of  $f_{p,d}$  shows that  $f_{4,6}$  can be computed by a finite linear algebra computation over the ring  $\mathbf{C}[x_1, \dots, x_{2,176,782,336}]$ . This is totally impractical, so another method must be found!



## §6. Additional topics

## Alternate definition of $\Delta$ -modules

A  $\Delta$ -module is an object of  $\text{Sym}(\mathcal{S})$  with extra structure, namely the maps (C3). We now give a different way of encoding this extra structure.

There is a comultiplication map  $\Delta: \mathcal{S} \rightarrow \mathcal{S}^{\otimes 2}$ , which takes a polynomial functor  $F$  to the polynomial functor  $(V, W) \mapsto F(V \otimes W)$ . Obviously this new polynomial functor is  $S_2$ -equivariant, and so  $\Delta$  takes values in  $\text{Sym}^2(\mathcal{S})$ .

There is a unique extension of  $\Delta$  to a derivation of  $\text{Sym}(\mathcal{S})$ . A  $\Delta$ -module can be defined as an object  $M$  of  $\text{Sym}(\mathcal{S})$  equipped with a map  $\Delta M \rightarrow M$  satisfying an associativity axiom. This map precisely corresponds to the map (C3).

## Free $\Delta$ -modules

Given an object  $F$  of  $\text{Sym}(\mathcal{S})$ , there is a universal  $\Delta$ -module it generates, which we denote by  $\Phi(F)$ . In fact,  $\Phi$  is the left adjoint of the forgetful functor  $\text{Mod}_\Delta \rightarrow \text{Sym}(\mathcal{S})$ .

We call a  $\Delta$ -module of the form  $\Phi(F)$  **free**, and **finite free** if  $F$  has finite length. An arbitrary  $\Delta$ -module is finitely generated if and only if it is a quotient of a finite free  $\Delta$ -module.

## The functor $\Psi$

Given a  $\Delta$ -module  $M$ , denote by  $M^{\text{old}}(V, L)$  the subspace of  $M(V, L)$  generated by elements of  $M(V', L')$  with  $\#L' < \#L$ . Equivalently,  $M^{\text{old}}$  is the image of  $\Delta M \rightarrow M$ . Then  $M^{\text{old}}$  is a  $\Delta$ -submodule of  $M$ . We let  $\Psi(M) = M/M^{\text{old}}$ . This is a  $\Delta$ -module, but the maps (C3) are always 0, so we regard  $\Psi(M)$  as an object of  $\text{Sym}(\mathcal{S})$ .

A version of Nakayama's lemma holds: a  $\Delta$ -module  $M$  is finitely generated if and only if  $\Psi(M)$  is of finite length. In fact,  $M$  is always a quotient of  $\Phi(\Psi(M))$ .

# Analogy with $\mathbf{C}[t]$ -modules

Graded vector spaces

Graded  $\mathbf{C}[t]$ -modules

$$V \otimes_{\mathbf{C}} \mathbf{C}[t]$$

$$M \otimes_{\mathbf{C}[t]} \mathbf{C}$$

multiplication by  $t$

$$tM$$

$\text{Sym}(\mathcal{S})$

$\Delta$ -modules

$$\Phi(F)$$

$$\Psi(M)$$

the map  $\Delta M \rightarrow M$

$$M^{\text{old}}$$

We proved two main theorems about  $\Delta$ -modules: one about noetherianity and one about rationality of Hilbert series.

These two results are not the end of the story, however: there are many other results one might want to establish about  $\Delta$ -modules.

Our method provides a systematic procedure for proving results about  $\Delta$ -modules.

## Resolutions of $\Delta$ -modules

One can attempt to resolve a  $\Delta$ -module by free  $\Delta$ -modules. As usual, the first step in the resolution gives the generators and the second step can be interpreted as relations between these generators.

For instance, the syzygy module  $F^{1,2}$  of the Segre is generated by the defining equation of  $\mathbf{P}^1 \times \mathbf{P}^1$ . However,  $F^{1,2}$  is not free: different sequences of the operations (C1)–(C3) can yield the same equations.

## The Poincaré series

The terms of the resolution of  $M$  are  $\Phi(L_i\Psi M)$ . This is in analogy with how Tor's give the resolutions of modules over polynomial rings; note that  $L_i\Psi$  is analogous to  $\text{Tor}_i^{\mathbf{C}[t]}(-, \mathbf{C})$ .

We can record this information in a series:

$$P_M(q) = \sum_{i \geq 0} (-1)^i H_{(L_i\Psi M)} q^i.$$

We call  $P_m(q)$  the **Poincaré series** of  $M$ . The Hilbert series is recovered by evaluating at  $q = 1$  and applying  $\Phi$ . Where the Hilbert series of  $M$  depends only on the underlying object of  $\text{Sym}(\mathcal{S})$ , the Poincaré series uses the  $\Delta$ -module structure.

The main question, obviously, is if  $P_M(q)$  is rational.



## Poincaré series for tca's

Let  $A$  be the tca  $\text{Sym}(U\langle 1 \rangle)$  and let  $M$  be a finitely generated  $A$ -module. The resolution of  $M$  by projective  $A$ -modules is typically infinite. S. Sam and I show that:

- Regularity is finite, i.e., the resolution of  $M$  has only finitely many linear strands.
- The  $i$ th linear strand  $\mathcal{F}_i(M)$  admits the structure of a finitely generated module over  $A' = \text{Sym}(U^*\langle 1 \rangle)$ .

In fact,  $\mathcal{F}$  gives an equivalence  $D^b(A) \rightarrow D^b(A')$  which we call the **Fourier transform**.

An elementary manipulation gives  $P_M(q) = \sum_{i \geq 0} H_{\mathcal{F}_i(M)}(qt)q^{-i}$ . This shows that  $P_M(q)$  belongs to  $\mathbf{Q}[t, e^t, q^{\pm 1}]$ .

## Back to Poincaré series for $\Delta$ -modules

To obtain rationality of Poincaré series for  $\Delta$ -modules is now just a matter of transferring the result for tca's to algebras in  $\text{Sym}(\mathcal{S})$ , and then to  $\Delta$ -modules. We have not done this yet, but expect to be able to.

### Problem

Compute the Poincaré series of any non-free  $\Delta$ -module, e.g.,  $F^{1,2}$  of the Segre.

## Bounded $\Delta$ -varieties

Let  $X$  be a  $\Delta$ -variety. Write  $R(V, L)$  for the coordinate ring of  $X(V, L)$ . Then  $R$  is an object of  $\text{Sym}(\mathcal{S})$  (in fact, a  $\Delta$ -module). We say that  $X$  is **bounded** if  $L(R) < \infty$ .

### Example

Suppose  $X$  is the Segre. Then  $R(V, L) = \bigoplus_{n \geq 0} \bigotimes_{x \in L} \text{Sym}^n(V_x)$ . It follows that  $L(R) = 1$  and so  $X$  is bounded.

Boundedness is preserved under many operations on  $\Delta$ -varieties. In particular, the secant varieties of the Segre are bounded. Recall:

### Conjecture

*If  $X$  is bounded then  $F^{p,d} = 0$  for  $d \gg p$ .*

## The $\Delta$ -variety $\Delta\text{Sub}_d$

Define  $\text{Sub}_d(V_1, \dots, V_n) \subset V_1^* \otimes \cdots \otimes V_n^*$  to be the union of spaces of the form  $U_1 \otimes \cdots \otimes U_n$  where the  $U_i$  vary over the dimension  $d$  subspaces of the  $V_i^*$ . Thus  $\text{Sub}_1$  is the Segre.

For  $d > 1$ ,  $\text{Sub}_d$  is not a  $\Delta$ -variety but contains a maximal  $\Delta$ -subvariety, called  $\Delta\text{Sub}_d$ , which can be obtained by intersecting the  $\text{Sub}_d$ 's of flattenings. The  $\Delta$ -variety  $\Delta\text{Sub}_d$  can be characterized as the maximal  $\Delta$ -variety whose coordinate ring satisfies  $L \leq d$ .

### Question

Is  $\Delta\text{Sub}_d$  noetherian? That is, does any descending chain of  $\Delta$ -subvarieties of  $\Delta\text{Sub}_d$  stabilize?

This question is weaker than the conjecture, but stronger than the result of Draisma–Kuttler.

# The Segre–Veronese variety

Let  $V_1, \dots, V_n$  be vector spaces and  $w_1, \dots, w_n$  positive integers. The **Segre–Veronese variety** is the subvariety of

$$\mathrm{Sym}^{w_1}(V_1^*) \otimes \cdots \otimes \mathrm{Sym}^{w_n}(V_n^*)$$

consisting of pure tensors of pure powers.

## $m\Delta$ -modules

Define a category  $\text{Vec}^{m\Delta}$  as follows:

- The objects are pairs  $(V, L)$  where  $L$  is a **weighted set** and  $V$  assigns to each  $x \in L$  a vector space  $V_x$ .
- A morphism  $(V, L) \rightarrow (V', L')$  consists of a **weighted correspondence**  $L' \rightarrow L$  and certain linear maps on the vector spaces.

The Segre–Veronese variety is a functor from  $\text{Vec}^{m\Delta}$  to varieties.

An  $m\Delta$ -**module** is a polynomial functor  $\text{Vec}^{m\Delta} \rightarrow \text{Vec}$ . The syzygies of the Segre–Veronese are examples.

## Results on syzygies

S. Sam and I have carried over the results on syzygies of Segre varieties to the Segre–Veronese case. Remarks:

- Whereas the results in the Segre case depended on the fact that  $\text{Sym}(U \otimes \mathbf{C}^\infty)$  is noetherian as a  $\mathbf{GL}(\infty)$ -algebra (which goes back to Weyl), these new results use noetherianity as an  $S_\infty$ -algebra (theorem of Cohen, Aschenbrenner, Hillar, Sullivant).
- The result on Hilbert series in the Segre–Veronese case is weaker than the result in the Segre case: it does not completely determine the decompositions of the syzygy modules.
- The result on Hilbert series is also conditional at this point: it depends on an elementary statement concerning certain quivers which we have not been able to prove (but suspect to be true).

Thank you for listening!